

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT2888583

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
ISY GOLDWASSER	11/22/2013
WILLIAM J. TYLER	11/25/2013
ALEXANDER OPITZ	11/25/2013
TOMOKAZU SATO	11/22/2013
JONATHAN CHARLESWORTH	11/25/2013
SUMON K. PAL	11/25/2013
PHILIP LAMB	11/25/2013
CHRISTOPHER VOSS	11/24/2013
STEVEN COOK	11/23/2013
REMI DEMERS	11/25/2013
RAYMOND L. GRADWOHL	11/23/2013
DANIEL Z. WETMORE	11/22/2013
RECEIVING PARTY DATA	
Name:	NEUROTREK, INC.
Street Address:	61 E. MAIN STREET, SUITE C
City:	LOS GATOS
State/Country:	CALIFORNIA
Postal Code:	95030
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	14091121
CORRESPONDENCE DATA	
Fax Number:	(650)212-7562
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	(650) 212-1700
Email:	info@shayglenn.com
Correspondent Name:	SHAY GLENN LLP
Address Line 1:	2755 CAMPUS DRIVE, SUITE 210
Address Line 4:	SAN MATEO, CALIFORNIA 94403

PATENT

ATTORNEY DOCKET NUMBER:	12530-712.200
NAME OF SUBMITTER:	MARY BUGGIE
SIGNATURE:	/Richard D. Shoop, Reg. No. 45,763/
DATE SIGNED:	06/06/2014

Total Attachments: 14

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RECORDATION FORM COVER SHEET
PATENTS ONLY

PATENT AND TRADEMARK OFFICE

TO THE HONORABLE DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE. PLEASE RECORD THE ATTACHED ORIGINAL DOCUMENTS OR COPY THEREOF.

1. Name of conveying party(ies):

(1) Isy GOLDWASSER (11/22/13)
 (2) William J. TYLER (11/25/13)
 (3) Alexander OPITZ (11/25/13)
 (4) Tomokazu SATO (11/22/13)
 (5) Jonathan CHARLESWORTH (11/25/13)
 (6) Sumon K. PAL (11/25/13)
 (7) Philip LAMB (11/25/13)
 (8) Christopher VOSS (11/24/13)
 (9) Steven COOK (11/23/13)
 (10) Remi DEMERS (11/25/13)
 (11) Raymond L. GRADWOHL (11/23/13)
 (12) Daniel Z. WETMORE (11/22/13)

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: **Neurotrek, Inc.**
61 E. Main Street, Suite C
Los Gatos, CA 95030

3. Nature of Conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Name and address of receiving party(ies):

Name:
 Street Address:
 City: State: Zip:
 Country:
 Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s) – **14/091,121**

Title: **WEARABLE TRANSDERMAL ELECTRICAL STIMULATION DEVICES AND METHODS OF USING THEM**

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Richard D. Shoop
Shay Glenn LLP
2755 Campus Drive, Suite 210
San Mateo, CA 94403

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$0.00

☒ Charge any required fees to **Deposit Account 50-4050.**

DO NOT USE THIS SPACE

8. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Richard D. Shoop, Reg. No. 45,763



Name of Person Signing

Signature

June 6, 2014

Date

Total number of pages including cover sheet, attachments, and documents: **14**

ASSIGNMENT OF PATENT APPLICATION**Docket Number: 12530-712.200**

This Assignment of Patent Application is between:

Isy GOLDWASSER of Los Gatos, CA;
William J. TYLER of Roanoke, VA;
Alexander OPITZ of Goettingen, Germany;
Tomokazu SATO of Pasadena, CA;
Jonathan CHARLESWORTH of Boston, MA;
Sumon K. PAL of Boston, MA;
Philip LAMB of San Diego, CA;
Christopher VOSS of Dorval, QC, Canada;
Steven COOK of Oceanside, CA;
Remi DEMERS of Saint-Nicolas, QC, Canada;
Raymond L. GRADWOHL of Saratoga, CA; and
Daniel Z. WETMORE of San Francisco, CA,

(hereinafter referred to as "Inventor") and **Neurotrek, Inc.**, a corporation of the State of Delaware, having a place of business at 61 E. Main Street, Suite C, Los Gatos, CA, 95030, (hereinafter termed "Assignee").

WHEREAS Inventor has invented certain new and useful improvements in:

"WEARABLE TRANSDERMAL ELECTRICAL STIMULATION DEVICES AND METHODS OF USING THEM"

for which we have executed an application for a United States Patent on or about the date of this Assignment.

WHEREAS, Assignee is desirous of acquiring the entire right, title and interest of each inventor in and to said application and the invention disclosed therein, and in and to all embodiments of the invention, heretofore conceived, made or discovered by said Inventor (all collectively hereinafter termed "said invention"), and in and to any and all patents, inventor's certificates and other forms of protection (hereinafter termed "patents") thereon granted in the United States and foreign countries.

NOW, THEREFORE, in consideration of good and valuable consideration acknowledged by said Inventor to have been received in full from said Assignee:

1. Said Inventor does hereby sell, assign, transfer and convey unto said Assignee the entire right, title and interest (a) in and to said application and said invention; (b) in and to all rights to apply for foreign patents on said invention pursuant to the International Convention for the Protection of Industrial Property or otherwise; (c) in and to any and all applications filed and any and all patents granted on said invention in the United States or any foreign country, including each and every application filed and each and every patent granted on any application which is a divisional, substitution, continuation, or continuation-in-part of any of said applications; and (d) in and to each and every reissue or extensions of any of said patents.

2. Said Inventor hereby covenants and agrees to cooperate with said Assignee to enable said Assignee to enjoy to the fullest extent the right, title and interest herein conveyed in the United States and foreign countries. Such cooperation by said Inventor shall include prompt production of pertinent facts and documents, giving of testimony, execution of petitions, oaths, specifications, declarations or other papers, and other assistance all to the extent deemed necessary or desirable by said Assignee (a) for perfecting in said Assignee the right, title and interest herein conveyed; (b) for prosecuting any of the said applications; (c) for filing and prosecuting substitute, divisional, continuing or additional applications covering said invention; (d) for filing and

ASSIGNMENT OF PATENT APPLICATION**Docket Number: 12530-712.200**

prosecuting applications for reissuance of any said patents; (e) for interference or other priority proceedings involving said invention; and (f) for legal proceedings involving said invention and any applications therefor and any patents granted thereon, including without limitation reissues and reexaminations, opposition proceedings, cancellation proceedings, priority contest, public use proceedings, infringement actions and court actions; provided, however, that the expense incurred by said Inventor in providing such cooperation shall be paid for by said Assignee.

3. The terms and covenants of this assignment shall inure to the benefit of said Assignee, its successors, assigns and other legal representatives, and shall be binding upon said Inventor, his heirs, legal representatives and assigns.

4. Said Inventor hereby warrants and represents that he has not entered and will not enter into any assignment, contract, or understanding in conflict herewith.

IN WITNESS WHEREOF, said Inventor has executed and delivered this instrument to said Assignee:

November 22, 2013

Date



Isy **GOLDWASSER**

Date

William J. TYLER

Date

Alexander OPITZ

Date

Tomokazu SATO

Date

Jonathan CHARLESWORTH

Date

Sumon K. PAL

Date

Philip LAMB

Date

Christopher VOSS

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IN WITNESS WHEREOF, said Inventor has executed and delivered this instrument to said Assignee:

Date

Date

Nov 25, 2013

Date

Date

Date

Date

Date

Date

Isy GOLDWASSER

William J. TYLER

Alexander OPITZ

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Alexander OPITZ11/22/13

Date

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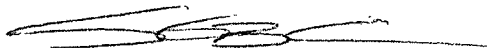
Date_____
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Date_____
Philip LAMB_____
Date11/24/2013

Christopher VOSS

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Steven COOK

Date

Remi DEMERS

Date

Raymond L. GRADWOHL

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11/25/13

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Date

Steven COOK



Remi DEMERS

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11/22/13

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Daniel Z. WETMORE