06/02/2014 502832900

# PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 **EPAS ID: PAT2879496** 

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

#### **CONVEYING PARTY DATA**

Name	Execution Date
KARSTEN DIEKMANN	03/26/2014
STEVEN ROSSBACH	05/06/2014
BRITTA GÖÖTZ	03/27/2014
KOK ENG NG	03/25/2014
SOK GEK BEH	03/25/2014
MARDIANA BINTI KHALID	03/25/2014
BON LIANG YAP	03/25/2014
SHAHROL IZZANNI BIN ABDUL MANAF	03/25/2014

## **RECEIVING PARTY DATA**

Name:	OSRAM OPTO SEMICONDUCTORS GMBH
Street Address:	LEIBNIZSTRASSE 4
City:	REGENSBURG
State/Country:	GERMANY
Postal Code:	93055

## **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	14241716

#### **CORRESPONDENCE DATA**

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T. DANIEL CHRISTENBURY **Correspondent Name:** 

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Address Line 2: 1650 MARKET STREET, SUITE 4900 Address Line 4: PHILADELPHIA, PENNSYLVANIA 19103

ATTORNEY DOCKET NUMBER:	EHF-14-1045
NAME OF SUBMITTER:	CAROL CONEY
SIGNATURE:	/cc/

DATE SIGNED:	06/02/2014
Total Attachments: 20	
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Docket No.: EHF-14-1045 (P2011,0403 US N (TPN/BFS) / 2010P14246WOUS) Page 1 of 6

#### **ASSIGNMENT**

For good and valuable consideration, I (We),

Karsten <u>Diekmann</u>, residing at Fasanenstr. 13 94371 Rattenberg Germany citizen of Germany

Steven Rossbach, residing at Eduard-Krenkel-Str. 3 08626 Adorf Germany citizen of Germany

Britta <u>Göötz</u>, residing at Sandra-Paretti-Weg 12 93051 Regensburg Germany citizen of Germany

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Sok Gek <u>Beh</u>, residing at 9-L, Lorong Delima 13 9-L, Lorong Delima 13 Gelugor 11700 PENANG Malaysia citizen of Malaysia

Mardiana <u>Binti Khalid</u>, residing at No. 35 Mukim B. Sungai Rusa, Balik Pulau 11010 PENANG Malaysia citizen of Malaysia

Boon Liang <u>Yap</u>, residing at 6-14-02 Lengkok Angsana Air Itam 11500 PENANG Malaysia citizen of Malaysia

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Page 2 of 6

Shahrol Izzanni <u>Bin Abdul Manaf</u>, residing at 92 Jln Sungai Pinang George Town 10150 PENANG Malaysia citizen of Malaysia

hereafter individually or collectively referred to as "Assignor(s)";

Hereby, (I) acknowledge having previously assigned, sold and transferred to OSRAM Opto Semiconductors GmbH, a corporation organized and existing under the laws of GERMANY, having its principal place of business at Leibnizstr. 4 in 93055 Regensburg, hereinafter "Assignee", its successors, assigns and legal representatives, or to a predecessor of Assignee, pursuant to (A)(i) the laws of GERMANY or (ii) a Patent & Secrecy Agreement or similar legal document such as, without limitation, an employee agreement executed at the time of entering into the employment of, or executed as a condition of continuing employment with, the Assignee or a predecessor of the Assignee, the entire right, including any and all priority rights, title and interest, in and for the United States and all foreign countries, in and to any and all inventions or improvements which are disclosed in an invention disclosure and/or in the below-identified documents currently filed with this Assignment, already filed or granted for Letters Patent (in which case, any provision of the subject Assignment that is in conflict with or is in addition to any provision in the prior agreement(s) or assignment(s) then this Assignment shall govern, take precedence, and be of legal effect), or

(B)(i) to the extent such laws of GERMANY or such Patent & Secrecy Agreement or similar legal document failed or fails, in whole or part, to have assigned, sold or transferred the entire right (including priority rights), title and interest, in and for the United States and all foreign countries, in and to all inventions or improvements which are disclosed in the below-identified application(s) or pending application(s) or granted Letters Patent, or (ii) if no such agreement(s) exist assigning, selling or transferring any such right (including priority rights), title or interest; then for good and valuable consideration, Assignor(s) now and hereby, effective Nunc Pro Tunc on the filing date of the below identified patent application(s), pending patent application(s) or granted Letter Patent(s), assign, sell and transfer(s) to Assignee, its successors, assigns and legal representatives, the entire right, (including all priority rights), title and interest in and for the United States and all foreign countries, in and to any and all inventions and/or improvements which are disclosed in the following identified; patent application(s), pending patent application(s) or granted Letter Patent(s)

Patent Application Title: LIGHT-EMITTING MEANS AND USE

Filing Date: 02/27/2014 (MM/DD/YYYY)

Filing Number: 14/241,716

Internal Case Number: EHF-14-1045

and in and to said application(s) or granted patents and all applications claiming priority to said application or granted patent, including, without limitation, and all divisional, continuing, substitute, renewal, reissue, and all other applications for Letters Patent which have been or shall be filed in the United States and all foreign—countries on any of the inventions and/or improvements; and in and to all original and reissued patents which have been or shall be filed in the United States and all foreign countries on the inventions and/or improvements;

(P2011,0403 US N (TPN/BFS) / 2010P14246WOUS)

Page 3 of 6

(II) Agree that said Assignee may apply for and receive Letters Patent for said inventions and/or improvements in its own name; and that, when requested, without charge to but at the expense of said Assignee, its successors, assigns and legal representatives, to carry out in good faith the intent and purpose of this assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all said inventions and/or improvements; execute all rightful oaths, assignments, powers of attorney and other papers; communicate to said Assignee, its successors, assigns, and legal representatives, all facts known to the undersigned relating to said inventions and/or improvements and the history thereof; and generally do everything possible which said Assignee, its successors, assigns or legal representatives shall consider desirable for aiding in securing and maintaining proper patent protection for said inventions and/or improvements and for vesting title to said inventions and or improvements and all applications for patents and all patents on said inventions and/or improvements, in said Assignee, its successors, assigns and legal representatives; and

(III) Covenant with said Assignee, its successors, assigns and legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

Witness Signature	Karsten Diekmann
STEFAN SEIDEL printed name of witness	26 Mark 20 24 Date
Witness Signature	Steven Rossbach
printed name of witness	Date
Witness Signature	Britta Göötz
printed name of witness	Date

(P2011,0403 US N (TPN/BFS) / 2010P14246WOUS) Page 4 of 6

Witness Signature	Kok Eng Ng
printed name of witness	Date
Witness Signature	Sok Gek Beh
printed name of witness	Date
Witness Signature	Mardiana Binti Khalid
printed name of witness	Date
Witness Signature	Boon Liang Yap
printed name of witness	Date
Witness Signature	Shahrol Izzanni Bin Abdul Manaf
printed name of witness	Date

Docket No.: EHF-14-1045 (P2011,0403 US N (TPN/BFS) / 2010P14246WOUS) Page 5 of 6

Companies Representative(s)
OSRAM Opto Semiconductors GmbH

	Signature	
	Name	
_		
	Date	
	Signature	
_	Name	
-	Date	

Docket No.: EHF-14-1045 (P2011,0403 US N (TPN/BFS) / 2010P14246WOUS) Page 1 of 6

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Patent Application Title: LIGHT-EMITTING MEANS AND USE

Filing Date: 02/27/2014 (MM/DD/YYYY)

Filing Number: 14/241,716

Internal Case Number: EHF-14-1045

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(P2011,0403 US N (TPN/BFS) / 2010P14246WOUS)

Page 3 of 6

(II) Agree that said Assignee may apply for and receive Letters Patent for said inventions and/or improvements in its own name; and that, when requested, without charge to but at the expense of said Assignee, its successors, assigns and legal representatives, to carry out in good faith the intent and purpose of this assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all said inventions and/or improvements; execute all rightful oaths, assignments, powers of attorney and other papers; communicate to said Assignee, its successors, assigns, and legal representatives, all facts known to the undersigned relating to said inventions and/or improvements and the history thereof; and generally do everything possible which said Assignee, its successors, assigns or legal representatives shall consider desirable for aiding in securing and maintaining proper patent protection for said inventions and/or improvements and for vesting title to said inventions and or improvements and all applications for patents and all patents on said inventions and/or improvements, in said Assignee, its successors, assigns and legal representatives; and

(III) Covenant with said Assignee, its successors, assigns and legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

Witness Signature	Karsten Diekmann
printed name of witness	Date
B. Hummach Witness Signature	Steven Rossbach
BENJAH (N. WRUMM ACH BR printed name of witness	6th May 2014 Date
Witness Signature	Britta Göötz
printed name of witness	Date

(P2011,0403 US N (TPN/BFS) / 2010P14246WOUS) Page 4 of 6

Witness Signature	Kok Eng Ng
printed name of witness	Date
Witness Signature	Sok Gek Beh
printed name of witness	Date
Witness Signature	Mardiana Binti Khalid
printed name of witness	Date
Witness Signature	Boon Liang Yap
printed name of witness	Date
	Object and Immersion Directly to Market
. Witness Signature	Shahrol Izzanni Bin Abdul Manaf
printed name of witness	Date

Docket No.: EHF-14-1045 (P2011,0403 US N (TPN/BFS) / 2010P14246WOUS) Page 5 of 6

Companies Representative(s)
OSRAM Opto Semiconductors GmbH

Signature	
Name	
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Date	•

Docket No.: EHF-14-1045 (P2011,0403 US N (TPN/BFS) / 2010P14246WOUS) Page 1 of 6

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Witness Signature	Karsten Diekmann
printed name of witness	Date
Witness Signature	Steven Rossbach
printed name of witness	Date
Witness Signature	Britta Göötz
STEFAN ILLEK printed name of witness	2014 March 27th

(P2011,0403 US N (TPN/BFS) / 2010P14246WOUS) Page 4 of 6

	Medica
Witness Signature	Kok Eng Ng
	25/03/2014
printed name of witness	Date
	Solffer.
Witness Signature	Sok Gek Beh
	25-march-2014
printed name of witness	25-march-2014 Date
	0
	Multuna
Witness Signature	Mardiana Binti Khalid
	25/03/2014
printed name of witness	Date
	Amm.
Witness Signature	Boon Liang Yap
	25/3/2014
printed name of witness	Date
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	Spannfoan
Witness Signature	Shahrol Izzanni Bin Abdul Manaf
	<u> 35 3 2014</u>
nrinted name of witness	Date

Docket No.: EHF-14-1045 (P2011,0403 US N (TPN/BFS) / 2010P14246WOUS) Page 5 of 6

Companies Representative(s)
OSRAM Opto Semiconductors GmbH

Signature	
Name	
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•	
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Page 5 of 6

Companies Representative(s) / OSRAM Opto Semiconductors/GmbH

Signature

Name

Dr. Ulrich Steegmüller Vice President & CTO

Date

2 6. MRZ. 2014

Signature

Name

NIKOLAHS GMEINWIESER

SENIOR MANAGER Date INTELLECTUAL PROPERTY

2 4. März 2019

Gmoon.

**PATENT REEL: 033006 FRAME: 0345** 

RECORDED: 06/02/2014