## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT2919300

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

#### **CONVEYING PARTY DATA**

Name	Execution Date
TON THAT HAI	06/23/2014
JOHN-BRUCE DEVAULT GREEN	06/23/2014
TIMOTHY MICHAEL FULGHUM	06/30/2014

#### **RECEIVING PARTY DATA**

Name:	BAXTER INTERNATIONAL INC.	
Street Address:	ONE BAXTER PARKWAY	
City:	DEERFIELD	
State/Country:	ILLINOIS	
Postal Code:	60015	
Name:	BAXTER HEALTHCARE SA	
Street Address:	THURGAUERSTRASSE 130	
City:	GLATTPARK (OPFIKON)	
State/Country:	SWITZERLAND	
Postal Code:	8152	

#### **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	14298693

#### CORRESPONDENCE DATA

**Fax Number:** (312)474-0448

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

**Email:** anagelberg@marshallip.com

Correspondent Name: MARSHALL, GERSTEIN & BORUN LLP

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Address Line 4: CHICAGO, ILLINOIS 60606

ATTORNEY DOCKET NUMBER:	31203/47385A
NAME OF SUBMITTER:	AUDREY NAGELBERG
SIGNATURE:	/Audrey Nagelberg/
DATE SIGNED:	06/30/2014

502872703 REEL: 033209 FRAME: 0648

## **Total Attachments: 4**

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PATENT REEL: 033209 FRAME: 0649

Filed: June 6, 2014

Attorney Docket No. P6703US01 (31203/47385A)

For good and valuable considerations, the receipt and sufficiency whereof are hereby acknowledged, we hereby assign to **BAXTER INTERNATIONAL INC.**, a corporation of Delaware, having a principal place of business at Deerfield, Illinois, its successors, legal representatives and assigns, and to **BAXTER HEALTHCARE SA**, a corporation of Switzerland, having a principal place of business at Glattpark (Opfikon), Switzerland, its successors, legal representatives and assigns, (hereinafter referred to as "assignee") the entire right, title and interest throughout the world, to the extent not previously assigned to assignee, in our invention or improvements in

## Immobilization of an Active Agent on a Substrate Using Compounds Including Trihydroxyphenyl Groups

and, effective as of the date of filing, in the above listed application(s) for Letters Patent therefor, executed by each of us individually, and any and all other patent applications in any and all countries which have been or may hereafter be filed, either solely or jointly with others, on said invention or improvements, and in any and all Letters Patent of any country which may be obtained on any of the said applications, and in any reissue or extension thereof and any applications that claim priority thereto, including any regional and national stage applications.

We hereby authorize and request the patent offices of all countries to issue to the said assignee all patents that may issue on said invention or improvements. We hereby authorize and request the attorneys of record in said application to insert in this assignment the date and serial number and docket number of said applications when officially known.

We warrant ourselves to be the owners of the interest herein assigned and to have the right to make this assignment; and further warrant that there are no outstanding prior assignments, licenses, or other rights to any person or entity other than the assignee in the interest herein assigned.

For said considerations we hereby agree, upon the request and at the expense of said assignee, its successors, legal representatives and assigns, to execute any and all divisional, continuation, and renewal applications for said invention or improvements, and any necessary oath or supplemental oath or affidavit relating thereto, and any application for the reissue or extension of any Letters Patent that may be granted upon said application, including any regional and national stage applications that claim priority thereto, that said assignee, its successors, legal representatives and assigns may deem necessary or expedient, and for the said considerations we further agree, upon the request of said assignee, its successors, legal representatives and assigns, in the event of said application or any continuation or division thereof, or Letters Patent issued thereon, or any reissue or application for the reissue thereof becoming involved in interference, to cooperate to the best of our ability with said assignee, its successors, legal representatives and assigns in the matters of preparing and executing the preliminary statement and giving and producing evidence in support thereof.

We further agree to perform, upon such request, any and all affirmative acts, including but not limited to execution of any and all documents deemed necessary or expedient by the said assignee or its successors, legal representatives or assigns, to obtain Letters Patent, and vest all rights therein hereby conveyed in the said assignee, its successors, legal representatives and assigns whereby said Letters Patent will be held and enjoyed by the said assignee, its successors, legal representatives and assigns to the end of the term for which said Letters Patent may be granted as fully and entirely as the same would have been held and enjoyed by us if this assignment and sale had not been made, and for the said considerations we hereby also assign to said assignee, its successors, legal representatives and assigns the entire right, title and interest in said invention or improvements for any and all foreign countries and the right of priority for patent and utility model applications in all countries arising under any applicable international convention for the protection of industrial property and/or any internal priority legislation of such countries, and we further agree upon the request of said assignee, its successors, legal representatives and assigns to execute any and all documents that shall be required to be executed in connection with any and all applications for foreign Letters Patent therefor, including the prosecution thereof, and to execute any and all documents necessary to invest title in said foreign applications and patents in said assignee.

WITNESS our hand and seal.

PATENT REEL: 033209 FRAME: 0650

Filed: June 6, 2014

Attorney Docket No. P6703US01 (31203/47385A)

### Immobilization of an Active Agent on a Substrate Using Compounds Including Trihydroxyphenyl Groups

Inventor Name: Ion That Hai	Inventor Name: John-Bruce Devault Green
Date <u>6/23/</u> 2014	Date
Signature Don Court	Signature
State of	State of
County of Lauke	County of
On June 73, 1814 before me,	Onbefore me,
Remain J Diet (Notary Public),	(Notary Public),
personally appeared <u>Ion That Hai</u> , personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.	personally appeared <u>John Bruce Devault Green</u> , personally known to me (or proved to me on the basis of satisfactor evidence) to be the person(s) whose name(s) is/an subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.
WITNESS my hand and official seal.	WITNESS my hand and official seal.
Notary Signature Rendered Diver	Notary Signature
(Seal)  OFFICIAL SEAL  RENEE J DOETSCH  NOTATY PUBLIC - STATE OF ILLINOIS	(Seal)

Filed: June 6, 2014

Attorney Docket No. P6703US01 (31203/47385A)

# Immobilization of an Active Agent on a Substrate Using Compounds Including Trihydroxyphenyl Groups

mventor name; for that hat	Inventor Name: John-Bruce Devault Green
Date	Date 6-23-2014
Signature	Signature John Man
State of	State of Selences
County of	County of Lake
On before me,	On 6-23-14 before me,
(Notary Public),	Judoth L. Reinboldt (Notary Public),
personally appeared <u>Ton That Hai</u> , personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.	personally appeared <u>John Bruce Devault Green</u> , personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.
WITNESS my hand and official seal.	WITNESS my hand and official seal.
Notary Signature	Notary Signature Juduh LRembredt
Seal)	(Seal)

"OFFICIAL SEAL"

JUDITH L. REINBOLDT

NOTARY PUBLIC, STATE OF ILLINOIS
MY COMMISSION EXPIRES JUNE 16, 2016

Filed: June 6, 2014

Attorney Docket No. P6703US01 (31203/47385A)

Immobilization of an Active Agent on a Substrate Using Compounds Including Trihydroxyphenyl Groups

Inventor Name: Timothy Michael Fulghum

Date 30 June 2014

Signature Anny Males Fall

State of Illinois

County of Lake

on June 30, 2014 before me,

Jennifer Mosky (Notary Public),

personally appeared <u>Timothy Michael Fulghum</u>, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Notary Signature

(Seal)

OFFICIAL SEAL
JENNIFER K MOSBY
Notary Public - State of Illinois
My Commission Expires Sep 19, 2017

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