

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

EPAS ID: PAT2919311

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
PHILLIP BYRON MESSERSMITH	06/10/2014
TADAS STANISLOVAS SILEIKA	06/11/2014
RECEIVING PARTY DATA	
Name:	NORTHWESTERN UNIVERSITY
Street Address:	633 CLARK STREET
City:	EVANSTON
State/Country:	ILLINOIS
Postal Code:	60208
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	14298693
CORRESPONDENCE DATA	
Fax Number:	(312)474-0448
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Email:	anagelberg@marshallip.com
Correspondent Name:	MARSHALL, GERSTEIN & BORUN LLP
Address Line 1:	233 SOUTH WACKER DRIVE
Address Line 2:	SUITE 6300
Address Line 4:	CHICAGO, ILLINOIS 60606
ATTORNEY DOCKET NUMBER:	31203/47385A
NAME OF SUBMITTER:	AUDREY NAGELBERG
SIGNATURE:	/Audrey Nagelberg/
DATE SIGNED:	06/30/2014
Total Attachments: 6	
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PATENT

ASSIGNMENT

Serial No: 14/298,693

Filed: June 6, 2014

Title: Immobilization Of An Active Agent On A Substrate Using Compounds Including Trihydroxyphenyl Groups

For good and valuable consideration, the receipt and sufficiency of which are hereby agreed, each of the undersigned hereby assigns to NORTHWESTERN UNIVERSITY, 633 Clark Street, Evanston, Illinois 60208 and its successors and assigns ("Assignee") the entire right, title and interest in and to the inventions of such undersigned disclosed in the application listed above, and in any and all other applications anywhere in the world which the undersigned may file and/or be named as an inventor of, solely or jointly, on said inventions, in any and all patents which may be obtained on any of said applications and in any and all reissues, reexaminations, supplemental examinations, inter partes reviews, oppositions, post-grant reviews, supplementary protection certificates and/or extensions thereof, including without limitation, the right to bring suit and to claim and retain all damages and/or seek other remedies for the past, present and future infringement of any of the foregoing, the right of priority, including without limitation to claim priority benefit of or to said patent applications, and request the Commissioner for Patents in the United States and similar authorities outside the United States to issue said patents to and in the name of the Assignee.

Each of the undersigned states that the application listed above is or was made or authorized to be made by him or her. Each of the undersigned believes himself or herself to be the original inventor or an original joint inventor of a claimed invention in the application listed above. Each of the undersigned acknowledges that any willful false statement made by him or her in this paragraph is punishable under 18 U.S.C. §1001 by fine or imprisonment of not more than five years, or both.

Except in favor of Assignee, each of the undersigned warrants that: (i) he or she is the owner of all its rights, title and interests herein assigned and has the right to make this unconditional and irrevocable assignment to Assignee without obtaining any approval or permission of a third party; and (ii) there are no outstanding encumbrances, liens, prior assignments, licenses, or other obligations or restrictions on the rights, title and interests herein assigned.

Upon the request of Assignee and at no expense to the undersigned, each of the undersigned hereby agrees to execute any and all applications on said inventions, including without limitation for the reissue, reexamination, supplementary protection certificate or extension thereof and any oath, declaration or affidavit relating thereto that said Assignee may deem necessary or expedient, and to cooperate to the best of the ability of the undersigned with and perform any and all affirmative acts requested by Assignee to prepare, file, prosecute, maintain, defend, enforce and vest in Assignee the rights, title and interests assigned herein, including without limitation, preparing and executing statements and giving and producing evidence in support thereof, whereby said rights, title and interests will be held and enjoyed by said Assignee to the full end of the term for which said

MARSHALL, GERSTEIN & BORUN LLP, 233 S. Wacker Drive, 6300 Willis Tower, Chicago, Illinois 60606-6357

patents may be granted as fully and entirely as the same would have been held and enjoyed by the undersigned if this assignment had not been made.

On behalf of Assignee, Alicia I. Löffler
Name
Associate Provost, Associate Vice President
Title for Research + Executive Director IVD
hereby confirms Assignee's acceptance of all rights, privileges, title and interests conveyed by this assignment.

Alicia I. Löffler 6/12/14
Signature Date
Jessie K. Mally

WITNESS our hands this

10th day of June, 2014

State of Illinois
County of Cook

Phillip Messersmith
Phillip Byron Messersmith

Tadas Stanislovas Sileika

On this 10th day of June, 2014, before me, a
Notary Public, in and for the County and State aforesaid, appeared
Phillip Byron Messersmith known to me
personally or proved to me on the basis of satisfactory evidence to be the same person/persons whose name
is/are subscribed to the foregoing instrument and acknowledged that he/she/they executed said instrument as
his/her/their free and voluntary act and for the uses and purposes therein expressed.

WITNESS my hand and seal the same day and year last above given.

My Commission Expires:

5/21/2017 Beverly Ruth Milligan
Notary Public



ASSIGNMENT

Serial No: 14/298,693

Filed: June 6, 2014

Title: Immobilization Of An Active Agent On A Substrate Using Compounds Including Trihydroxyphenyl Groups

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Except in favor of Assignee, each of the undersigned warrants that: (i) he or she is the owner of all its rights, title and interests herein assigned and has the right to make this unconditional and irrevocable assignment to Assignee without obtaining any approval or permission of a third party; and (ii) there are no outstanding encumbrances, liens, prior assignments, licenses, or other obligations or restrictions on the rights, title and interests herein assigned.

Upon the request of Assignee and at no expense to the undersigned, each of the undersigned hereby agrees to execute any and all applications on said inventions, including without limitation for the reissue, reexamination, supplementary protection certificate or extension thereof and any oath, declaration or affidavit relating thereto that said Assignee may deem necessary or expedient, and to cooperate to the best of the ability of the undersigned with and perform any and all affirmative acts requested by Assignee to prepare, file, prosecute, maintain, defend, enforce and vest in Assignee the rights, title and interests assigned herein, including without limitation, preparing and executing statements and giving and producing evidence in support thereof, whereby said rights, title and interests will be held and enjoyed by said Assignee to the full end of the term for which said

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patents may be granted as fully and entirely as the same would have been held and enjoyed by the undersigned if this assignment had not been made.

On behalf of Assignee, Alicia I. Löffler, Ph.D.
Name Associate Provost,
Associate Vice President Research
Title Executive Director INVO

hereby confirms Assignee's acceptance of all rights, privileges, title and interests conveyed by this assignment.

Alicia I. Löffler June 13, 2014
Signature Date
Jennifer K. Kelly

WITNESS our hands this

11th day of June, 2014.

State of
County of

IL
Lake

Phillip Byron Messersmith



Tadas Stanislovas Sileika

On this 11th day of June, 2014, before me, a

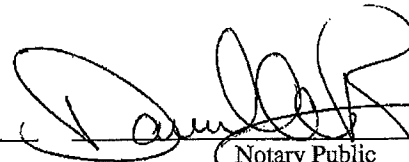
Notary Public in and for the County and State aforesaid, appeared

Tadas Stanislovas Sileika known to me

personally or proved to me on the basis of satisfactory evidence to be the same person/persons whose name is/are subscribed to the foregoing instrument and acknowledged that he/she/they executed said instrument as his/her/their free and voluntary act and for the uses and purposes therein expressed.

WITNESS my hand and seal the same day and year last above given.

My Commission Expires:

Nov. 06, 2017 
Notary Public

