# PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT2911626

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

### **CONVEYING PARTY DATA**

Name	Execution Date
KASSIE TERRA-LYNN BETTS	03/25/2014
SIMON LEWIS BILTON	03/25/2014
ADRIAN BARCLAY CAROEN	03/27/2014
CHRISTOPHER JOHN JONES	03/25/2014
GAREN KOUYOUMJIAN	03/25/2014

### **RECEIVING PARTY DATA**

Name:	CONOPCO, INC., D/B/A UNILEVER
Street Address:	800 SYLVAN AVENUE
Internal Address:	AG WEST, S. WING
City:	ENGLEWOOD CLIFFS
State/Country: NEW JERSEY	
Postal Code: 07632	

### **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	14355594

# **CORRESPONDENCE DATA**

**Fax Number:** (201)894-2400

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

**Phone:** 201-894-2548

**Email:** patentgroupus@unilever.com

Correspondent Name: PATRICIA O'DONNELL Address Line 1: 800 SYLVAN AVENUE AG WEST, S. WING

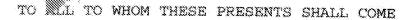
Address Line 4: ENGLEWOOD CLIFFS, NEW JERSEY 07632

ATTORNEY DOCKET NUMBER:	J4225USW
NAME OF SUBMITTER:	PATRICIA O'DONNELL
SIGNATURE:	/Patricia O'Donnell/
DATE SIGNED:	06/24/2014
	This document serves as an Oath/Declaration (37 CFR 1.63).

PATENT

502865029 REEL: 033168 FRAME: 0055

# Total Attachments: 11 source=J4225USw-Assignment#page1.tif source=J4225USw-Assignment#page2.tif source=J4225USw-Assignment#page3.tif source=J4225USw-Assignment#page4.tif source=J4225USw-Assignment#page5.tif source=J4225USw-Assignment#page6.tif source=J4225USw-Assignment#page7.tif source=J4225USw-Assignment#page8.tif source=J4225USw-Assignment#page9.tif source=J4225USw-Assignment#page10.tif source=J4225USw-Assignment#page11.tif



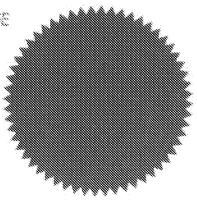
I, RICHARD MATTHEW ARMITAGE of 42 Warwick Street, Leamington Spa, Warwickshire, England Notary Public HEREBY CERTIFY that KASSIE TERRA-LYNN BETTS, SIMON LEWIS BILTON, CHRISTOPHER JOHN JONES and GAREN KOUYOUMJIAN duly signed the annexed document and that the signatures "Kassie Betts, S.L. Bilton, C.J. Jones and Garen Kouyoumjian" thereto subscribed are of their own proper handwriting

IN FAITH AND TESTIMONY WHEREOF I have hereunto set my hand and Seal of Office this  $2^{nd}$  day of April Two thousand and fourteen QUOD VERITATEM ATTESTOR

NOTARY PUBLIC

ENGLAND

RICHARD M. ARMITAGE NOTARY PUBLIC LEAMINGTON SPA



-		(Convention de	APOSTILLE (Convention de La Haye du 5 octobre 1961)
4m;	1. Country:	United Kingdom	United Kingdom of Great Britain and Northern Ireland
	This public document	This public document	nto público
તાં	2. Has been signed by a été signé par ha sido firmado por		Richard M Armitage
က	Acting in the capa agissant en qualité de quien actúa en calidad de	apacity of	Notary Public
খ	Bears the seal/stamp est revetu du sceau / limbre de y está revetido del sello / limbre y	g de	The Said Notary Public
		Att	<b>Certified</b> Attesté / Certificado
<u> </u>	. <b>at</b> 3/en	London	6. the 09 April 2014
L	7. bog / red	Her Majesty's Pr	Her Majesty's Principal Secretary of State for Foreign and Commonwealth Affairs

M. O Dell 10. Signature: Signature: Firma 9. Seal / stamp:
Selto / timbre:

K017599

sous no / bajo el número

Number

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0058

### **DECLARATION / ASSIGNMENT STATEMENT**

WHEREAS, IME,

BETTS Kassie Terra-Lynn, with Residence address at: Carlsbad, California, US and Mailing address at 6329 Encanto Drive, Carlsbad, California 92009, US

BILTON Simon Lewis, with Residence address at: Learnington Spa, Warwickshire, GB and Mailing address at DCA Design International, 19 Church Street, Warwick, Warwickshire, CV34 4AB, GB

CAROEN Adrian Barclay, with Residence address at: Fulking, Sussex, GB and Mailing address at Seymour Powell Ltd, 327 Lillie Road, London, SW6 7NR, GB

JONES Christopher John, with Residence address at: Tewkesbury, Gloucestershire, GB and Mailing address at DCA Design International, 19 Church Street, Warwick, Warwickshire, CV34 4AB, GB

KOUYOUMJIAN Garen, with Residence address at: Leamington Spa, Warwickshire, GB and Mailing address at DCA Design International, 19 Church Street, Warwick, Warwickshire CV34 4AB, GB

invented certain improvements in:

### ACTUATOR CAP FOR A FLUID DISPENSER

15217	 	fou	~	

(a)	a patent application, attached hereto,	said application being identified as Unilever Case No
	; or	

(b) PCT International Application No. PCT/EP2012/069950 filed on 9 October 2012 ("the Filing Date").

# I. DECLARATION

As	the i	wolec	named	inven	tor, I	hereby	decla	ire that:
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This declaration is directed to:

-	the	attached	patent	application.	OR

Ithe above-identified PCT International Application.

I hereby declare that I believe I am the original inventor or an original joint inventor of a claimed invention in the application.

I hereby declare that the above-identified international application was made or authorized to be made by me.

I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.

My signature is set forth at the end of this statement.

# II. DUTY OF DISCLOSURE

I/We have reviewed and understand the contents of the above-identified application, including the claims, as amended by any amendment specifically referred to above; and

March 2014

Page 1 of 3

Form 1a - USw when PCT Dec iv not filed

I/We acknowledge the duty to disclose to the United States Patent and Trademark Office all information known by me to be material to patentability as defined in 37 C.F.R. § 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT International filing date of the continuation-in-part application.

### III. ASSIGNMENT

WHEREAS, Conopco, Inc., d/b/a UNILEVER, a corporation of New York, having a place of business at 800 Sylvan Avenue, Englewood Cliffs, New Jersey 07632 desires to acquire the entire right, title and interest in and to the aforesaid application (the "Application") and in and to the invention(s) therein disclosed for the United States (herein understood to include its possessions and territories).

NOW, THEREFORE, in consideration of one dollar (\$1.00) to each of the undersigned in hand paid and other good and valuable executed consideration, the receipt and sufficiency of all of which is hereby acknowledged, I/We, the undersigned, intending to be legally bound, hereby agree to sell, assign, transfer and convey, and hereby do sell, assign, transfer and convey unto said Conopco, Inc., d/b/a UNILEVER, a corporation of New York, having a place of business at 800 Sylvan Avenue, Englewood Cliffs, New Jersey 07632, its successors and assigns, the entire right, title and interest in and to the invention(s) disclosed in the Application in and for the United States, and in and to the Application and any and all divisional, continuation, continuation-in-part, substitute or other applications in the United States describing said invention(s) or improvements thereon (including the right to claim foreign and/or domestic priority of any application(s) describing said inventions), and in and to any and all Letters Patent of the United States which may issue from any of the foregoing applications, including any and all extensions, renewals and reissues thereof, the same to be held by and for the sole and exclusive use and benefit of the said, Conopco, Inc., d/b/a UNILEVER, its successors and assigns.

AND I/We do hereby authorize and request the Commissioner of Patents to issue the said Letters Patent of the United States to said Conopco, Inc., d/b/a UNILEVER, as the assignee of the entire right, title and interest in and to the same.

AND I/We do hereby agree to assist said Conopco, Inc., d/b/a UNILEVER, in the prosecution of any and all said applications and in the prosecution and/or defense of any and all post-grant or other proceedings which may arise involving said invention, and to execute and deliver any powers of attorney, affidavits, assignments, applications, reissues, documents, or other written instruments which, in the opinion of counsel for said, Conopco, Inc., d/b/a UNILEVER, its successors and assigns, may be necessary to secure to said, Conopco, Inc., d/b/a UNILEVER, its successors and assigns, any and all said applications, inventions, improvements, Letters Patent, rights, titles and interests hereby sold, assigned, transferred and conveyed.

This Assignment is effective as of the Filing Date.

March 2014

My/Our signature below is applicable to all pages above, setting out the Declaration, Duty of Disclosure and the Assignment descriptions and obligations:

Dated:	
	Kassie Terra-Lynn BETTS
Dated: 25/03/2014	
	Simon Lewis BILTON
Dated:	
· · · · · · · · · · · · · · · · · · ·	Adrian Barçlay CAROEN
Dated: <u>25 3 204</u>	
7	Christopher John JONES
Dated: 257 37 14	
	Garen KOUYOUMJIAW

Page 2 of 3

PATENT REEL: 033168 FRAME: 0060

Form 1a - USw when PCT Dec iv not filed

I/We acknowledge the duty to disclose to the United States Patent and Trademark Office all information known by me to be material to patentability as defined in 37 C.F.R. § 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT International filing date of the continuation-in-part application.

# III. ASSIGNMENT

WHEREAS, Conopco, Inc., d/b/a UNILEVER, a corporation of New York, having a place of business at 800 Sylvan Avenue, Englewood Cliffs, New Jersey 07632 desires to acquire the entire right, title and interest in and to the aforesaid application (the "Application") and in and to the invention(s) therein disclosed for the United States (herein understood to include its possessions and territories).

NOW, THEREFORE, in consideration of one dollar (\$1.00) to each of the undersigned in hand paid and other good and valuable executed consideration, the receipt and sufficiency of all of which is hereby acknowledged, I/We, the undersigned, intending to be legally bound, hereby agree to sell, assign, transfer and convey, and hereby do sell, assign, transfer and convey unto said Conopco, Inc., d/b/a UNILEVER, a corporation of New York, having a place of business at 800 Sylvan Avenue, Englewood Cliffs, New Jersey 07632, its successors and assigns, the entire right, title and interest in and to the invention(s) disclosed in the Application in and for the United States, and in and to the Application and any and all divisional, continuation, continuation-in-part, substitute or other applications in the United States describing said invention(s) or improvements thereon (including the right to claim foreign and/or domestic priority of any application(s) describing said inventions), and in and to any and all Letters Patent of the United States which may issue from any of the foregoing applications, including any and all extensions, renewals and reissues thereof, the same to be held by and for the sole and exclusive use and benefit of the said, Conopco, Inc., d/b/a UNILEVER, its successors and assigns.

AND I/We do hereby authorize and request the Commissioner of Patents to issue the said Letters Patent of the United States to said Conopco, Inc., d/b/a UNILEVER, as the assignee of the entire right, title and interest in and to the same.

AND I/We do hereby agree to assist said Conopco, Inc., d/b/a UNILEVER, in the prosecution of any and all said applications and in the prosecution and/or defense of any and all post-grant or other proceedings which may arise involving said invention, and to execute and deliver any powers of attorney, affidavits, assignments, applications, reissues, documents, or other written instruments which, in the opinion of counsel for said, Conopco, Inc., d/b/a UNILEVER, its successors and assigns, may be necessary to secure to said, Conopco, Inc., d/b/a UNILEVER, its successors and assigns, any and all said applications, inventions, improvements, Letters Patent, rights, titles and interests hereby sold, assigned, transferred and conveyed.

My/Our signature below is applicable to all pages above, setting out the Declaration, Duty of Disclosure and the

This Assignment is effective as of the Filing Date.

Assignment descriptions and obligations:		1 1 mm.d
Dated: <u>Nav025/201</u> 4		
Dated:	Kassie jerra	Lýnn BETTS V
	Simon Lewis	BILTON
Dated:	-	
	Adrian Barcla	y CAROEN
Dated:		
	Christopher .	John JONES
Dated:		
	Garen KOU'	YOUMJIAN
March 2014	Page 2 of 3	Form 1a - USw when PCT Dec iv not filed

# **Privacy Act Statement**

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting
  a request involving an individual, to whom the record pertains, when the individual has requested assistance
  from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

### **DECLARATION / ASSIGNMENT STATEMENT**

WHEREAS, I/WE,

BETTS Kassie Terra-Lynn, with Residence address at: Carlsbad, California, US and Mailing address at 6329 Encanto Drive, Carlsbad, California 92009, US

BILTON Simon Lewis, with Residence address at: Learnington Spa, Warwickshire, GB and Mailing address at DCA Design International, 19 Church Street, Warwick, Warwickshire, CV34 4AB, GB

CAROEN Adrian Barclay, with Residence address at: Fulking, Sussex, GB and Mailing address at Seymour Powell Ltd, 327 Lillie Road, London, SW6 7NR, GB

JONES Christopher John, with Residence address at: Tewkesbury, Gloucestershire, GB and Mailing address at DCA Design International, 19 Church Street, Warwick, Warwickshire, CV34 4AB, GB

KOUYOUMJIAN Garen, with Residence address at: Leamington Spa, Warwickshire, GB and Mailing address at DCA Design International, 19 Church Street, Warwick, Warwickshire CV34 4AB, GB

invented certain improvements in:

### ACTUATOR CAP FOR A FLUID DISPENSER

which is found in:

(a)	a patent application,	attached hereto,	said application	being identified	as Unilever	Case No	
	or ;						

PCT International Application No. PCT/EP2012/069950 filed on 9 October 2012 ("the Filing Date").

### I. DECLARATION

As the below named inventor, I hereby declare that:

This declaration is directed to:

La me anached patem application. C	ent application, OR	1 1	attached		ı
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M the above-identified PCT International Application.

I hereby declare that I believe I am the original inventor or an original joint inventor of a claimed invention in the application.

I hereby declare that the above-identified international application was made or authorized to be made by me.

I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.

My signature is set forth at the end of this statement.

# II. DUTY OF DISCLOSURE

I/We have reviewed and understand the contents of the above-identified application, including the claims, as amended by any amendment specifically referred to above; and

March 2014

Page 1 of 3

Form 1a - USw when ACTENCTV not filed

**REEL: 033168 FRAME: 0063** 

I/We acknowledge the duty to disclose to the United States Patent and Trademark Office all information known by me to be material to patentability as defined in 37 C.F.R. § 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT International filing date of the continuation-in-part application.

### III. ASSIGNMENT

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NOW, THEREFORE, in consideration of one dollar (\$1.00) to each of the undersigned in hand paid and other good and valuable executed consideration, the receipt and sufficiency of all of which is hereby acknowledged, I/We, the undersigned, intending to be legally bound, hereby agree to sell, assign, transfer and convey, and hereby do sell, assign, transfer and convey unto said Conopco, Inc., d/b/a UNILEVER, a corporation of New York, having a place of business at 800 Sylvan Avenue, Englewood Cliffs, New Jersey 07632, its successors and assigns, the entire right, title and interest in and to the invention(s) disclosed in the Application in and for the United States, and in and to the Application and any and all divisional, continuation, continuation-in-part, substitute or other applications in the United States describing said invention(s) or improvements thereon (including the right to claim foreign and/or domestic priority of any application(s) describing said inventions), and in and to any and all Letters Patent of the United States which may issue from any of the foregoing applications, including any and all extensions, renewals and reissues thereof, the same to be held by and for the sole and exclusive use and benefit of the said, Conopco, Inc., d/b/a UNILEVER, its successors and assigns.

AND I/We do hereby authorize and request the Commissioner of Patents to issue the said Letters Patent of the United States to said Conopco, Inc., d/b/a UNILEVER, as the assignee of the entire right, title and interest in and to the same.

AND I/We do hereby agree to assist said Conopco, Inc., d/b/a UNILEVER, in the prosecution of any and all said applications and in the prosecution and/or defense of any and all post-grant or other proceedings which may arise involving said invention, and to execute and deliver any powers of attorney, affidavits, assignments, applications, reissues, documents, or other written instruments which, in the opinion of counsel for said, Conopco, Inc., d/b/a UNILEVER, its successors and assigns, may be necessary to secure to said, Conopco, Inc., d/b/a UNILEVER, its successors and assigns, any and all said applications, inventions, improvements, Letters Patent, rights, titles and interests hereby sold, assigned, transferred and conveyed.

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My/Our signature below is applicable to all pages above, setting out the Declaration, Duty of Disclosure and the Assignment descriptions and obligations:

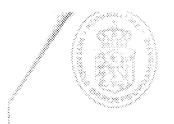
Kassie Terra-Lynn BETTS  Dated: Simon Lewis BILTON  Dated: 27n Mach 2014 Adrian Barclay CAROEN  Dated: Christopher John JONES  Dated: Garen KOUYOUMJIAN  March 2014 Page 2 of 3 Form 1a – USw when PCT Dec iv not filed	Dated:				
Simon Lewis BILTON  Dated: 27n Mich 2014  Adrian Barclay CAROEN  Dated:  Christopher John JONES  Dated:  Garen KOUYOUMJIAN		Kassie Terra	-Lynn BETTS		
Dated: 27n Mich 2014  Adrian Barclay CAROEN  Dated: Christopher John JONES  Dated: Garen KOUYOUMJIAN	Dated:				
Adrian Barclay CAROEN  Dated: Christopher John JONES  Dated: Garen KOUYOUMJIAN		Simon Lewis	BILTON		
Dated:Christopher John JONES  Dated:Garen_KOUYOUMJIAN	Dated: 27n Mach 2014	A2	2		
Christopher John JONES  Dated:  Garen KOUYOUMJIAN		Adrian Barcla	Adrian Barclay CAROEN		
Dated: Garen KOUYOUMJIAN	Dated:				
Garen KOUYOUMJIAN	· · · · · · · · · · · · · · · · · · ·	Christopher.	John JONES		
Garen KOUYOUMJIAN	Dated:				
March 2014 Page 2 of 3 Form 1a – USw when PCT Dec iv not filed		Garen KOU	YOUMJIAN		
	March 2014	Page 2 of 3	Form 1a - USw when PCT Dec iv not filed		

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  evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the
  course of settlement negotiations.
- A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting
  a request involving an individual, to whom the record pertains, when the individual has requested assistance
  from the Member with respect to the subject matter of the record.
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- A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
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- A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

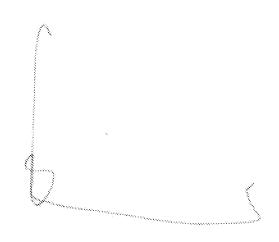


Seen by me, mr. Victor Joseph Antonius Johannes Clemens van Heeswijk, acting as the deputy of his vacant notatial protocol, at that time civil law notary officiating in Rotterdam, for legalisation of the signature placed on the attached document of:

 Mr Adrian Barclay Caroen, with Residence address at: Fulking, Sussex, GB and Mailing address at Seymour Powell Ltd, 327 Lillie Road, London, SW6 7NR, GB.

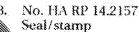
Rotterdam, 7 April 2014



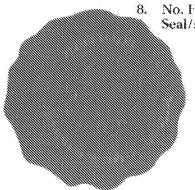


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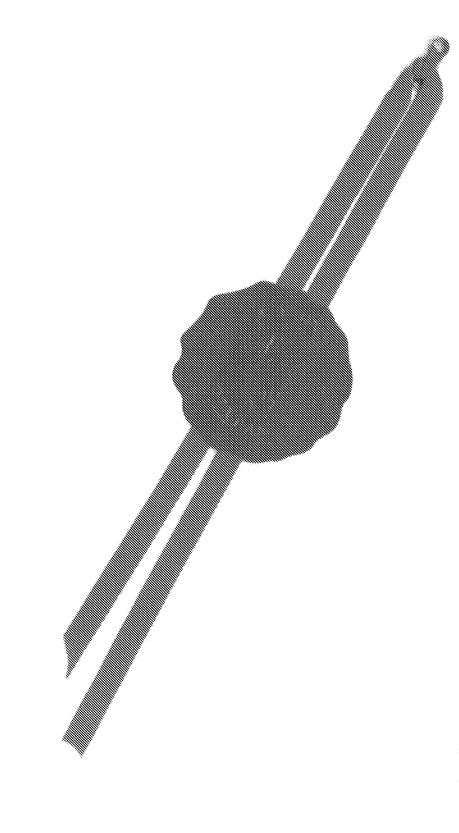
- I. (Convention de la Haye du 5 Octobre 1961) Country: NETHERLANDS
  - This public document
- 2. has been signed by mr. V.J.A.J.C. van Heeswijk
- 3 acting in the capacity of notary
- bears the seal/stamp of mr. V.J.A.J.C. van Heeswijk at Rotterdam Certified
- 5. at Rotterdam 6, 08 April 2014
- by the Clerk of the District Court (Griffier van de Rechtbank)



10. Signature D.A.C. Saija-Kmit



Salar Salar



PATENT REEL: 033168 FRAME: 0067

**RECORDED: 06/24/2014**