

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

EPAS ID: PAT2907709

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
FORBES D PORTER	06/19/2014
RECEIVING PARTY DATA	
Name:	THE UNITED STATES OF AMERICA; NATIONAL INSTITUTES OF HEALTH
Street Address:	16011 EXECUTIVE BOULEVARD SUITE 325, MSC 7660
Internal Address:	OFFICE OF TECHNOLOGY TRANSFER
City:	BETHESDA
State/Country:	MARYLAND
Postal Code:	20892-7660
PROPERTY NUMBERS Total: 2	
Property Type	Number
Application Number:	61071074
Application Number:	12385529
CORRESPONDENCE DATA	
Fax Number:	
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	3149890877
Email:	saul@zacksonlaw.us
Correspondent Name:	SAUL L ZACKSON
Address Line 1:	1100 CORPORATE SQUARE DRIVE
Address Line 2:	ZACKSON LAW LLC
Address Line 4:	CREVE COEUR, MISSOURI 63132
ATTORNEY DOCKET NUMBER:	1001-0485
NAME OF SUBMITTER:	SAUL L ZACKSON
SIGNATURE:	/Saul L Zackson/
DATE SIGNED:	06/20/2014
Total Attachments: 3	
source=1001-0485_Porter_Assignment-f#page1.tif	
source=1001-0485_Porter_Assignment-f#page2.tif	
source=0485_Assignment_Recordation#page1.tif	

**ASSIGNMENT FOR NIH/FDA/CDC INVENTIONS AIA
(NIH OR FDA OR CDC AND LEIDOS BIOMEDICAL CO-INVENTORS ONLY)**

WHEREAS, I/we,

1. Dr. Forbes D. Porter, an employee/employees of the National Institute of Child Health and Human Development,

each a/a component of the United States Department of Health and Human Services having an address at the Office of Technology Transfer, National Institutes of Health, 6011 Executive Boulevard, Suite 325, MSC 7660, Bethesda, MD 20892-7660, have made certain inventions as described and claimed in:

1. U.S. Provisional Patent Application 61/071,074, filed 4/11/2008, and which is entitled "Disease specific biomarkers for niemann-pick C disease"
2. U.S. Utility Patent Application 12/385,529, filed 4/10/2009, and which is entitled "Biomarkers for niemann-pick C disease and related disorders"; and
3. U.S. Continuation Patent Application 13/786,757, filed 3/6/2013, and which is entitled "Methods of determining efficacy of cyclodextrin therapy"

all of which are collectively referred to as "**The Patent Application(s)**"

WHEREAS, I/we are the inventor(s) named in **The Patent Application(s)**; and

WHEREAS, the inventions described and claimed in **The Patent Application(s)** were made under conditions such as to entitle **THE UNITED STATES OF AMERICA**, as represented by the **Secretary, Department of Health and Human Services** [hereinafter **THE GOVERNMENT**] under Executive Order 10096 and its amendments, 35 USC §§200-202, §§206-207, and §210 and 37 CFR Part 501 and 37 CFR Part 401 to the entire right, title, and interest in such inventions; and

WHEREAS, **THE GOVERNMENT** is desirous of acquiring all domestic and foreign right, title, and interest in the inventions described and claimed in **The Patent Application(s)**; and

NOW, THEREFORE, having the legal obligation to assign my/our right, title and interest in the inventions described and claimed in **The Patent Application(s)** to **THE GOVERNMENT**, having a place of business at the Office of Technology Transfer, National Institutes of Health, 6011 Executive Boulevard, Suite 325, MSC 7660, Bethesda, MD 20892-7660 and for consideration, the receipt and sufficiency of which are hereby acknowledged, I/we hereby assign and transfer to **THE GOVERNMENT**:

the entire right, title, and interest in and to any and all inventions described and claimed in **The Patent Applications** and any and all Letters Patent(s) which may be granted thereon, and any and all continuations, divisions, reissues or extensions thereof; and

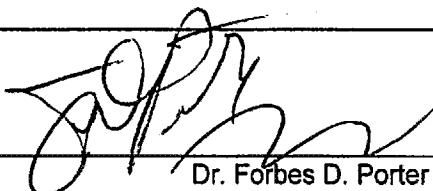

all rights to claim priority on the basis of **The Patent Application(s)** including but not limited to applications filed through the provisions of the Patent Cooperation Treaty ("PCT Applications"); and

all applications for Letters Patent(s) that may be filed for any and all inventions described and claimed in **The Patent Application(s)** that may be filed in any foreign country and all Letters Patents that may be granted thereon in any foreign country and all extensions, renewals, and reissues thereof; and

any and all such Letters Patents as may be granted in the United States or any foreign country to be held by **THE GOVERNMENT** to the end of the term for which the same would have been held by the inventors had this assignment not been made.

I/we further agree to make, execute, and deliver to **THE GOVERNMENT**, upon request, any and all papers, documents, affidavits, or other instruments that may be necessary in the prosecution of any application or applications for improvements or reissues of Letters Patent, and to assist **THE GOVERNMENT** in every way as may be requested in protecting said invention.

IN WITNESS WHEREOF we subscribe our hands and seals on the dates shown below:

Inventor 1:	
<u>6/19/2014</u> Date	 Dr. Forbes D. Porter
County of <u>Montgomery</u> Ss:	
State of <u>Maryland</u>	
Subscribed and sworn to before me this <u>19</u> day of <u>June</u> , 2014	
{Seal}	 Notary Public for _____ My Commission Expires <u>NOV 14 2017</u> LAURENCE D. RICHARDS EXP 11/14/17

RECORDATION FORM COVER SHEET
PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)

Forbes D. Porter

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) June 19, 2014

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Joint Research Agreement
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other _____

2. Name and address of receiving party(ies)

Name: The United States of America, National Institutes of Health

Internal Address: Office of Technology Transfer

National Institutes of Health

Street Address: 16011 Executive Boulevard

Suite 325, MSC 7660

City: Bethesda

State: Maryland

Country: US Zip: 20892-7660

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

☐ This document serves as an Oath/Declaration (37 CFR 1.63).

A. Patent Application No.(s)

B. Patent No.(s)

61/071,074; 12/385,529

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Saul L. Zackson

Internal Address: Zackson Law LLC

Street Address: 1100 Corporate Square Drive Suite 211

City: Creve Coeur

State: MO Zip: 63132-2933

Phone Number: 314.989.0877

Docket Number: 1001-0485

Email Address: saul@zacksonlaw.us

6. Total number of applications and patents involved: 2

7. Total fee (37 CFR 1.21(h) & 3.41) \$0

- ☐ Authorized to be charged to deposit account
☐ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

Deposit Account Number _____

Authorized User Name _____

9. Signature: /Saul L. Zackson/

6/20/2014

Signature

Date

Saul L. Zackson

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

3

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

PATENT

RECORDED: 06/20/2014

REEL: 033149 FRAME: 0603