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1. Name of conveying party(ies)	2. Name and address of receiving party(ies)					
Kate E. Malachowski David H. Gracias	Name: Johns Hopkins University					
	Internal Address: The State of Maryland					
Additional name(s) of conveying party(ies) attached? Yes X No						
3. Nature of conveyance/Execution Date(s):	Street Address: 3400 N. Charles Street					
Execution Date(s) 1/11/2013, 1/15/2013						
X Assignment ☐ Merger						
Security Agreement Change of Name	City: Baltimore					
Joint Research Agreement	State: MD					
Government Interest Assignment	Country: USA Zip: 21218					
Executive Order 9424, Confirmatory License	21p. 21218					
Other	Additional name(s) & address(es) attached? Yes X No					
	document is being filed together with a new application.					
A. Patent Application No.(s)	B. Patent No.(s)					
14/314,875						
(113)11013						
Additional numbers attached? Yes X No						
5. Name and address to whom correspondence	6. Total number of applications and patents					
concerning document should be mailed:	involved:_1					
Name: U.S. Army Research Laboratory	7. Total fee (37 CFR 1.21(h) & 3.41) \$_40.00					
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Fax Number: 301-394-3972	,					
Email Address: nadine.l.loman.civ@mail.mil	Authorized User Name Alan I. Kalb					
9. Signature:	7/2/14					
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Alan I. Kalb, 52,486	Total number of pages including cover sheet, attachments, and documents:					
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Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to: Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

PATENT REEL: 033347 FRAME: 0053

## **Tina Preston**

From:

Kate Malachowski [kate.laflin@gmail.com]

Sent:

Friday, January 11, 2013 2:24 PM

To:

Tina Preston

Subject:

Re: Signature Needed - New Invention Disclosure (JHU Reference C12272)

On Thu, Jan 10, 2013 at 3:08 PM, Tina Preston < tpreston 26@jhmi.edu > wrote:

Dear Inventors,

Thank you for submitting your invention disclosure entitled "Wavelength-specific Optical Actuation Apparatus for a Micromechanical Actuator Device" to our office. It is has been assigned JHU reference #C12272. We do not have all of the necessary signatures on this disclosure, and as a result, are requesting your assistance. We need for the following inventors to each respond to this email with a signature typed in the box below:

- Kate Elizabeth Laflin Malachowski
- David Gracias

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DIC	IIN				

Kate Malachowski Kate Walachows

### JHU INVENTOR CERTIFICATION and ASSIGNMENT

This section is completed by those JHU personnel subject to The Johns Hopkins University Intellectual Property Policy. Students may or may not be subject.

- I/we, the Inventors, hereby certify that the information set forth in this Invention Disclosure is true and complete to the best of my/our knowledge.
- I/we, the Inventors, hereby certify that I/we will promptly advise JHTT of any commercial interest regarding the Invention described herein.
- I/we, the Inventor(s), subject to The Johns Hopkins University Intellectual Property Policy and not under an obligation to assign intellectual property rights to another party, hereby affirm that in consideration for The Johns Hopkins University's evaluation of commercial potential and a share of income which I/we may receive upon commercialization of my/our Invention, on the

date of my/our signature(s) as indicated below do hereby assign and transfer my/our entire right, title and interest in and to the Invention described herein unto The Johns Hopkins University, its successors, legal representatives and assigns.

I hereby agree and affix my typewritten signature with the intent to sign this Invention disclosure form and to convey title to the described intellectual property.

Please reply to this email and type your full name in the signature block. This reply will serve as your assignment signature. Please feel free to contact me if you have any questions or concerns.

Sincerely,

Tina M. Preston

**Technology Transfer Specialist** 

Johns Hopkins Technology Transfer

100 N. Charles Street, 5th Floor

Baltimore, MD 21201

410-516-4561

410-516-4411 (f)

tpreston26@jhmi.edu

www.techtransfer.jhu.edu

# **Tina Preston**

From:

David Gracias [dgracias@jhu.edu] Tuesday, January 15, 2013 11:27 AM

Sent: To:

Tina Preston

Subject:

RE: Signature Needed - New Invention Disclosure (JHU Reference C12272)

Hi Tina,

Signature attached,

Regards,

David H. Gracias, Ph.D.

Associate Professor, Departments of Chemical and Biomolecular Engineering, Chemistry and the Institute for Nanobiotechnology,

The Johns Hopkins University, 3400 N Charles Street, 125 Maryland Hall, Baltimore, MD 21218, USA

Phone: 4105165284; Web: http://www.jhu.edu/chembe/gracias/

From: Tina Preston [mailto:tpreston26@jhmi.edu]
Sent: Thursday, January 10, 2013 3:09 PM
To: Kate Malachowski; David Gracias

Cc: Elaine Spector; Ami Gadhia

Subject: Signature Needed - New Invention Disclosure (JHU Reference C12272)

Importance: High

Dear Inventors,

Thank you for submitting your invention disclosure entitled "Wavelength-specific Optical Actuation Apparatus for a Micromechanical Actuator Device" to our office. It is has been assigned JHU reference #C12272. We do not have all of the necessary signatures on this disclosure, and as a result, are requesting your assistance. We need for the following inventors to each respond to this email with a signature typed in the box below:

- Kate Elizabeth Laflin Malachowski
- David Gracias

# SIGNATURE:



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Sincerely,

Tina M. Preston
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