

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT2881433

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
DIANE M. RETALLACK	04/24/2014
CHARLES H. SQUIRES	04/29/2014
DAVID C. WATKINS	04/22/2014
STACEY L. LEE	04/24/2014
FRANK H. GAERTNER	04/24/2014
ROBERT SHUTTER	04/22/2014
RECEIVING PARTY DATA	
Name:	DOW GLOBAL TECHNOLOGIES, INC.
Street Address:	WASHINGTON STREET
Internal Address:	1790 BUILDING
City:	MIDLAND
State/Country:	MICHIGAN
Postal Code:	48674
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	11400840
CORRESPONDENCE DATA	
Fax Number:	(858)350-2399
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	8583502300
Email:	asgarcia@wsgr.com, patentdocket@wsgr.com
Correspondent Name:	WILSON, SONSINI, GOODRICH, & ROSATI
Address Line 1:	650 PAGE MILL ROAD
Address Line 4:	PALO ALTO, CALIFORNIA 94304
ATTORNEY DOCKET NUMBER:	38194-703.301
NAME OF SUBMITTER:	ASHLEY GARCIA
SIGNATURE:	/Ashley Garcia/
DATE SIGNED:	06/03/2014
Total Attachments: 7	

PATENT

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source=38194-703.301 Executed Assignment (Inventors to Dow)#page7.tif

PATENT ASSIGNMENT

Docket Number 38194-703.301

WHEREAS, the undersigned:

- | | | | |
|---|---|--|--|
| 1. Diane M. Retallack
13420 Carriage Road
Poway, CA 92064 | 2. Charles H. Squires
15547 Hidden Valley Dr.
Poway, CA 92064 | 3. David C. Watkins
185 Shady Hill Drive
East Greenwich,
Rhode Island 02818 | 4. Stacey L. Lee
13831 Paseo Cardiel
San Diego, CA 92129 |
| 5. Frank H. Gaertner
4461 Ocean Boulevard #36
San Diego, CA 92109 | 6. Robert Shutter
16350 Woodson View Rd
Poway, CA 92064 | | |

(hereinafter "Inventor(s)), have invented certain new and useful improvements in

TITLE

- ☐ for which a United States patent application is executed on even date herewith;
☒ for which application serial number 11/400,840 was filed on April 7, 2006 in the United States Patent and Trademark Office;
☐ for which application serial number _____ was filed on _____ in the U.S. Receiving Office of the Patent Cooperation Treaty;
☐ for which application serial number _____ was filed on _____ in the _____ Patent Office; and/or
☐ for which an application was filed upon which a United States Patent issued on _____, as U.S. Patent No. _____

(hereinafter, "Application(s)"). The term "Application(s)" also includes all patent applications that share or claim priority to or from the above application(s).

WHEREAS, Dow Global Technologies, Inc., a corporation of the State of Delaware, having a place of business at Washington Street, 1790 Building, Midland, MI 48674, (hereinafter "Assignee"), is desirous of acquiring the entire right, title and interest in and to said Application(s), and the inventions disclosed therein, and in and to all embodiments of the inventions, heretofore conceived, made or discovered, whether jointly or severally, by said Inventor(s) (hereinafter collectively referred to as "Inventions"), and in and to any and all patents, inventor's certificates and other forms of protection thereon granted in the United States, foreign countries, or under any international convention, agreement, protocol, or treaty, including those filed under the Paris Convention for the Protection of Industrial Property, The Patent Cooperation Treaty or otherwise (hereinafter "Patent(s)").

NOW, THEREFORE, in consideration of good and valuable consideration acknowledged by said Inventor(s) to have been received in full from said Assignee:

1. Said Inventor(s) do hereby sell, assign, transfer and convey unto said Assignee the entire right, title and interest (a) in and to said Inventions; (b) in and to said Applications, including the right to claim priority to and from said Application(s); (c) in and to each and every application that is a divisional, substitution, continuation, or continuation-in-part of any of said Application(s); (d) in and to said Patent(s) and each and every patent issuing or reissuing from any of the foregoing; (e) in and to each and every reissue, reexamination, renewal or extension of any kind of any of the foregoing; (f) in and to each and every patent and application filed outside the United States and corresponding to any of the foregoing; and (g) in and to all claims for past, present and future infringement of the Patent(s), including all rights to sue for and to receive and recover for Assignee's own use all past, present, and future lost profits, royalties, and damages of whatever nature recoverable from an infringement of the Patent(s).

2. Said Inventor(s) hereby covenant and agree to cooperate with said Assignee to enable said Assignee to enjoy to the fullest extent the right, title and interest herein conveyed in the United States, foreign countries, or under any international convention, agreement, protocol, or treaty. Such cooperation by said Inventor(s) shall include prompt production of pertinent facts and documents, giving of testimony, execution of petitions, oaths, specifications, declarations or other papers, and other assistance all to the extent deemed necessary or desirable by said Assignee (a) for perfecting in said Assignee the right, title and interest herein conveyed; (b) for prosecuting any applications covering said Inventions; (c) for filing and prosecuting substitute, divisional, continuing or additional applications covering said Inventions; (d) for filing and prosecuting applications for reissuance of any said Patent(s); (e) for interference or other priority proceedings involving said Inventions; and (f) for legal proceedings involving said Inventions and any applications therefor and any Patent(s) granted thereon, including without limitation reissues and reexaminations, opposition proceedings, cancellation proceedings, priority contests, public use proceedings, infringement actions and court actions; provided, however, that reasonable expenses incurred by said Inventor(s) in providing such cooperation shall be paid for by said Assignee.

3. The terms and covenants of this assignment shall inure to the benefit of said Assignee, its successors, assigns and other legal representatives, and shall be binding upon said Inventor(s), their respective heirs, legal representatives and assigns.

4. Said Inventor(s) hereby warrant, represent and covenant that said Inventor(s) have not entered and will not enter into any assignment, contract, or understanding in conflict herewith.

5. Said Inventor(s) hereby request that any Patent(s) issuing in the United States, foreign countries, or under any international convention, agreement, protocol, or treaty, be issued in the name of the Assignee, or its successors and assigns, for the sole use of said Assignee, its successors, legal representatives and assigns.

6. This instrument will be interpreted and construed in accordance with the laws of the State of California, without regard to conflict of law principles. If any provision of this instrument is found to be illegal or unenforceable, the other provisions shall remain effective and enforceable to the greatest extent permitted by law. This instrument may be executed in counterparts, each of which is deemed an original, but all of which together constitute one and the same agreement.

IN WITNESS WHEREOF, said Inventor(s) have executed and delivered this instrument to said Assignee as of the dates written below:

Date:

4/24/14

Diane M. Retallack

Date:

Charles H. Squires

Charles H. Squires

PATENT ASSIGNMENT

Docket Number 38194-703.301

WHEREAS, the undersigned:

- | | | | |
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| 1. Diane M. Retallack
13420 Carriage Road
Poway, CA 92064 | 2. Charles H. Squires
15547 Hidden Valley Dr.
Poway, CA 92064 | 3. David C. Watkins
185 Shady Hill Drive
East Greenwich,
Rhode Island 02818 | 4. Stacey L. Lee
13831 Paseo Cardiel
San Diego, CA 92129 |
| 5. Frank H. Gaertner
4461 Ocean Boulevard #36
San Diego, CA 92109 | 6. Robert Shutter
16350 Woodson View Rd
Poway, CA 92064 | | |

(hereinafter "Inventor(s)"), have invented certain new and useful improvements in

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- ☐ for which a United States patent application is executed on even date herewith;
☒ for which application serial number 11/400,840 was filed on April 7, 2006 in the United States Patent and Trademark Office;
☐ for which application serial number _____ was filed on _____ in the U.S. Receiving Office of the Patent Cooperation Treaty;
☐ for which application serial number _____ was filed on _____ in the _____ Patent Office; and/or
☐ for which an application was filed upon which a United States Patent issued on _____, as U.S. Patent No. _____

(hereinafter, "Application(s)"). The term "Application(s)" also includes all patent applications that share or claim priority to or from the above application(s).

WHEREAS, Dow Global Technologies, Inc., a corporation of the State of Delaware, having a place of business at Washington Street, 1790 Building, Midland, MI 48674, (hereinafter "Assignee"), is desirous of acquiring the entire right, title and interest in and to said Application(s), and the inventions disclosed therein, and in and to all embodiments of the inventions, heretofore conceived, made or discovered, whether jointly or severally, by said Inventor(s) (hereinafter collectively referred to as "Inventions"), and in and to any and all patents, inventor's certificates and other forms of protection thereon granted in the United States, foreign countries, or under any international convention, agreement, protocol, or treaty, including those filed under the Paris Convention for the Protection of Industrial Property, The Patent Cooperation Treaty or otherwise (hereinafter "Patent(s)").

NOW, THEREFORE, in consideration of good and valuable consideration acknowledged by said Inventor(s) to have been received in full from said Assignee:

1. Said Inventor(s) do hereby sell, assign, transfer and convey unto said Assignee the entire right, title and interest (a) in and to said Inventions; (b) in and to said Applications, including the right to claim priority to and from said Application(s); (c) in and to each and every application that is a divisional, substitution, continuation, or continuation-in-part of any of said Application(s); (d) in and to said Patent(s) and each and every patent issuing or reissuing from any of the foregoing; (e) in and to each and every reissue, reexamination, renewal or extension of any kind of any of the foregoing; (f) in and to each and every patent and application filed outside the United States and corresponding to any of the foregoing; and (g) in and to all claims for past, present and future infringement of the Patent(s), including all rights to sue for and to receive and recover for Assignee's own use all past, present, and future lost profits, royalties, and damages of whatever nature recoverable from an infringement of the Patent(s).

2. Said Inventor(s) hereby covenant and agree to cooperate with said Assignee to enable said Assignee to enjoy to the fullest extent the right, title and interest herein conveyed in the United States, foreign countries, or under any international convention, agreement, protocol, or treaty. Such cooperation by said Inventor(s) shall include prompt production of pertinent facts and documents, giving of testimony, execution of petitions, oaths, specifications, declarations or other papers, and other assistance all to the extent deemed necessary or desirable by said Assignee (a) for perfecting in said Assignee the right, title and interest herein conveyed; (b) for prosecuting any applications covering said Inventions; (c) for filing and prosecuting substitute, divisional, continuing or additional applications covering said Inventions; (d) for filing and prosecuting applications for reissuance of any said Patent(s); (e) for interference or other priority proceedings involving said Inventions; and (f) for legal proceedings involving said Inventions and any applications therefor and any Patent(s) granted thereon, including without limitation reissues and reexaminations, opposition proceedings, cancellation proceedings, priority contests, public use proceedings, infringement actions and court actions; provided, however, that reasonable expenses incurred by said Inventor(s) in providing such cooperation shall be paid for by said Assignee.

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4. Said Inventor(s) hereby warrant, represent and covenant that said Inventor(s) have not entered and will not enter into any assignment, contract, or understanding in conflict herewith.

5. Said Inventor(s) hereby request that any Patent(s) issuing in the United States, foreign countries, or under any international convention, agreement, protocol, or treaty, be issued in the name of the Assignee, or its successors and assigns, for the sole use of said Assignee, its successors, legal representatives and assigns.

6. This instrument will be interpreted and construed in accordance with the laws of the State of California, without regard to conflict of law principles. If any provision of this instrument is found to be illegal or unenforceable, the other provisions shall remain effective and enforceable to the greatest extent permitted by law. This instrument may be executed in counterparts, each of which is deemed an original, but all of which together constitute one and the same agreement.

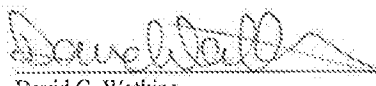
IN WITNESS WHEREOF, said Inventor(s) have executed and delivered this instrument to said Assignee as of the dates written below:

Date: _____

Diane M. Retallack

Date: 4/29/14

Charles H. Squires

PATENT ASSIGNMENT		Docket Number 38194-703.301
Date: <u>20 Apr 2014</u>	 David C. Watkins	Date: _____ Stacey L. Lee
Date: _____	Frank H. Gaertner	Date: _____ Robert Shutter

PATENT ASSIGNMENT		Docket Number 38194-703.301	
Date: _____	David C. Watkins	Date: <u>April 24, 2014</u>	<u>Stacey L. Lee</u> Stacey L. Lee
Date: _____	Frank H. Gaertner	Date: _____	Robert Shuttler

PATENT ASSIGNMENT

Docket Number 38194-703.301

Date: _____

Diane M. Retallack

Date: _____

Charles H. Squires

Date: _____

David C. Watkins

Date: _____

Stacey L. Lee

Date: _____

Frank H. Gaertner

Date: 4/22/2014

Robert Shutter

Robert Shutter

PATENT ASSIGNMENT

Docket Number 38194-703.301

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Date: _____

Diane M. Retallack

Date: _____

Charles H. Squires

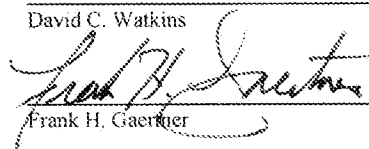
Date: _____

David C. Watkins

Date: _____

Stacey L. Lee

Date: 4/24/14


Frank H. Gaertner

Date: _____

Robert Shutter