

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

EPAS ID: PAT2896917

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
DAVID JOHN HAYDEN	06/25/2013
NEIL ROBERT STOKES	06/25/2013
IAN COLLINS	06/25/2013
RECEIVING PARTY DATA	
Name:	BIOTA SCIENTIFIC MANAGEMENT PTY LTD
Street Address:	10/585 BLACKBURN ROAD
Internal Address:	NOTTING HILL
City:	VICTORIA
State/Country:	AUSTRALIA
Postal Code:	3168
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	14112735
CORRESPONDENCE DATA	
Fax Number:	(202)824-3001
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	2028243000
Email:	BWPTOPAT@bannerwitcoff.com, lhudgins@bannerwitcoff.com
Correspondent Name:	BANNER & WITCOFF, LTD.
Address Line 1:	1100 13TH STREET, N.W.
Address Line 2:	SUITE 1200
Address Line 4:	WASHINGTON, D.C. 20005
ATTORNEY DOCKET NUMBER:	007723.00017 SAW/VLH ASG1
NAME OF SUBMITTER:	SUSAN A. WOLFFE
SIGNATURE:	/Susan A. Wolffe/
DATE SIGNED:	06/13/2014
Total Attachments: 8	
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CONFIRMATORY DEED OF ASSIGNMENT

BETWEEN **BIOTA EUROPE LIMITED** of Begbroke Business &
Science Park, Sandy Lane, Yarnton, Oxfordshire
OX5 1PF, United Kingdom, with registered company
number 07052211

(**BEL**)

David John HAYDON
of **Biota Europe Limited**, Begbroke Business &
Science Park, Sandy Lane, Yarnton, Oxfordshire
OX5 1PF, United Kingdom

Neil Robert STOKES
of **Biota Europe Limited**, Begbroke Business &
Science Park, Sandy Lane, Yarnton, Oxfordshire
OX5 1PF, United Kingdom

Ian COLLINS
of **Biota Europe Limited**, Begbroke Business &
Science Park, Sandy Lane, Yarnton, Oxfordshire
OX5 1PF, United Kingdom

(collectively, **Employees of BEL**)

AND **BIOTA SCIENTIFIC MANAGEMENT PTY LTD** of
10/585 Blackburn Road, Notting Hill, Victoria 3168
Australia with ACN:006477710

(**BSM**)

RECITALS

- A. The Employees are employees of BEL under the Employment Contract.
- B. The Employees have in the ordinary course of the Employees' employment with BEL been (along with others) involved in the development of the Invention.
- C. The Employees were employed by BEL at the time the Invention was made.
- D. Pursuant to the Employment Contract, all existing and future Intellectual Property Rights in any materials generated, discovered, invented, improved or developed by an Employee in the course of his or her employment (whether alone or with others and whether during office hours or otherwise) including the Invention and the Patent was assigned to BEL.
- E. Each Employee has agreed to enter into this deed to confirm, to the extent that any of the Employees owns any Intellectual Property

Rights in and to the Invention and the Patent, the assignment of those rights and BEL's rights in the Invention and the Patent.

- F. On 30 June 2011, BSM acquired the Assets of the Cell Division Inhibitor Project under an Asset Acquisition Agreement, said Assets including the Invention, the Patent and the Intellectual Property Rights therein.
- G. BEL is engaged by BSM to provide Services pursuant to a Services Deed with effect on and from the Commencement Date of 30 June 2011.
- H. Pursuant to the Services Deed, any right, title or interest in any Cell Division Inhibitor IP that was made, developed, conceived or reduced to practice by BEL arising out of the provision of or relating to the Services immediately vested, upon its creation, in BSM.
- I. BEL has agreed to enter into this deed to confirm the assignment of any Cell Division Inhibitor IP (as defined in the Services Deed), including the Invention and the Patent (as defined in this Deed), to BSM.

DEED

1. Definitions

In this deed:

Effective Date means the date of the filing of the Patent.

Employment Contract means the contract of employment between the relevant Employee and BEL.

Intellectual Property Rights means (i) patents, inventions, designs, copyright and related and neighbouring rights, database rights, trade marks and related goodwill, trade names (whether registered or unregistered), and rights to apply for registration; (ii) proprietary rights in domain names; (iii) know-how and confidential information; (iv) applications, extensions and renewals in relation to any of these rights; and (v) all other rights of a similar nature or having an equivalent effect anywhere in the world.

Invention means the invention that is the subject of and that is described in the Patent.

Material includes documents, equipment, software (including source code and object code), goods, information and data relating to the Invention stored by any means, including all copies and extracts of the same but excluding Intellectual Property Rights therein.

Moral Rights includes the following rights of an author of copyright Material:

- (a) the right of attribution of authorship;
- (b) the right to object to derogatory treatment; and
- (c) the right not to have authorship falsely attributed.

Patent means International Patent Application No. PCT/AU2012/000416 filed 20 April 2012 and claiming convention priority from United States Provisional Patent Application No. 61/477,197 filed on 20 April 2011.

2. Acknowledgement of involvement in Invention

Each of the Employees acknowledges that the Invention was made by him or her (along with others) in the Employee's capacity as an employee of BEL under the Employment Contract and in the ordinary course of the Employee's duties of employment with BEL.

3. Confirmation of assignment

With effect on and from the Effective Date, each of the Employees confirms the assignment to BEL, and BEL confirms the assignment to BSM, of all right, title and interest in and to any Intellectual Property Rights as may exist in or relate to the Invention and the Patent, including the rights, throughout the world:

- (a) to apply for and be registered as the owner of any Intellectual Property Rights in or relating to the Invention, including patent rights of any kind claiming priority from or otherwise based on the Patent (including any utility patents, innovation patents, standard patent applications, complete patent applications, applications made under the Paris Convention, applications made under the Patent Cooperation Treaty, any national or regional phase entries, validations, divisionals, continuations, continuations-in-part, additions, re-examinations, refilings, reissues, regrants, registrations, extensions including patent term extensions, pharmaceutical extensions of term and supplementary protection certificates, and renewals);
- (b) to sue for any infringement of any of the Intellectual Property Rights in or relating to the Invention which took place prior to the Effective Date, or which takes place prior to recordal of the assignment that is the subject of this deed, and the right to obtain and retain any damages, account of profits or other available remedy arising from any such suit;
- (c) to enjoy all benefits arising from any registered or unregistered Intellectual Property Rights as may exist in or relate to the Invention;
- (d) to existing or future copyright, if any, in relation to all aspects of the Intellectual Property Rights in or relating to the Invention, or any rights analogous to copyright;
- (e) to all benefits arising from any existing or future copyright; and
- (f) to apply to register and be registered as the owner of copyright in countries that allow registration.

4. Acknowledgement

BEL and each of the Employees of BEL acknowledges that, to the extent not already vested by the Employment Contract, by virtue of clause 3 above, and the Services Deed between BEL and BSM, that any and all right, title and interest in and to any Intellectual Property

Rights as may exist in or relate to the Invention and the Patent is vested in BSM absolutely.

5. Moral Rights

Each of the Employees acknowledges that he or she may have Moral Rights relating to the Invention. Insofar as he or she is able to, each Employee waives his or her Moral Rights relating to the Invention, agrees not to assert the same, and voluntarily and unconditionally consents to all or any acts or omissions by BEL, or by persons authorised by BEL, which would otherwise impact his or her Moral Rights relating to the Invention.

6. Continuing obligations

BEL and each Employee of BEL agrees that on and from the Effective Date:

- (a) any Intellectual Property Rights in the Invention and the Patent will be deemed to be assigned to BSM;
- (b) BEL and each Employee of BEL will keep BSM immediately and fully informed of developments, enhancements, improvements, additions and modifications to any of the Intellectual Property Rights in the Invention and the Patent that he or she is aware of;
- (c) BEL and each Employee of BEL will immediately confidentially disclose to BSM all information in his or her possession or control reasonably necessary to enable BSM to fully exploit, commercialise and develop the Intellectual Property Rights in the Invention and the Patent; and
- (d) each Employee will not enter into any collaborations or research in relation to any of the Intellectual Property Rights in the Invention and the Patent with any third party.

7. Further assurances

BEL and each Employee of BEL must, at the request of BSM and at its expense, do all things reasonably necessary to give effect to the provisions of this deed, including by executing further documents.

8. Confidentiality

BEL and each Employee of BEL must maintain the confidentiality of all aspects of the Intellectual Property Rights in the Invention, except for any information which:

- (a) is lawfully in the public domain, or which enters the public domain after execution of this deed otherwise than as a result of an act or omission of BEL or the Employee;
- (b) is received lawfully from another party on a non-confidential basis; or
- (c) is required by applicable law to disclose, but then only to the minimum extent of that requirement, provided that to the extent permitted by law BEL first gives BSM prompt notice of


BEL's or Employee of BEL's intention to comply with such applicable law and disclose any of the confidential information.

9. Miscellaneous

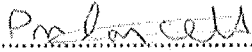
- (a) Amendments and supplements to this deed must be in writing and executed by the parties.
- (b) A term or part of a term of this deed that is illegal or unenforceable may be severed from this deed and the remaining terms or parts of the term of this deed continue in force.
- (c) This deed may be executed in counterparts. All executed counterparts constitute one document.
- (d) BEL and each Employee of BEL acknowledges and agrees that BSM may assign, license or transfer any and all of its right, title and interest under this deed.
- (e) This deed and any dispute that may arise in relation to it or its subject matter are governed by the laws of England & Wales and each party irrevocably submits to the exclusive jurisdiction of the courts of England & Wales.

EXECUTED as a deed by the parties

SIGNED and DELIVERED by
David John HAYDON


.....
Signature


In the presence of


.....
Signature of witness


PAUL LANCETT
.....
Name of witness (print)

Date: 25/JUN/2013
.....

SIGNED and DELIVERED by
Neil Robert STOKES


.....
Signature

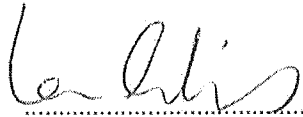
in the presence of


.....
Signature of witness


JAMES BENNETT
.....
Name of witness (print)

Date: 25th June 2012
.....

SIGNED and DELIVERED by
Ian COLLINS


.....
Signature

In the presence of

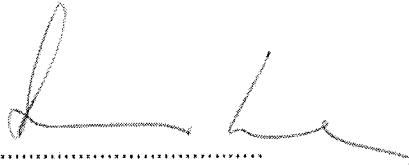

.....
Signature of witness

H.J. PEASLEY
.....
Name of witness (print)

Date: 25 JUNE 2013
.....

**SIGNED and DELIVERED by
BIOTA EUROPE LIMITED
acting by**

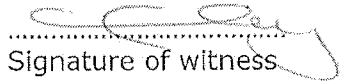
a Director



.....
Signature

D LISMORE
.....
Name (print)

in the presence of

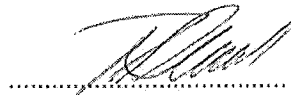

.....
Signature of witness

KRISTY MCCAMLEY
.....
Name of witness (print)

Date: 1/5/13

**SIGNED and DELIVERED by
BIOTA SCIENTIFIC
MANAGEMENT PTY LTD
acting by**

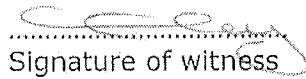
a Director



.....
Signature

RUSSELL H. PLUMB
.....
Name (print)

in the presence of


.....
Signature of witness

KRISTY MCCAMLEY
.....
Name of witness (print)

Date: 1/5/13