

Form PTO-1595 (Rev. 07/05)  
OMB No. 0651-0027 (exp. 6/30/2008)

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Execution Date(s) January 15, 2014  
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**4. Application or patent number(s):**  This document is being filed together with a new application.  
A. Patent Application No.(s)  
Serial No. 13/969,863  
  
Additional numbers attached?  Yes  No

B. Patent No.(s)  
  
Additional numbers attached?  Yes  No

**5. Name and address to whom correspondence concerning document should be mailed:**  
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**6. Total number of applications and patents involved:** 1  
**7. Total fee (37 CFR 1.21(h) & 3.41) \$** \_\_\_\_\_  
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**9. Signature:** [Signature] 6/5/2014  
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John D. Cravero #71,245 Total number of pages including cover sheet, attachments, and documents: **2**  
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**PATENT**

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Invention Title: Band-like transport in strongly-coupled and doped quantum dot solids: A route to high-performance thin-film electronics

Inventor(s): Cherie Kagan

U.S. Filing/Issue Date: 8/19/2013

Patent or Application Serial No.: 13/969,863

Grant/Contract Number(s): DMR0995165, DMR1120901, CBET0854226, DE-SC0002158, N00014-10-1-0942

Foreign Applications filed/intended in (countries): \_\_\_\_\_

The invention identified above is a Subject Invention under 35 U.S.C. 200, et seq., and the Standard Patent Rights clause at 37 CFR 401.14, FAR 52.227-11 or FAR 52.227-12 (if applicable) which are included among the terms of the above identified grant or contract award from the United State Government. This document is confirmatory of:

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Signed this 15<sup>th</sup> day of January, 2014.

By Kathryn A. Donohue (Institutional Business Official) Kathryn Donohue (Signature)  
 Director, Legal Affairs

Title \_\_\_\_\_

For UNIVERSITY OF PENNSYLVANIA  
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