# PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT2930762

SUBMISSION TYPE:	CORRECTIVE ASSIGNMENT	
	Corrective Assignment to correct the ASSIGNOR: SMELTZER, MARK A. SHOULD BE SMELTZER, MARK S. previously recorded on Reel 032611 Frame 0610. Assignor(s) hereby confirms the ASSIGNMENT.	

### **CONVEYING PARTY DATA**

Name	Execution Date
CASSANDRA L. QUAVE	10/19/2011
MARK S. SMELTZER	10/25/2011
CESAR M. COMPADRE	11/22/2011
HOWARD HENDRICKSON	11/03/2011

#### **RECEIVING PARTY DATA**

Name:	THE BOARD OF TRUSTEES OF THE UNIVERSITY OF ARKANSAS	
Street Address:	2404 NORTH UNIVERSITY AVENUE	
City:	LITTLE ROCK	
State/Country:	ARKANSAS	
Postal Code:	72207	

#### **PROPERTY NUMBERS Total: 1**

Property Type	Number	
Application Number:	14243406	

#### CORRESPONDENCE DATA

**Fax Number:** (618)655-9640

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

**Phone:** 618-692-2600

**Email:** IPDocket-Foreign@Polsinelli.com

Correspondent Name: POLSINELLI PC

Address Line 1: 105 WEST VANDALIA STREET

Address Line 2: SUITE 400

Address Line 4: EDWARDSVILLE, ILLINOIS 62025

ATTORNEY DOCKET NUMBER:	052592-469437	
NAME OF SUBMITTER:	REBECCA L. ENDSLEY, PARALEGAL	
SIGNATURE:	/Rebecca L. Endsley/	
DATE SIGNED:	07/09/2014	

**Total Attachments: 9** 

source=469437 - Correction of Assignment Document#page1.tif

REEL: 033282 FRAME: 0514

PATENT -

### 502755456 04/05/2014

### PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT2802052

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

#### **CONVEYING PARTY DATA**

Name	Execution Date
CASSANDRA L. QUAVE	10/19/2011
MARK A. SMELTZER	10/25/2011
CESAR M. COMPADRE	11/22/2011
HOWARD HENDRICKSON	11/03/2011

#### **RECEIVING PARTY DATA**

Name:	THE BOARD OF TRUSTEES OF THE UNIVERSITY OF ARKANSAS	
Street Address:	2404 NORTH UNIVERSITY AVENUE	
City:	LITTLE ROCK	
State/Country:	ARKANSAS	
Postal Code:	72207	

#### **PROPERTY NUMBERS Total: 1**

Property Type	Number	
Application Number:	14243406	

#### **CORRESPONDENCE DATA**

**Fax Number:** (618)655-9640

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent via

US Mail.

**Phone:** 618-692-2600

Email: IPDocket-Foreign@Polsinelli.com

Correspondent Name: POLSINELLI PC

Address Line 1: 105 WEST VANDALIA STREET

Address Line 2: SUITE 400

Address Line 4: EDWARDSVILLE, ILLINOIS 62025

ATTORNEY DOCKET NUMBER:	052592-469437	
NAME OF SUBMITTER:	REBECCA L. ENDSLEY, PARALEGAL	
SIGNATURE:	/Rebecca L. Endsley/	
DATE SIGNED:	04/05/2014	

#### **Total Attachments: 6**

source=469437 Assignment#page1.tif source=469437 Assignment#page2.tif

## <u>ASSIGNMENT</u>

WHEREAS, WE, Cassandra L. Quave of Little Rock, Arkansas; Mark S. Smeltzer of Little Rock, Arkansas; Cesar M. Compadre of Little Rock, Arkansas; and Howard Hendrickson of Little Rock, Arkansas; (hereinafter referred to as "ASSIGNORS"), own an invention (the "INVENTION") relating to an improvement in ANTI-BIOFILM COMPOSITIONS AND METHODS FOR USING (file No. 052592-429130 (2011-03)) and have executed an application for a United States patent based thereon Serial No. 13/267,696, filed October 6, 2011;

AND, WHEREAS, the Board of Trustees of the University of Arkansas, having its principal place of business at 2404 North University Avenue, Little Rock, Arkansas 72207 (hereinafter referred to as "ASSIGNEE"), is desirous of acquiring certain rights thereunder;

NOW, THEREFORE, for one dollar and other good and valuable consideration, receipt of all of which is hereby acknowledged, ASSIGNORS have agreed to and do hereby sell, assign, and transfer unto said ASSIGNEE the entire right, title and interest in and throughout the United States of America (including its territories and dependencies) and all countries foreign thereto in and to said INVENTION, said APPLICATION, any other United States application (including divisional, continuing, or reissue applications) based in whole or in part on said APPLICATION or in whole or in part on said INVENTION, any foreign applications based in whole or in part on any of the aforesaid United States applications or in whole or in part on said INVENTION, and any and all patents (including extensions thereof) of any country which have been or may be granted on any of the aforesaid applications or on said INVENTION or any part thereof;

1 of 6

504223.1

TO BE HELD AND ENJOYED by said ASSIGNEE, its successors and assigns,

as fully and entirely as the same would have been held and enjoyed by ASSIGNORS

had no sale and assignment of said interest been made;

AND ASSIGNORS hereby authorize and request the Commissioner of Patents of

the United States of America to issue any and all United States patents which may be

granted upon said United States applications or any of them, or upon said INVENTION

or any part thereof, to said ASSIGNEE;

AND ASSIGNORS, their successors and assigns, hereby agree to execute

without further consideration any further lawful documents and any further assurances,

and any divisional, continuing, reissue, or other applications for patents of any country,

that may be deemed necessary by said ASSIGNEE fully to secure to said ASSIGNEE

its interest as aforesaid in and to said INVENTION or any part thereof, and in and to

said several patents or any of them;

AND ASSIGNORS hereby covenant and agree with said ASSIGNEE, its

successors and assigns, that ASSIGNORS have granted no right or license to make,

use, or sell said INVENTION, to anyone except said ASSIGNEE, that prior to the

execution of this assignment, ASSIGNORS' right, title and interest in said INVENTION

have not been otherwise encumbered, and that ASSIGNORS have not executed and

will not execute any instrument in conflict herewith.

[DOCUMENT CONTINUED ON NEXT PAGE]

2 of 6

504223.1

**ACKNOWLEDGMENT** \_, 2011, before me, a Notary Public, personally appeared CASSANDRA L. QUAVE to me to be the person described, in and who executed, the foregoing assignment and acknowledged that he/she executed said instrument as his/her free act and deed. In Testimony Whereof, I have hereunto set my hand and affixed my official seal the day and year first above written. [SEALS CONTRACTOR OF THE PARTY My Commission Expires:

[DOCUMENT CONTINUED ON NEXT PAGE]

3 of 6

Date 10 - 25 - 11 MARK S. SMELTZER

# **ACKNOWLEDGMENT**

STATE OF <u>Arkanoa)</u> SITY OF <u>Little Rock</u>

On this of order of 2011, before me, a Notary Public, personally appeared MARK S. SMELTZER to me to be the person described, in and who executed, the foregoing assignment and acknowledged that he/she executed said instrument as his/her free act and deed.

In Testimony Whereof, I have hereunto set my hand and affixed my official seal the day and year first above written.

Notary Public

My Commission Expires:

[SEAL]

[DOCUMENT CONTINUED ON NEXT PAGE]

4 of 6

Date 11/22/2011	les a el	Carman
/ · · · · · · · · · · · · · · · · · · ·	AR M. COMPADI	RE
ACKNOWLE	DGMENT	
STATE OF MUNSUS		
CITY OF Little lake 3ss.		
On this 22 day of	, 2011, before to me to be the acknowledged t	ore me, a Notary Public, person described, in and hat he/she executed said
In Testimony Whereof, I have hereunto the day and year first above written. Notary Public	set my hand ai	nd affixed my official seal
My Commission Expires: 4/5/2020		
ALISON M. GILL MY COMMISSION # 12976156 EXPIRES: April 15, 2020 Pulaski County	(SEAL)	

[DOCUMENT CONTINUED ON NEXT PAGE]

5 of 6

Date	11/0	23/	2011	1	
,	7	1	***************************************	***************************************	•

HOWARD HENDRICKSON

ACKNOWLEDGMENT
STATE OF THE CONTRACTOR OF THE
CITY OF Little (Ock ) ss.
On this <u>d</u> day of <u>NWMW</u> , 2011, before me, a Notary Public, personally appeared HOWARD HENDRICKSON to me to be the person described, in and who executed, the foregoing assignment and acknowledged that he/she executed said instrument as his/her free act and deed.
In Testimony Whereof, I have hereunto set my hand and affixed my official seal the day and year first above written.  Notary Public
My Commission Expires:
ALISON M. GILL ALISON M. GILL MY COMMISSION # 12376156 EXPIRES. April 15, 2020 Pulaski County

[END OF DOCUMENT]

6 of 6

504223.1