

Form PTO-1595 (Rev. 03-11)  
OMB No. 0651-0027 (exp. 04/30/2015)U.S. DEPARTMENT OF COMMERCE  
United States Patent and Trademark OfficeRECORDATION FORM COVER SHEET  
PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

## 1. Name of conveying party(ies)

Stephen J. Klaus, Christopher J. Molineaux, Thomas B. Neff,  
Volkmar Guenzler-Pukall, Ingrid Langsetmo Parobok, Todd W.  
Seeley, and Robert C. StephensonAdditional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 3. Nature of conveyance/Execution Date(s): 8/24/04,

Execution Date(s) 8/10/04, 9/23/04, 8/11/04, 8/10/04,

☒ Assignment 8/17/04, and 8/11/04 ☐ Merger☐ Security Agreement ☐ Change of Name☐ Joint Research Agreement☐ Government Interest Assignment☐ Executive Order 9424, Confirmatory License☐ Other \_\_\_\_\_

## 2. Name and address of receiving party(ies)

Name: FibroGen, Inc.

Internal Address: \_\_\_\_\_

Street Address: 409 Illinois Street

City: San Francisco

State: California

Country: USA Zip: 94158

Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 4. Application or patent number(s):

☐ This document is being filed together with a new application.

A. Patent Application No.(s)

B. Patent No.(s)

U.S. Patent Application No. 14/084,443 filed 19 November 2013

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address to whom correspondence concerning document should be mailed:

Name: Marcella Lillis, Ph.D.

Internal Address: \_\_\_\_\_

Street Address: 409 Illinois Street

City: San Francisco

State: California Zip: 94158

Phone Number: 415-978-1741

Docket Number: FP0606.5 DIV

Email Address: mllillis@fibrogen.com

## 6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) &amp; 3.41) \$ 40.00

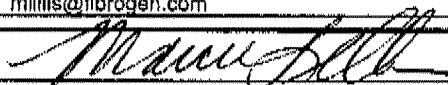
☒ Authorized to be charged to deposit account☐ Enclosed☐ None required (government interest not affecting title)

## 8. Payment Information

Deposit Account Number 50-0811

Authorized User Name Marcella Lillis

## 9. Signature:

  
Signature12 June 2014  
Date

Marcella Lillis, Ph.D., Reg. No. 36,583

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents: 4

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:  
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, V.A. 22313-1480

CH \$40.00 500811 14084443

Attorney Docket No. FP606 US

**ASSIGNMENT OF PATENT APPLICATION**

FOR GOOD AND VALUABLE CONSIDERATION,

We, the undersigned, agree, for good and valuable consideration, receipt of which is hereby expressly acknowledged, to hereby sell, assign, and transfer unto

FibroGen, Inc.  
225 Gateway Blvd.  
South San Francisco, CA 94080

a corporation organized under the laws of the state of Delaware, as Assignee, and its successors, assigns, and legal representatives, or to such nominees as it may designate, the entire right, title, and interest, in all countries, to certain inventions relating to

**ENHANCED ERYTHROPOIESIS AND IRON METABOLISM**

described in an application for Letters Patent of the United States, identified as Attorney Docket No. FP0606 US, filed on 3 June 2004 as U.S. Application Serial No. 10/861,590, and the inventions and improvements set forth therein, and any and all continuations, continuations-in-part, divisionals, and renewals of and substitutes for the application for Letters Patent, and all the rights and privileges under any and all Letters Patent that may be granted therefor in any country, and any reissues, or reexaminations, or extensions of the Letters Patent. We request that any and all Letters Patent for the inventions be issued to the Assignee, to its successors, assigns, and legal representatives, or to such nominees as it may designate.

We agree that, when requested, we will, without charge to, but at the expense of, the Assignee, sign all papers, take all rightful oaths, and do all acts which may be necessary, desirable, or convenient for securing and maintaining patents for the inventions in any and all countries and for vesting title thereto in the Assignee, its successors, assigns, and legal representatives, or to such nominees as it may designate.

We authorize and empower the Assignee, its successors, assigns, and legal representatives, or such nominees as it may designate, to invoke and claim for any application for patent or other form of protection for the inventions filed by it or them, the benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for it, and to invoke and claim such right of priority without further written or oral authorization from us.

We hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any assignment, consent to file, or like document which may be required in any country for any purpose, and, more particularly, is proof of the right of the Assignee, its successors, assigns, and legal representatives, or such nominees as it may designate, to claim the aforesaid benefit of the right of priority provided by the International Convention which may henceforth be substituted for it.

We covenant with the Assignee, its successors, assigns, and legal representatives, or such nominees as it may designate, that the rights and property herein conveyed are free and clear of any encumbrance, and that we have full right to convey the same as herein expressed.

IN WITNESS WHEREOF, we have signed our names on the day and year set forth below.

  
Inventor's Signature

8/26/04  
DATE

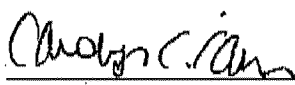
Stephen J. Klaus  
Inventor's Printed Name

STATE OF California )

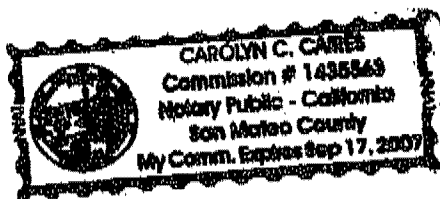
) SS

COUNTY OF San Mateo )

On this 26<sup>th</sup> day of August, 20 04, before me personally appeared Stephen J. Klaus who is personally known by me or proved to me on the basis of satisfactory evidence to be the person who executed the foregoing assignment, and who acknowledged to me that he/she executed the same of his/her own free will for the use and purposes therein set forth.

  
Carolyn C. Cairns  
NOTARY PUBLIC

(seal of Notary)



**COPY**

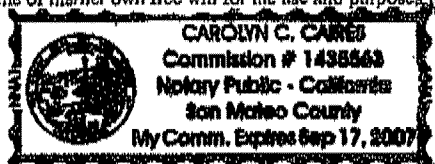
*Christopher J. Molineaux*  
Inventor's Signature

10 Aug 2004  
DATE

Christopher J. Molineaux  
Inventor's Printed Name

STATE OF California )  
COUNTY OF San Mateo ) SS

On this 10<sup>th</sup> day of August, 2004, before me personally appeared Christopher J. Molineaux who is personally known by me or proved to me on the basis of satisfactory evidence to be the person who executed the foregoing assignment, and who acknowledged to me that he/she executed the same of his/her own free will for the use and purposes therein set forth.



(seal of Notary)

*Carolyn C. Cairns*  
Carolyn C. Cairns  
NOTARY PUBLIC

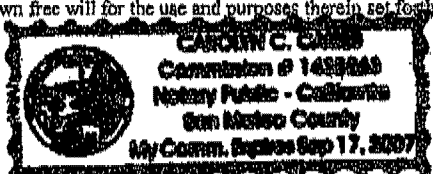
*Thomas B. Neff*  
Inventor's Signature

23 Sep 04  
DATE

Thomas B. Neff  
Inventor's Printed Name

STATE OF California )  
COUNTY OF San Mateo ) SS

On this 23<sup>rd</sup> day of September, 2004, before me personally appeared Thomas B. Neff who is personally known by me or proved to me on the basis of satisfactory evidence to be the person who executed the foregoing assignment, and who acknowledged to me that he/she executed the same of his/her own free will for the use and purposes therein set forth.



(seal of Notary)

*Carolyn C. Cairns*  
Carolyn C. Cairns  
NOTARY PUBLIC

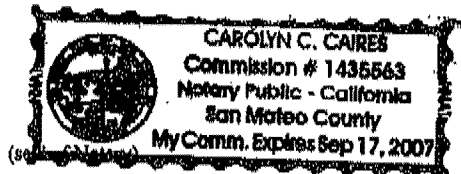
*Volkmar Guenzler-Pukall*  
Inventor's Signature

9/11/2004  
DATE

Volkmar Guenzler-Pukall  
Inventor's Printed Name

STATE OF California )  
COUNTY OF San Mateo ) SS

On this 11<sup>th</sup> day of August, 2004, before me personally appeared Volkmar Guenzler-Pukall who is personally known by me or proved to me on the basis of satisfactory evidence to be the person who executed the foregoing assignment, and who acknowledged to me that he/she executed the same of his/her own free will for the use and purposes therein set forth.



(seal of Notary)

*Carolyn C. Cairns*  
Carolyn C. Cairns  
NOTARY PUBLIC

Inventor's Signature

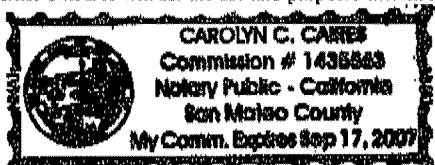
DATE

Ingrid Langsetmo Parobok  
Inventor's Printed Name

STATE OF California

COUNTY OF San Mateo

On this 10<sup>th</sup> day of August, 2004, before me personally appeared Ingrid Langsetmo Parobok who is personally known by me or proved to me on the basis of satisfactory evidence to be the person who executed the foregoing assignment, and who acknowledged to me that he/she executed the same of his/her own free will for the use and purposes therein set forth.



(seal of Notary)

Carolyn C. Cairns  
NOTARY PUBLIC

Inventor's Signature

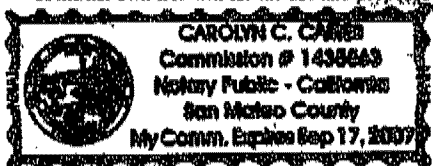
DATE

Todd W. Seeley  
Inventor's Printed Name

STATE OF California

COUNTY OF San Mateo

On this 17<sup>th</sup> day of August, 2004, before me personally appeared Todd W. Seeley who is personally known by me or proved to me on the basis of satisfactory evidence to be the person who executed the foregoing assignment, and who acknowledged to me that he/she executed the same of his/her own free will for the use and purposes therein set forth.



(seal of Notary)

Carolyn C. Cairns  
NOTARY PUBLIC

Inventor's Signature

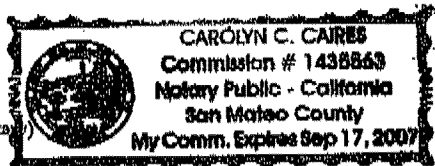
DATE

Robert C. Stephenson  
Inventor's Printed Name

STATE OF California

COUNTY OF San Mateo

On this 11<sup>th</sup> day of August, 2004, before me personally appeared Robert C. Stephenson who is personally known by me or proved to me on the basis of satisfactory evidence to be the person who executed the foregoing assignment, and who acknowledged to me that he/she executed the same of his/her own free will for the use and purposes therein set forth.



(seal of Notary)

Carolyn C. Cairns  
NOTARY PUBLIC