502879250 07/03/2014

# PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT2925848

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

#### **CONVEYING PARTY DATA**

Name	Execution Date
OCLARO (NORTH AMERICA), INC.	06/06/2014

#### **RECEIVING PARTY DATA**

Name:	II-VI INCORPORATED	
Street Address:	375 SAXONBURG BOULEVARD	
City:	SAXONBURG	
State/Country:	PENNSYLVANIA	
Postal Code:	16056	

#### **PROPERTY NUMBERS Total: 1**

Property Type	Number
Patent Number:	7171073

#### **CORRESPONDENCE DATA**

**Fax Number:** (412)945-5933

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

**Phone:** 4124718815

Email: assignments@webblaw.com
Correspondent Name: THE WEBB LAW FIRM PC

Address Line 1: ONE GATEWAY CENTER, 420 FT. DUQUESNE BLV

Address Line 2: SUITE 1200

Address Line 4: PITTSBURGH, PENNSYLVANIA 15222

ATTORNEY DOCKET NUMBER:	7271-140293	
NAME OF SUBMITTER:	WILLIAM H. LOGSDON	
SIGNATURE:	/William H. Logsdon/	
DATE SIGNED:	07/03/2014	

### **Total Attachments: 3**

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PATENT 502879250 REEL: 033240 FRAME: 0978

Form **PTO-1595** (Rev. 03/11) OMB No. 0651-0027 (exp. 4/30/2015)

RECORDATION FORM COVER SHEET PATENTS ONLY		
	se record the attached documents or the new address(es) below.	
1. Name of conveying party(ies)  Oclaro (North America), Inc.	2. Name and address of receiving party(ies)  Name: II-VI Incorporated  Internal Address:	
Additional name(s) of conveying party(ies) attached? Yes No  3. Nature of conveyance/Execution Date(s):  Execution Date(s) June 6, 2014  Assignment Merger  Security Agreement Change of Name  Joint Research Agreement  Government Interest Assignment  Executive Order 9424, Confirmatory License  Other  4. Application or patent number(s): This of A. Patent Application No.(s)	Street Address: 375 Saxonburg Boulevard  City: Saxonburg  State: Pennsylvania  Country: United States Zip: 16056  Additional name(s) & address(es) attached? Yes V No document is being filed together with a new application.  B. Patent No.(s)  7,171,073	
5. Name and address to whom correspondence concerning document should be mailed:	6. Total number of applications and patents involved: 1	
Name: William H. Logsdon	<b>7. Total fee</b> (37 CFR 1.21(h) & 3.41) \$ 0	
Internal Address: The Webb Law Firm	Authorized to be charged by credit card	
Street Address: One Gateway Center 420 Ft. Duquesne Blvd., Ste 1200	Authorized to be charged to deposit account Enclosed None required (government interest not affecting title)	
City: Pittsburgh	8. Payment Information	
State: <u>PA</u> Zip: <u>15222</u>	a. Credit Card Last 4 Numbers <u>1916</u> Expiration Date <u>05/2015</u>	
Phone Number: 412-471-8815	b. Deposit Account Number 23-0650	
Fax Number: 412-945-5933 Email Address: assignments@webblaw.com	Authorized User Name William H. Logsdon	
9. Signature: // int //	A Indeed 2 2014	
Signature	July 3, 2014  Date	
William H. Logsdon Name of Person Signing	Total number of pages including cover sheet, attachments, and documents:	

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

Doc. No. 2TK6628

## **ASSIGNMENT**

This Assignment is by and between Oclaro (North America), Inc. (formerly Avanex Corporation), a Delaware Corporation, having a place of business at 2560 Junction Avenue, San Jose, California 95134, (hereinafter "Assignor") and II-VI Incorporated, a corporation of Pennsylvania, having a place of business at 375 Saxonburg Boulevard, Saxonburg, Pennsylvania, USA, 16056 (hereinafter "Assignee").

WHEREAS, Assignor is the sole and exclusive owner of the US patent 7,171,073, and the inventions and improvements therein disclosed (hereinafter "said patent");

AND, WHEREAS, Assignee is desirous of acquiring from Assignor and Assignor is desirous of assigning to Assignee the entire right, title, and interest in and to said patent and the inventions and improvements therein disclosed.

NOW, THEREFORE, for good and valuable consideration received by Assignor from Assignee, the receipt and sufficiency of which is hereby acknowledged, Assignor hereby assigns, sells and transfers to Assignee and its successors and assigns the full and exclusive right, title and interest in and to said patent and the inventions and improvements therein disclosed.

Assignor covenants that it is the lawful owner of said patent, inventions and improvements, that the same are unencumbered, that no license has been granted to a third party to make, use or vend the inventions or improvements or any of them, and that Assignor has the full right to make this Assignment.

And for the consideration aforesaid, Assignor agrees to communicate to Assignee or the representatives thereof any facts known to Assignor respecting the inventions and improvements, and will, upon request but without expense to Assignor, testify in any legal proceedings, sign all lawful papers, make all rightful oaths, and generally do all other and further lawful acts deemed necessary or expedient by Assignee or by counsel for Assignee to assist or enable Assignee

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to obtain and enforce full benefits from the rights and interests herein assigned. This Assignment shall be binding upon Assignor and its successors and assigns and shall inure to the benefit of Assignee and its successors and assigns.

Oclaro (North America), Inc.	
By: Ret. J. Manz. (Signature)	_ Date: 6/6/14
Printed Name: GENE Maw	400 Title: Prisiant
STATE of California	
COUNTY of Santa Clara	
On this 64 day of June, in the y  Day Month	Year Year Rotary Public Name
a notary public, personally appeared	Name Of Document Signer

proved on the basis of satisfactory evidence to be the person whose name is subscribed to this instrument, and acknowledged he/she executed the same. Witness my hand and official seal.

NOTARY PUBLIC

**NOTARY SEAL** 



2S20122-Assignment of US 7171073 to II

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