# PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT2914534

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

### **CONVEYING PARTY DATA**

Name	Execution Date
MR. MAXIMILIAN FICHTNER	03/28/2014
MR. HORST HAHN	03/28/2014
MR. RAJU PRAKASH	04/02/2014

## **RECEIVING PARTY DATA**

Name:	KARLSRUHER INSTITUT FUER TECHNOLOGIE	
Street Address:	KAISERSTR. 12	
City:	KARLSRUHE	
State/Country:	GERMANY	
Postal Code:	76131	

## **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	14349074

## **CORRESPONDENCE DATA**

**Fax Number:** (000)000-0000

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided: if that is unsuccessful, it will be sent via US Mail.

using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Phone: +49 2102 168928-0 Email: mail@thot-patent.de

Correspondent Name: PATENT LAW OFFICES OF DR. NORMAN B. THOT

**Address Line 1:** P.O. BOX 10 17 56

Address Line 4: RATINGEN, GERMANY 40837

ATTORNEY DOCKET NUMBER:	KIT 1401 US-PAT
NAME OF SUBMITTER:	NORMAN B. THOT
SIGNATURE:	/Norman B. Thot/
DATE SIGNED:	06/26/2014

# **Total Attachments: 6**

source=Executed\_Assignment\_Fichtner\_Hahn#page1.tif source=Executed\_Assignment\_Fichtner\_Hahn#page2.tif source=Executed\_Assignment\_Fichtner\_Hahn#page3.tif

source=Executed\_Assignment\_Fichtner\_Prakash#page1.tif

 $source=Executed\_Assignment\_Fichtner\_Prakash\#page2.tif$ 

502867937 REEL: 033181 FRAME: 0554

PATENT

 $source = Executed\_Assignment\_Fichtner\_Prakash\#page3.tif$ 

PATENT REEL: 033181 FRAME: 0555

# ASSIGNMENT

I, Maximilian Fichtner, a citizen of Germany, residing at Roehlichstrasse 31, 68723 Oftersheim; GERMANY;

I, Horst Hahn, a citizen of Germany, residing at Im Berggarten 14a, 64342 Seeheim-Jugenheim; GERMANY; and

I, Raju Prakash, a citizen of India, residing at Centre for Automotive Research (CAR), IITM Research Park, Phase 1, 2. FLSec.B1, 6 Kanagam Road, Taramani, Chennai-600113; INDIA;

and each of us, if more than one person is identified above (hereinafter "ASSIGNOR") in consideration of the sum of Ten Dollars (\$10.00), or the equivalent thereof, and other good and valuable consideration, the sufficiency of which and receipt of which are hereby acknowledged, paid to ASSIGNOR by

### KARLSRUHER INSTITUT FUER TECHNOLOGIE

a public university and research institute organized under the laws of Germany, located at Kaiserstrasse 12, 76131 Karlsruhe, GERMANY (hereinafter "ASSIGNEE"), do hereby sell and assign to said ASSIGNEE, its successors and assigns, the below indicated right, title, and interest, in and for the United States of America only in and to my Invention entitled:

CARBON ENCAPSULATED TRANSITION METAL OXIDE NANOCOMPOSITE, A
METHOD FOR ITS PREPARATION AND ITS USE IN LI-ION BATTERIES

invented by me and described in PCT/EP2012/004042, filed September 26, 2012 in the PCT, including but not limited to the right to claim priority, and all patents, divisions, reissues, continuations, continuations-in-part and any extensions thereof and rights of priority therein, including the right to sue for past infringement and any such recovery, said interest being my entire ownership interest in the same, to be held and enjoyed by said ASSIGNEE, its successors, assigns, or other legal representatives, to the full end of the term thereof, as fully

Page 1 of 3



and entirely as the same would have been held and enjoyed by me if this assignment and sale had not been made, including all rights of Assignor to recover for past infringement thereof;

And for the consideration aforesaid, I hereby covenant and agree to and with said ASSIGNEE, its successors and assigns, that whenever ASSIGNEE, its counsel or representative, or the counsel or representative of its successors or assigns, shall advise that an amendment to, or a division of, or any other proceeding or action in connection with an application concerning said Invention, including interference proceedings, is lawful and desirable, or that a reissue or continuation or extension of such application or patent issuing therefrom is lawful and desirable, I will sign all papers and drawings, take all rightful oaths and affidavits, and do all acts necessary or required to be done for the procurement of all lawful rights associated with the Invention, or for the reissue or continuation or extension of the same, will do all acts necessary or required to secure in said ASSIGNEE, its successors or assigns, the title to and full benefit of all rights hereby assigned, without charge to said ASSIGNEE or its successors or assigns, but at its or their expense; and I hereby appoint every present or future officer of said ASSIGNEE as my agent to sign all such papers and to do all such necessary acts on my behalf, to the fullest extent permitted by law;

And I hereby authorize and request the Commissioner of Patents and Trademarks and any other granting authority to issue any Letters Patent resulting from said Invention and application(s) concerning same to said ASSIGNEE.

This assignment shall have an effective date corresponding to the last date of execution.

I declare under penalty of perjury under the laws of the United States of America, and under penalty of the laws of any other jurisdiction before which this document may be presented, that I have signed this document as my own free act and that all of the foregoing is true and correct.

Dated: 78.3.2014

Maximilian Fichtner, Inventor

Dated: 29.3.2014 Horst Hahn, Inventor

Docket No.: KIT 1401 US-PAT

Raju Prakash, Inventor

#### ASSIGNMENT

I, Maximilian Fichtner, a citizen of Germany, residing at Roehlichstrasse 31, 68723 Oftersheim; GERMANY;

I, Horst Hahn, a citizen of Germany, residing at Im Berggarten 14a, 64342 Seeheim-Jugenheim; GERMANY; and

Energy Materials (CAEM), Raju Prakash, a citizen of India, residing at Centre for Automotive Research (CAR), IITM Research Park, Phase 1, 2. Fl.Sec.B1, 6 Kanagam Road, Taramani, Chennai-600113; INDIA;

and each of us, if more than one person is identified above (hereinafter "ASSIGNOR") in consideration of the sum of Ten Dollars (\$10.00), or the equivalent thereof, and other good and valuable consideration, the sufficiency of which and receipt of which are hereby acknowledged, paid to ASSIGNOR by

# KARLSRUHER INSTITUT FUER TECHNOLOGIE

a public university and research institute organized under the laws of Germany, located at Kaiserstrasse 12, 76131 Karlsruhe, GERMANY (hereinafter "ASSIGNEE"), do hereby sell and assign to said ASSIGNEE, its successors and assigns, the below indicated right, title, and interest, in and for the United States of America only in and to my Invention entitled:

CARBON ENCAPSULATED TRANSITION METAL OXIDE NANOCOMPOSITE, A METHOD FOR ITS PREPARATION AND ITS USE IN LI-ION BATTERIES

invented by me and described in PCT/EP2012/004042, filed September 26, 2012 in the PCT, including but not limited to the right to claim priority, and all patents, divisions, reissues, continuations, continuations-in-part and any extensions thereof and rights of priority therein, including the right to sue for past infringement and any such recovery, said interest being my entire ownership interest in the same, to be held and enjoyed by said ASSIGNEE, its successors, assigns, or other legal representatives, to the full end of the term thereof, as fully



and entirely as the same would have been held and enjoyed by me if this assignment and sale had not been made, including all rights of Assignor to recover for past infringement thereof;

And for the consideration aforesaid, I hereby covenant and agree to and with said ASSIGNEE, its successors and assigns, that whenever ASSIGNEE, its counsel or representative, or the counsel or representative of its successors or assigns, shall advise that an amendment to, or a division of, or any other proceeding or action in connection with an application concerning said Invention, including interference proceedings, is lawful and desirable, or that a reissue or continuation or extension of such application or patent issuing therefrom is lawful and desirable, I will sign all papers and drawings, take all rightful oaths and affidavits, and do all acts necessary or required to be done for the procurement of all lawful rights associated with the Invention, or for the reissue or continuation or extension of the same, will do all acts necessary or required to secure in said ASSIGNEE, its successors or assigns, the title to and full benefit of all rights hereby assigned, without charge to said ASSIGNEE or its successors or assigns, but at its or their expense; and I hereby appoint every present or future officer of said ASSIGNEE as my agent to sign all such papers and to do all such necessary acts on my behalf, to the fullest extent permitted by law;

And I hereby authorize and request the Commissioner of Patents and Trademarks and any other granting authority to issue any Letters Patent resulting from said Invention and application(s) concerning same to said ASSIGNEE.

This assignment shall have an effective date corresponding to the last date of execution.

I declare under penalty of perjury under the laws of the United States of America, and under penalty of the laws of any other jurisdiction before which this document may be presented, that I have signed this document as my own free act and that all of the foregoing is true and correct.

Dated: 78.3.2014

Maximilian Fichtner, Inventor

Dated:		
	<del></del>	Horst Hahn, Inventor

 $\mathcal{Q}_{\!\scriptscriptstyle{f A}}$ 

Dated: 02.04.2014 Proceedings Raju Pyakash, Inventor