

## PATENT ASSIGNMENT COVER SHEET

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EPAS ID: PAT2930781

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT
<b>CONVEYING PARTY DATA</b>	
<b>Name</b>	<b>Execution Date</b>
OSAKA UNIVERSITY	04/21/2014
NATIONAL CEREBRAL AND CARDIOVASCULAR CENTER	04/21/2014
NATIONAL UNIVERSITY CORPORATION HAMAMATSU UNIVERSITY SCHOOL OF MEDICINE	04/21/2014
<b>RECEIVING PARTY DATA</b>	
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<b>Postal Code:</b>	565-0871
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<b>State/Country:</b>	JAPAN
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<b>State/Country:</b>	JAPAN
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<b>Name:</b>	KOWA COMPANY, LTD.
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<b>City:</b>	AICHI
<b>State/Country:</b>	JAPAN
<b>Postal Code:</b>	460-8625
<b>PROPERTY NUMBERS Total: 1</b>	
<b>Property Type</b>	<b>Number</b>
<b>Application Number:</b>	14241147

**CORRESPONDENCE DATA****Fax Number:** (202)721-8250

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<b>ATTORNEY DOCKET NUMBER:</b>	2014-0174A
<b>NAME OF SUBMITTER:</b>	DONNA KING
<b>SIGNATURE:</b>	/Donna King/
<b>DATE SIGNED:</b>	07/09/2014

**Total Attachments: 4**

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## ASSIGNMENT OF INVENTION

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

**ASSIGNORS:** (1) OSAKA UNIVERSITY  
(Name of ASSIGNOR)

1-1, Yamadaoka, Suita-shi, Osaka 565-0871, Japan  
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(2) NATIONAL CEREBRAL AND CARDIOVASCULAR CENTER  
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(3) NATIONAL UNIVERSITY CORPORATION HAMAMATSU  
UNIVERSITY SCHOOL OF MEDICINE  
(Name of ASSIGNOR)

20-1, Handayama 1-chome, Higashi-ku, Hamamatsu-shi, Shizuoka  
431-3192, Japan  
Address

having been assigned its right, title and interest in the invention by virtue of an Assignment recorded at the U.S. Patent and Trademark Office on April 21, 2014 at Reel 032716, Frame 0869,  
hereby sells, assigns and transfers to

**ASSIGNEES:** (1) OSAKA UNIVERSITY  
(Name of ASSIGNEE)

1-1, Yamadaoka, Suita-shi, Osaka 565-0871, Japan  
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(2) NATIONAL CEREBRAL AND CARDIOVASCULAR CENTER  
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(3) NATIONAL UNIVERSITY CORPORATION HAMAMATSU  
UNIVERSITY SCHOOL OF MEDICINE  
(Name of ASSIGEE)

20-1, Handayama 1-chome, Higashi-ku, Hamamatsu-shi, Shizuoka 431-  
3192, Japan  
Address

(4) KOWA COMPANY, LTD.  
(Name of ASSIGNEE)

6-29, Nishiki 3-chome, Naka-ku, Nagoya-shi, Aichi 460-8625, Japan  
Address

and the successors, assigns and legal representatives of the ASSIGNEES

( ) the entire right, title and interest

(X) an undivided % interest for the United States and its territorial possessions as follows: (1) OSAKA UNIVERSITY having 47%; (2) NATIONAL CEREBRAL AND CARDIOVASCULAR CENTER having 10%; (3) NATIONAL UNIVERSITY CORPORATION HAMAMATSU UNIVERSITY SCHOOL OF MEDICINE having 10% and (4) KOWA COMPANY having 33%

( ) and in all foreign countries, including all rights to claim priority, in and to any and all improvements which are disclosed in the invention entitled:

***PROPHYLACTIC AND/OR THERAPEUTIC AGENT FOR CARDIOVASCULAR  
COMPLICATIONS OF DIABETES***

and which is found in

(a) ( ) U.S. patent application executed on even date herewith

(b) ( ) U.S. patent application executed on \_\_\_\_\_

(c) (X) U.S. application serial no. 14/241,147 filed on February 26, 2014.

(d) ( ) U.S. patent no. \_\_\_\_\_ issued \_\_\_\_\_

( ) A change of address to which correspondence is to be sent regarding patent maintenance fees is being sent separately.


- (e) ( ) and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to letters patent any re-issue or re-examination thereof;

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purpose thereof.

IN WITNESS WHEREOF, I/WE have hereunto set hand and seal this June 25, 2014.  
(Date of Signing)

**For: OSAKA UNIVERSITY**

  
\_\_\_\_\_  
Signature of ASSIGNOR

\_\_\_\_\_  
Toshio HIRANO

(Type or print name of ASSIGNOR)

\_\_\_\_\_  
President

\_\_\_\_\_  
Title

Witness

Toshitake Ikeda

Witness

Toshio Hirano

For: NATIONAL CEREBRAL AND CARDIOVASCULAR CENTER

N Hashimoto  
Signature of ASSIGNOR

Nobuo HASHIMOTO

(Type or print name of ASSIGNOR)

President

Title

Witness Koichiro Ohtsu

Witness S. Nakamura

For: NATIONAL UNIVERSITY CORPORATION HAMAMATSU  
UNIVERSITY SCHOOL OF MEDICINE

Satoshi Nakamura  
Signature of ASSIGNOR

Satoshi NAKAMURA

(Type or print name of ASSIGNOR)

President

Title

Witness Yasuchiro O

Witness Maxako Takeda

MATTER NO. 2014-0174A