

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1  
 Stylesheet Version v1.2

EPAS ID: PAT2919851

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT
<b>CONVEYING PARTY DATA</b>	
<b>Name</b>	<b>Execution Date</b>
JIANWEI PENG	06/27/2014
XUSHENG WU	06/27/2014
HONG YU	06/30/2014
ZHAO LUN	06/27/2014
<b>RECEIVING PARTY DATA</b>	
<b>Name:</b>	GLOBALFOUNDRIES INC.
<b>Street Address:</b>	PO BOX 309
<b>Internal Address:</b>	UGLAND HOUSE
<b>City:</b>	GRAND CAYMAN
<b>State/Country:</b>	CAYMAN ISLANDS
<b>Postal Code:</b>	KY1-104
<b>PROPERTY NUMBERS Total: 1</b>	
<b>Property Type</b>	<b>Number</b>
<b>Application Number:</b>	14319462
<b>CORRESPONDENCE DATA</b>	
<b>Fax Number:</b>	(518)456-7004
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
<b>Phone:</b>	518-456-7084
<b>Email:</b>	lcronk@kdiplaw.com
<b>Correspondent Name:</b>	KEOHANE & D'ALESSANDRO, PLLC
<b>Address Line 1:</b>	1881 WESTERN AVENUE
<b>Address Line 2:</b>	SUITE 180
<b>Address Line 4:</b>	ALBANY, NEW YORK 12203
<b>ATTORNEY DOCKET NUMBER:</b>	EU716
<b>NAME OF SUBMITTER:</b>	HUNTER E. WEBB
<b>SIGNATURE:</b>	/Hunter E. Webb/
<b>DATE SIGNED:</b>	06/30/2014
This document serves as an Oath/Declaration (37 CFR 1.63).	
<b>Total Attachments: 4</b>	

PATENT

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**COMBINED DECLARATION and ASSIGNMENT (37 CFR 1.63) FOR UTILITY OR  
DESIGN APPLICATION USING AN APPLICATION DATA SHEET (37 CFR 1.76)****Title of  
Invention****MULTI-PHASE SOURCE/DRAIN/GATE SPACER-EPI FORMATION**


As the below named inventor, I hereby declare that:

This declaration ☒ The attached application, or  
is directed to:☐ United States application or PCT international application number \_\_\_\_\_  
filed on \_\_\_\_\_

The above-identified application was made or authorized to be made by me.

I believe that I am the original inventor or an original joint inventor of a claimed invention in the  
application.I hereby acknowledge that any willful false statement made in this declaration is punishable under 18  
U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.I have reviewed and understand the contents of the application and am aware of the duty to disclose to the  
US Patent and Trademark Office all information known to me to be material to patentability as defined in  
37 CFR § 1.56.**ASSIGNMENT**

For good and valuable consideration, the receipt, sufficiency and adequacy of which are hereby  
acknowledged, I hereby sell, assign, transfer, to GLOBALFOUNDRIES Inc. having a business address of  
PO Box 309, Ugland House, Grand Cayman KY1-1104 its successors and assigns, absolutely and forever,  
my entire right, title and interest in and to the invention listed above, together with the Application, any  
and all Patents that may issue in the United States, and any reissues, renewals, continuations,  
continuation-in-parts, divisionals or extensions thereof that may be issued or granted, and all right, title  
and interest to the inventions contained in said Patents and Applications, for the United States, and all the  
rights and privileges relating thereto including but not limited to the priority rights arising from said  
Applications, the right to recover and take all such proceedings as may be necessary for the recovery of  
damages or otherwise in respect of past, present and future infringement, and the right to apply for, take  
and maintain patents on said inventions.

**LEGAL NAME OF INVENTOR**Inventor: Jianwei PengDate: 06/27/2014Signature: 

Note: An application data sheet (PTO/SB/14 or equivalent), including naming the entire inventive entity, must  
accompany this form. Use an additional form for each additional inventor.

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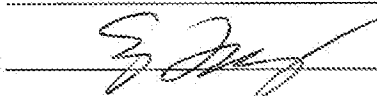
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my entire right, title and interest in and to the invention listed above, together with the Application, any  
and all Patents that may issue in the United States, and any reissues, renewals, continuations,  
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and interest to the inventions contained in said Patents and Applications, for the United States, and all the  
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and maintain patents on said inventions.

**LEGAL NAME OF INVENTOR**Inventor: Xusheng WuDate: 06/27/2014Signature: 

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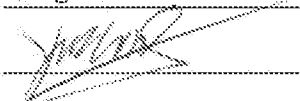
**ASSIGNMENT**

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**LEGAL NAME OF INVENTOR**

Inventor: Hong Yu

Date: 06/31/2014

Signature: 

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**LEGAL NAME OF INVENTOR**

Inventor: Zhao Lun

Date: 6/27/2014

Signature: *Zhao Lun*

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