502903490 07/23/2014

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT2950087

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

CONVEYING PARTY DATA

Name	Execution Date
KANGGUO CHENG	12/07/2012
ALI KHAKIFIROOZ	12/07/2012

RECEIVING PARTY DATA

Name:	International Business Machines Corporation	
Street Address:	New Orchard Road	
City:	Armonk	
State/Country:	NEW YORK	
Postal Code:	10504	

PROPERTY NUMBERS Total: 1

Property Type	Number
Application Number:	14333135

CORRESPONDENCE DATA

Fax Number: (713)434-6671

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Phone: 7134346677

Email: mpaul@amersoniplaw.com

Correspondent Name: J. MIKE AMERSON

Address Line 1: 2500 FONDREN ROAD, SUITE 220

Address Line 4: HOUSTON, TEXAS 77063

ATTORNEY DOCKET NUMBER:	2162.223282/DU364A	
NAME OF SUBMITTER:	J. MIKE AMERSON	
SIGNATURE:	/J. Mike Amerson/	
DATE SIGNED:	07/23/2014	

Total Attachments: 3

source=DU364A Assignment to IBM#page1.tif source=DU364A Assignment to IBM#page2.tif source=DU364A Assignment to IBM#page3.tif

PATENT 502903490 REEL: 033370 FRAME: 0478

IBM DOCKET NUMBER:	

ASSIGNMENT

Whereas, the undersigned Inventor(s) has/have made certain inventions, improvements, and discoveries (herein referred to as the "Invention") in:

Title of the Invention: METHODS OF FORMING BULK FINFET SEMICONDUCTOR DEVICES BY PERFORMING A LINER RECESSING PROCESS TO DEFINE FIN HEIGHTS AND FINFET DEVICES WITH SUCH A RECESSED LINER

and further identified by the IBM Docket Number provided above in the header of this Assignment, for which an application for a United States Patent was filed on December 12, 2012, having:

Serial Number: 13/711,813

Whereas, International Business Machines Corporation, a corporation of New York having a place of business at Armonk, New York (herein referred to as "IBM"), desires to acquire, and each undersigned Inventor desires to grant to IBM, the entire worldwide right, title, and interest in and to the Invention and in and to any and all patent applications and patents directed thereto;

Now, therefore, for good and valuable consideration, the receipt and sufficiency thereof being hereby acknowledged, each undersigned Inventor ("ASSIGNOR") hereby sells, assigns, and otherwise transfers to IBM (the "ASSIGNEE"), its successors, legal representatives, and assigns, the entire worldwide right, title, and interest in and to the Invention, the above-identified United States patent application, and any and all other patent applications and patents for the Invention which may be applied for or granted therefor in the United States and in all foreign countries and jurisdictions, including all divisions, continuations, reissues, reexaminations, renewals, extensions, counterparts, substitutes, and extensions thereof, and all rights of priority resulting from the filing of such applications and granting of such patents. In addition, each undersigned Inventor hereby authorizes and requests the Director of the United States Patent and Trademark Office to issue any United States Patent, and foreign patent authorities to issue any foreign patent, granted for the Invention, to IBM, its successors, legal representatives, and assigns, the entire worldwide right, title, and interest in and to the same to be held and enjoyed by IBM, its successors, legal representatives, and assigns to the full end of the terms for which any and all such patents may be granted, as fully and entirely as would have been held and enjoyed by the undersigned had this Assignment not been made; and each undersigned Inventor agrees to execute any and all documents and instruments and perform all lawful acts reasonably related to recording this Assignment or perfecting title to the Invention and all related patents and applications, in IBM, its successors, legal representatives, and assigns, whenever requested by IBM, its successors, legal representatives, or assigns.

Each undersigned Inventor acknowledges their prior and ongoing obligations to sell, assign, and transfer the rights under this Assignment to IBM and is unaware of any reason why they may not have the full and unencumbered right to sell, assign, and transfer the rights hereby sold, assigned, and transferred, and has not executed, and will not execute, any document or instrument in conflict herewith. Each undersigned Inventor also hereby grants IBM, its successors, legal representatives, and assigns, the right to insert in this Assignment any further identification (including, but not limited to, patent application Serial Number) which may be necessary or desirable for recordation of this Assignment. This Assignment is governed by the substantive laws of the State of New York, and any disputes will be resolved in a New York state court or federal court sited in New York.

[Inventor Signature Pages Follow]

Page 1 of 3

	IBM DOCKET NUMBER:
Executed by Inventor 1 of 2	
1041	· •
Signature	Date: 12/7/2012
Signature:	Date:

	IBM DOCKET NUMBER:
Executed by Inventor 2 of 2	
$\cap l$.
Signature:	Date: 12, 7, 2012
ALI KHAKIFIROOZ	Park Congress of C

Page 3 of 3