

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT2942469

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
PAUL JOSEPH HERGENROTHER	10/27/2011
QUINN PATRICK PETERSON	09/12/2011
DANNY CHUNG HSU	09/26/2011
DIANA C. WEST	10/03/2011
TIMOTHY M. FAN	09/21/2011
CHRIS J. NOVOTNY	09/26/2011
RECEIVING PARTY DATA	
Name:	THE BOARD OF TRUSTEES OF THE UNIVERSITY OF ILLINOIS
Street Address:	352 HENRY ADMINISTRATION BUILDING
Internal Address:	506 SOUTH WRIGHT STREET
City:	URBANA
State/Country:	ILLINOIS
Postal Code:	61801
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	14321514
CORRESPONDENCE DATA	
Fax Number:	(612)238-7851
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	612-238-7850
Email:	jmesser@hfglobalip.com
Correspondent Name:	HAUKAAS FISH PLLC
Address Line 1:	1635 HENNEPIN AVE.
Address Line 2:	SUITE 300
Address Line 4:	MINNEAPOLLIS, MINNESOTA 55403
ATTORNEY DOCKET NUMBER:	500.031US2
NAME OF SUBMITTER:	MICHAEL H. HAUKAAS
SIGNATURE:	/ Michael H. Haukaas /
DATE SIGNED:	07/17/2014

PATENT

Total Attachments: 20

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ASSIGNMENT

WHEREAS, WE:

1. Paul Joseph HERGENROTHER, residing at 2607 Wedgewood Road, Champaign, Illinois, 61822;
2. Quinn Patrick PETERSON, residing at 428 Paddock Drive West, Savoy, Illinois, 61874;
3. Danny Chung HSU, residing at 814 E. Oakland Avenue #102, Urbana, Illinois, 61802;
4. Diana C. WEST, residing at 710 Luria Lane, Champaign, Illinois, 61822;
5. Timothy M. FAN, residing at 1302 East Woodberry Drive, Mahomet, Illinois, 61853;
6. Chris J. NOVOTNY, residing at 2301 Massachusetts Avenue, Naperville, Illinois, 60565;

(herein called "ASSIGNORS"), are the inventors of the invention entitled:

**"Design, Synthesis and Evaluation of Procaspace
Activating Compounds as Personalized Anti-Cancer Drugs";**

for which the following patent applications:

Application Type	Application Serial No.	Filing Date
International Patent Application	PCT/US10/23543	02/09/2010
United States Patent Application	13/148,350	08/04/2011

were submitted or filed (collectively, the "LISTED APPLICATIONS");

AND WHEREAS, The Board of Trustees of the University of Illinois (herein called "ASSIGNEE"), a body corporate and politic of the State of Illinois, with an address at:

352 Henry Administration Building
506 South Wright Street
Urbana, Illinois 61801

NOW, THEREFORE, in consideration of my contractual and other legal obligations, and other good and valuable consideration, the receipt of which is hereby acknowledged, I, a said ASSIGNOR, have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said ASSIGNEE, its successors, legal representatives and assigns, my entire right, title and interest in, to and under the said invention, and the said United States Application and all divisions, renewals, continuations and subsequent applications thereof, and all Patents of the United States which may be granted thereon and all reissues and extensions thereof, and all applications for industrial property protection, including, without limitation, all applications for patents, utility models,

and designs which may hereafter be filed for said invention in any country or countries foreign to the United States; together with the right to file such applications and the right to claim for the same the priority of said patent applications listed herein and applications thereof and therefrom under The International Union for the Protection of Industrial Property, or any other international agreement or the domestic laws of the country in which any such application and applications thereof and therefrom is filed, as may be applicable; and all forms of industrial property protection, including, without limitation, patents, utility models, inventors' certificates and designs which may be granted for said invention in any country or countries foreign to the United States and all extensions, renewals and reissues thereof;

AND WE HEREBY authorize and request the Commissioner of Patents and Trademarks of the United States, and any official of any country or countries foreign to the United States whose duty it is to issue patents or other evidence or forms of industrial property protection on applications as aforesaid, to issue the same to the said ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument;

AND WE HEREBY covenant and agree that we have full right to convey the entire interest herein assigned, and that we have not executed, and will not execute, any agreement in conflict herewith;

AND WE HEREBY authorize the above-mentioned ASSIGNEE or its legal representative to insert in this instrument the filing date and serial number of said application(s) or any other information that may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document;

AND WE HEREBY further covenant and agree that we will communicate to the said ASSIGNEE, its successors, legal representatives and assigns, any facts known to me respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all continuing and subsequent applications, including divisional, reissue and foreign applications, make all rightful oaths, and generally cooperate and do everything possible to aid the said ASSIGNEE, its successors, legal representatives and assigns, to obtain, maintain, and enforce proper protection for said invention in any and all countries;

IN TESTIMONY WHEREOF, we hereunto set my hand and seal (if applicable) the day and year set opposite my signature.

10-27-2011
Date

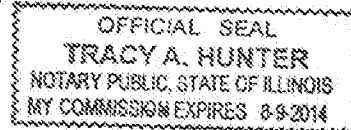
Paul Joseph Hergenrother
Paul Joseph HERGENROTHER

STATE OF Illinois)
COUNTY OF Champaign) ss.

BEFORE ME, the undersigned authority, on this 27th day of October, 2011,
personally appeared the above person, known to me to be the person whose name is
subscribed to this instrument and who acknowledged to me that he executed the same of
his own free will for the purposes and consideration herein expressed.

Date: October 27, 2011 Tracy A. Hunter
Notary Public

My Commission expires: August 9, 2014



Date Quinn Patrick PETERSON

STATE OF _____)
COUNTY OF _____) ss.

BEFORE ME, the undersigned authority, on this _____ day of _____, 2011,
personally appeared the above person, known to me to be the person whose name is
subscribed to this instrument and who acknowledged to me that he executed the same of
his own free will for the purposes and consideration herein expressed.

Date: _____
Notary Public

My Commission expires: _____

Additional Assignors on additional sheets: Yes

Date _____ Danny Chung HSU

STATE OF _____)
) ss.
COUNTY OF _____)

BEFORE ME, the undersigned authority, on this _____ day of _____, 2011,
personally appeared the above person, known to me to be the person whose name is
subscribed to this instrument and who acknowledged to me that he executed the same of
his own free will for the purposes and consideration herein expressed.

Date: _____
Notary Public

My Commission expires: _____

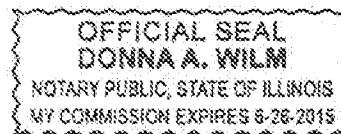
10/3/11
Date _____ Diana C. West
Diana C. WEST

STATE OF Illinois)
) ss.
COUNTY OF Champaign)

BEFORE ME, the undersigned authority, on this 3 day of October, 2011,
personally appeared the above person, known to me to be the person whose name is
subscribed to this instrument and who acknowledged to me that she executed the same of
her own free will for the purposes and consideration herein expressed.

Date: 10-3-2011 _____ Donna A. Wilm
Notary Public

My Commission expires: 6-26-2015



Additional Assignors on additional sheets: Yes

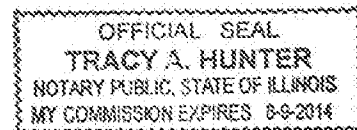
09/21/11
Date
Timothy M. FAN
Timothy M. FAN

STATE OF Illinois)
) ss.
COUNTY OF Champaign)

BEFORE ME, the undersigned authority, on this 21st day of September, 2011,
personally appeared the above person, known to me to be the person whose name is
subscribed to this instrument and who acknowledged to me that he executed the same of
his own free will for the purposes and consideration herein expressed.

Date: September 21, 2011
Tracy A. Hunter
Notary Public

My Commission expires: August 9, 2014



Date
Chris J. NOVOTNY

STATE OF _____)
) ss.
COUNTY OF _____)

BEFORE ME, the undersigned authority, on this _____ day of _____, 2011,
personally appeared the above person, known to me to be the person whose name is
subscribed to this instrument and who acknowledged to me that s/he executed the same of
his/her own free will for the purposes and consideration herein expressed.

Date: _____

Notary Public

My Commission expires: _____

Additional Assignors on additional sheets: No

ASSIGNMENT

WHEREAS, WE:

1. Paul Joseph HERGENROTHER, residing at 2607 Wedgewood Road, Champaign, Illinois, 61822;
2. Quinn Patrick PETERSON, residing at 428 Paddock Drive West, Savoy, Illinois, 61874; *32 Myrtle Street, Belmont, MA 02478* *Q2*
3. Danny Chung HSU, residing at 814 E. Oakland Avenue #102, Urbana, Illinois, 61802;
4. Diana C. WEST, residing at 710 Luria Lane, Champaign, Illinois, 61822;
5. Timothy M. FAN, residing at 1302 East Woodberry Drive, Mahomet, Illinois, 61853;
6. Chris J. NOVOTNY, residing at 2301 Massachusetts Avenue, Naperville, Illinois, 60565;

(herein called "ASSIGNORS"), are the inventors of the invention entitled:

**"Design, Synthesis and Evaluation of Procaspace
Activating Compounds as Personalized Anti-Cancer Drugs";**

for which the following patent applications:

Application Type	Application Serial No.	Filing Date
International Patent Application	PCT/US10/23543	02/09/2010
United States Patent Application	13/148,350	08/04/2011

were submitted or filed (collectively, the "LISTED APPLICATIONS");

AND WHEREAS, The Board of Trustees of the University of Illinois (herein called "ASSIGNEE"), a body corporate and politic of the State of Illinois, with an address at:

352 Henry Administration Building
506 South Wright Street
Urbana, Illinois 61801

NOW, THEREFORE, in consideration of my contractual and other legal obligations, and other good and valuable consideration, the receipt of which is hereby acknowledged, I, a said ASSIGNOR, have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said ASSIGNEE, its successors, legal representatives and assigns, my entire right, title and interest in, to and under the said invention, and the said United States Application and all divisions, renewals, continuations and subsequent applications thereof, and all Patents of the United States which may be granted thereon and all reissues and extensions thereof; and all applications for industrial property protection, including, without limitation, all applications for patents, utility models,

and designs which may hereafter be filed for said invention in any country or countries foreign to the United States; together with the right to file such applications and the right to claim for the same the priority of said patent applications listed herein and applications thereof and therefrom under The International Union for the Protection of Industrial Property, or any other international agreement or the domestic laws of the country in which any such application and applications thereof and therefrom is filed, as may be applicable; and all forms of industrial property protection, including, without limitation, patents, utility models, inventors' certificates and designs which may be granted for said invention in any country or countries foreign to the United States and all extensions, renewals and reissues thereof;

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AND WE HEREBY covenant and agree that we have full right to convey the entire interest herein assigned, and that we have not executed, and will not execute, any agreement in conflict herewith;

AND WE HEREBY authorize the above-mentioned ASSIGNEE or its legal representative to insert in this instrument the filing date and serial number of said application(s) or any other information that may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document;

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IN TESTIMONY WHEREOF, we hereunto set my hand and seal (if applicable) the day and year set opposite my signature.

Date _____ Paul Joseph HERGENROTHER

STATE OF _____)
) ss.
COUNTY OF _____)

BEFORE ME, the undersigned authority, on this _____ day of _____, 2011, personally appeared the above person, known to me to be the person whose name is subscribed to this instrument and who acknowledged to me that he executed the same of his own free will for the purposes and consideration herein expressed.

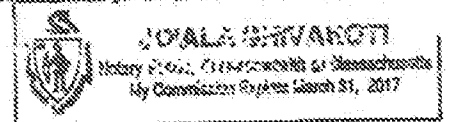
Date: _____
Notary Public

My Commission expires: _____

12 September 2011
Date

Quinn Patrick PETERSON

STATE OF MA)
) ss.
COUNTY OF Middlesex)



BEFORE ME, the undersigned authority, on this 12th day of September, 2011, personally appeared the above person, known to me to be the person whose name is subscribed to this instrument and who acknowledged to me that he executed the same of his own free will for the purposes and consideration herein expressed.

Date: 09/12/2011 _____
Jwala Shrivastava
Notary Public

My Commission expires: 03/31/2017

Additional Assignors on additional sheets: Yes

Date Danny Chung HSU

STATE OF _____)
COUNTY OF _____) ss.

BEFORE ME, the undersigned authority, on this ____ day of _____, 2011,
personally appeared the above person, known to me to be the person whose name is
subscribed to this instrument and who acknowledged to me that he executed the same of
his own free will for the purposes and consideration herein expressed.

Date: _____
Notary Public

My Commission expires: _____

Date Diana C. WEST

STATE OF _____)
COUNTY OF _____) ss.

BEFORE ME, the undersigned authority, on this ____ day of _____, 2011,
personally appeared the above person, known to me to be the person whose name is
subscribed to this instrument and who acknowledged to me that she executed the same of
her own free will for the purposes and consideration herein expressed.

Date: _____
Notary Public

My Commission expires: _____

Additional Assignors on additional sheets: Yes

Date

Timothy M. FAN

STATE OF _____)
) ss.
COUNTY OF _____)

BEFORE ME, the undersigned authority, on this _____ day of _____, 2011, personally appeared the above person, known to me to be the person whose name is subscribed to this instrument and who acknowledged to me that he executed the same of his own free will for the purposes and consideration herein expressed.

DATA:

Notary Public

My Commission expires: _____

Date _____

Chris J. NOVOTNY

STATE OF _____)
) ss.
COUNTY OF _____)

BEFORE ME, the undersigned authority, on this _____ day of _____, 2011, personally appeared the above person, known to me to be the person whose name is subscribed to this instrument and who acknowledged to me that s/he executed the same of his/her own free will for the purposes and consideration herein expressed.

Date: _____

Notary Public

My Commission expires: _____

Additional Assignors on additional sheets: No

ASSIGNMENT

WHEREAS, WE:

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3. Danny Chung HSU, residing at 814 E. Oakland Avenue #102, Urbana, Illinois, 61802;
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5. Timothy M. FAN, residing at 1302 East Woodberry Drive, Mahomet, Illinois, 61853;
6. Chris J. NOVOTNY, residing at 2301 Massachusetts Avenue, Naperville, Illinois, 60565;

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for which the following patent applications:

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352 Henry Administration Building
506 South Wright Street
Urbana, Illinois 61801

NOW, THEREFORE, in consideration of my contractual and other legal obligations, and other good and valuable consideration, the receipt of which is hereby acknowledged, I, a said ASSIGNOR, have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said ASSIGNEE, its successors, legal representatives and assigns, my entire right, title and interest in, to and under the said invention, and the said United States Application and all divisions, renewals, continuations and subsequent applications thereof, and all Patents of the United States which may be granted thereon and all reissues and extensions thereof; and all applications for industrial property protection, including, without limitation, all applications for patents, utility models,

and designs which may hereafter be filed for said invention in any country or countries foreign to the United States; together with the right to file such applications and the right to claim for the same the priority of said patent applications listed herein and applications thereof and therefrom under The International Union for the Protection of Industrial Property, or any other international agreement or the domestic laws of the country in which any such application and applications thereof and therefrom is filed, as may be applicable; and all forms of industrial property protection, including, without limitation, patents, utility models, inventors' certificates and designs which may be granted for said invention in any country or countries foreign to the United States and all extensions, renewals and reissues thereof;

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IN TESTIMONY WHEREOF, we hereunto set my hand and seal (if applicable) the day and year set opposite my signature.

Date _____

Paul Joseph HERGENROTHER

STATE OF _____)
COUNTY OF _____) ss.

BEFORE ME, the undersigned authority, on this _____ day of _____, 2011, personally appeared the above person, known to me to be the person whose name is subscribed to this instrument and who acknowledged to me that he executed the same of his own free will for the purposes and consideration herein expressed.

Date:

Notary Public

My Commission expires: _____

Date _____

Quinn Patrick PETERSON

STATE OF _____)
COUNTY OF _____) ss.

BEFORE ME, the undersigned authority, on this ____ day of _____, 2011, personally appeared the above person, known to me to be the person whose name is subscribed to this instrument and who acknowledged to me that he executed the same of his own free will for the purposes and consideration herein expressed.

Date:

Notary Public

My Commission expires: _____

Additional Assignors on additional sheets: Yes

9 / 26 / 2011
Date

Danny Chung HSU

STATE OF Illinois)
COUNTY OF Champaign) ss.

BEFORE ME, the undersigned authority, on this 26 day of September, 2011, personally appeared the above person, known to me to be the person whose name is subscribed to this instrument and who acknowledged to me that he executed the same of his own free will for the purposes and consideration herein expressed.

Date: 9 / 26 / 2011

Anna So.
Notary Public (Seal) (HAR)

My Commission expires: _____

Date

Diana C. WEST

STATE OF _____)
COUNTY OF _____) ss.

BEFORE ME, the undersigned authority, on this _____ day of _____, 2011, personally appeared the above person, known to me to be the person whose name is subscribed to this instrument and who acknowledged to me that she executed the same of her own free will for the purposes and consideration herein expressed.

Date: _____

Notary Public

My Commission expires: _____

Additional Assignors on additional sheets: Yes

Date

Timothy M. FAN

STATE OF _____)
) ss.
COUNTY OF _____)

BEFORE ME, the undersigned authority, on this ____ day of _____, 2011, personally appeared the above person, known to me to be the person whose name is subscribed to this instrument and who acknowledged to me that he executed the same of his own free will for the purposes and consideration herein expressed.

Date:

Notary Public

My Commission expires:

Date _____

Chris J. NOVOTNY

STATE OF _____)
) ss.
COUNTY OF _____)

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Date:

Notary Public

My Commission expires: _____

Additional Assignors on additional sheets: No

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3. Danny Chung HSU, residing at 814 E. Oakland Avenue #102, Urbana, Illinois, 61802;
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6. Chris J. NOVOTNY, residing at 2301 Massachusetts Avenue, Naperville, Illinois, 60565;

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Urbana, Illinois 61801

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Date _____

Paul Joseph HERGENROTHER

STATE OF _____)
) ss.
COUNTY OF _____)

BEFORE ME, the undersigned authority, on this _____ day of _____, 2011, personally appeared the above person, known to me to be the person whose name is subscribed to this instrument and who acknowledged to me that he executed the same of his own free will for the purposes and consideration herein expressed.

Date: _____

Notary Public

My Commission expires: _____

Date _____

Quinn Patrick PETERSON

STATE OF _____)
) ss.
COUNTY OF _____)

BEFORE ME, the undersigned authority, on this _____ day of _____, 2011, personally appeared the above person, known to me to be the person whose name is subscribed to this instrument and who acknowledged to me that he executed the same of his own free will for the purposes and consideration herein expressed.

Date: _____
Notary Public

My Commission expires: _____

Additional Assignors on additional sheets: Yes

Date _____ Danny Chung HSU

STATE OF _____)
COUNTY OF _____) ss.

BEFORE ME, the undersigned authority, on this _____ day of _____, 2011,
personally appeared the above person, known to me to be the person whose name is
subscribed to this instrument and who acknowledged to me that he executed the same of
his own free will for the purposes and consideration herein expressed.

Date: _____
Notary Public

My Commission expires: _____

Date _____ Diana C. WEST

STATE OF _____)
COUNTY OF _____) ss.

BEFORE ME, the undersigned authority, on this _____ day of _____, 2011,
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subscribed to this instrument and who acknowledged to me that she executed the same of
her own free will for the purposes and consideration herein expressed.

Date: _____
Notary Public

My Commission expires: _____

Additional Assignors on additional sheets: Yes

Date _____ Timothy M. FAN

STATE OF _____)
COUNTY OF _____) ss.

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Date: _____
Notary Public

My Commission expires: _____

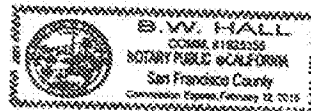
9-26-2011
Date _____ Chris J. NOVOTNY

STATE OF California)
COUNTY OF San Francisco) ss.

BEFORE ME, the undersigned authority, on this 26th day of September, 2011,
personally appeared the above person, known to me to be the person whose name is
subscribed to this instrument and who acknowledged to me that ~~he~~ she executed the same of
his/~~her~~ own free will for the purposes and consideration herein expressed.

Date: 9-26-2011 _____ B. W. Hall
Notary Public

My Commission expires: 02/12/2015



Additional Assignors on additional sheets: No