502854598 06/17/2014

# PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT2901195

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

### **CONVEYING PARTY DATA**

Name	Execution Date
NEKTAR THERAPEUTICS	12/03/2008

# **RECEIVING PARTY DATA**

Name:	NOVARTIS PHARMA AG
Street Address:	LICHTSTRASSE 35
City:	BASEL
State/Country:	SWITZERLAND
Postal Code:	4002

# **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	12992371

## **CORRESPONDENCE DATA**

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using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

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ATTORNEY DOCKET NUMBER:	PAT053358-US-PCT
NAME OF SUBMITTER:	LINDA ADAMS
SIGNATURE:	/Linda Adams/
DATE SIGNED:	06/17/2014

## **Total Attachments: 4**

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PATENT 502854598 REEL: 033117 FRAME: 0498

# **EXECUTION COPY**

# ASSIGNMENT OF PATENT RIGHTS

This ASSIGNMENT OF PATENT RIGHTS, effective as of December 31, 2008 (this "Agreement"), is entered into by Nektar Therapeutics, a Delaware corporation, having its registered office at Corporation Trust Center, 1209 Orange Street, Wilmington, New Castle County, Delaware 19801 ("Assignor"), for the benefit of Novartis Pharma AG, a corporation organized under the laws of Switzerland having its principal office at Lichtstrasse 35, CH-4002, Basel, Switzerland (the "Assignee").

WHEREAS, Assignor, Assignee, Aerogen, Inc. and Novartis Pharmaceuticals Corporation have entered into that certain Asset Purchase Agreement dated as of October 20, 2008 (the "Purchase Agreement"); and

WHEREAS, Assignor is the owner of those patents and patent applications set forth on Schedule A hereto (the "Assigned Patents"), and Assignor has agreed to sell and assign, and the Assignee has agreed to buy and acquire all of Assignor's rights, title and interests in and to such Assigned Patents.

**NOW, THEREFORE**, in consideration of the sum of One Dollar (\$1.00), and other good and valuable consideration, the receipt of which is hereby acknowledged:

ASSIGNOR HEREBY assigns, transfers and conveys to Assignee all of Assignor's rights, title and interest throughout the world in and to the Assigned Patents, as well as any extensions, divisions, reexaminations, reissues and continuations thereof and any applications or patents that claim priority from such patents and applications, including any foreign counterparts thereto, and all rights, claims and privileges pertaining to any of the foregoing; and

ASSIGNOR HEREBY, in conjunction with the foregoing assignment, authorizes and requests, as necessary, the Commissioner of Patents and Trademarks of the United States, and the corresponding entities or agencies in any country foreign to the United States, to record Assignee as the assignee and owner of the Assigned Patents issued in the United States or issued or registered in any corresponding jurisdiction.

[Signature Appears on the Following Page]

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IN WITNESS WHEREOF, Assignor has caused this Assignment of Patent Rights to be to be executed by its duly authorized representatives effective as of the date written

### **NEKTAR THERAPEUTICS**

Its: **SVP & General Counsel** 

State of NEW YORK

County of NEW YORK) DECEMBER 3 700 before me, JOYE I. HANCIS, a Notary Public in and for said County and State, personally appeared GIL LABRICHERIE and who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument. I certify under penalty of perjury under the laws of the State of NEW Y the foregoing paragraph is true and correct. WITNESS my hand and official seal.

Signature (Seal)

> JOYCE I. FRANCIS Notary Public, State of New York No. 01FR6124292 **Qualified in New York County** Commission Expires March 28, 2009

My Commission E

[Signature Page to the Assignment of Patent Rights - Nektar]

# SCHEDULE A TO ASSIGNMENT OF PATENT RIGHTS

[See Attachment]

PATENT REEL: 033117 FRAME: 0501

# PATENTS AND PATENT APPLICATIONS SCHEDULE

0334.PRO	Q Sn	61/127,780	50N	PUBL NO	United States United States CIPROFLOXACIN BETAINE COMPOSITIONS, METHODS OF MAKING AND USING SUCH	RELATED	STATUS	FILE 5 /15/2008	PUBL ISSUE	SSUE	EXPIRATION
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**PATENT REEL: 033117 FRAME: 0502** 

RECORDED: 06/17/2014