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MR

# RECORDATION FORM COVER SHEET PATENTS ONLY

S-122,742

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

## 1. Name of conveying party(ies)

SUNPOWER CORPORATION  
77 RIO ROBLES  
SAN JOSE, CA 95134

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 3. Nature of conveyance/Execution Date(s):

Execution Date(s) 03/05/2012

- ☐ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Joint Research Agreement  
☐ Government Interest Assignment  
☒ Executive Order 9424, Confirmatory License  
☐ Other \_\_\_\_\_

## 2. Name and address of receiving party(ies)

Name: United States Department of Energy

Internal Address: \_\_\_\_\_

Street Address: 1000 Independence Ave., S.W.

City: Washington

State: D.C.

Country: USA Zip: 20585

Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 4. Application or patent number(s):

☐ This document is being filed together with a new application.

A. Patent Application No.(s)

12/972,247

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address to whom correspondence concerning document should be mailed:

Name: James B. Potts

Internal Address: U. S. Dept. of Energy, IPLD

Street Address: 9800 S. Cass Ave

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State: IL Zip: 60439

Phone Number: 630-252-2166

Fax Number: 630-252-2779

Email Address: Confirmatory.License@ch.doe.gov

## 6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ \_\_\_\_\_  
☐ Authorized to be charged by credit card  
☐ Authorized to be charged to deposit account  
☐ Enclosed  
☒ None required (government interest not affecting title)

## 8. Payment Information

a. Credit Card Last 4 Numbers \_\_\_\_\_  
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b. Deposit Account Number \_\_\_\_\_

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## 9. Signature:

Signature

James B. Potts #58.316

Name of Person Signing

6/3/14  
Date

Total number of pages including cover sheet, attachments, and documents:

2

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:  
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, V.A. 22313-1450

PATENT

## CONFIRMATORY LICENSE

**Title of Invention:** Method of Fabricating a Back-Contact Solar Cell and Device Thereof

**Inventor(s):** Bo Li, Peter Cousins & David Smith

**Patent Application Serial No:** 12/972,247

**Filing Date** 12/17/2010

**Patent Number:**

**Issue Date:**

**Name of Contractor** (*i.e. Contractor, Subcontractor, Grant, or Cooperative Agreement, as applicable*):  
SunPower Corporation

**DOE Contract No.** (*i.e. Contract, Subcontract, Grant, or Cooperative Agreement, as applicable*):  
DE-FC36-07GO17043

**DOE Case No.:** S-122,742

**Contractor Docket No.:** IN09040

The Contractor has reported the above-identified invention as a Subject Invention to DOE and has elected to retain title thereto.

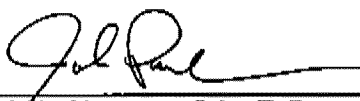
Accordingly, this document is confirmatory of the nonexclusive, nontransferable, irrevocable, paid-up, worldwide license in this subject invention, patent application and any resulting patent, including any continuation, divisional, reissue, or supplemental application thereof, to practice or to have practiced for or on behalf of the United States throughout the world; and of all other rights acquired by the Government by the patent rights clause in the above-identified contract.

It is understood and agreed that this license does not preclude the Government from asserting rights under the provisions of said contract or of any other agreement between the Government and the Contractor, or from asserting any other rights of the Government with respect to the above-identified subject invention.

The Government is hereby granted an irrevocable power to inspect and make copies of the above-identified patent application.

Signed this 5<sup>th</sup> day of March, 2012

SunPower Corporation  
Contractor Name

  
Official's Signature: John T. Peurach, Executive Vice President, Products

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Business Address