

PATENT ASSIGNMENT COVER SHEET

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SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
INCEPTION 1, INC.	06/27/2014
RECEIVING PARTY DATA	
Name:	INCEPTION ORION, INC.
Street Address:	5871 OBERLIN DRIVE, SUITE 100
City:	SAN DIEGO
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PROPERTY NUMBERS Total: 2	
Property Type	Number
Application Number:	61537471
Application Number:	14345914
CORRESPONDENCE DATA	
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ATTORNEY DOCKET NUMBER:	41130-701.831
NAME OF SUBMITTER:	LORI FORD
SIGNATURE:	/Lori Ford/
DATE SIGNED:	07/24/2014
Total Attachments: 2	
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(IS0001)

ASSIGNMENT

THIS ASSIGNMENT is made as of the 24 day of April, 2014 from Inception 1, Inc., a corporation organized under the laws of the State of Delaware, with an address at 5871 Oberlin Drive, Suite 100, San Diego California 92121 ("Assignor") to Inception Orion, Inc., a corporation organized under the laws of the State of Delaware, with an address at 5871 Oberlin Drive, Suite 100, San Diego California 92121 ("Assignee").

WHEREAS, Assignor is the owner of the Patent Applications from Assignor Docket Number 41130-701, entitled "TRICYCLIC COMPOUNDS USEFUL AS NEUROGENIC AND NEUROPROTECTIVE AGENTS" listed in the following table (the "Patent Applications"); and of the inventions therein described.

<u>Assignor's Ref. No</u>	<u>Country</u>	<u>Serial No.</u>	<u>File Date</u>
41130-701.101 (IS0001 PRO1)	United States	61/537,471	09/21/2011
41130-701.601 (IS0001 PCT)	Patent Cooperation Treaty	PCT/US2012/056143	09/19/2012
41130-701.611 (IS0001 EP)	Europe	12833258.2	09/19/2012
41130-701.831 (IS0001 US)	United States	14/345,914	03/19/2014

NOW, THEREFORE, in consideration of good and valuable consideration, the receipt of which is hereby acknowledged, Assignor has sold, assigned, transferred and set over, and by these presents does hereby sell, assign, transfer and set over, unto Assignee, its successors, legal representatives and assigns, the entire right, title and interest in, to and under the Patents and Patent Applications and inventions therein described, including the right to sue for past infringement, and all divisions, renewals and continuations thereof, and all patents which may be granted thereon and all reissues and extensions thereof; and all applications for industrial property protection, including, without limitation, all applications for patents, utility models, and designs which may hereafter be filed for the inventions therein described in any country, together with the right to file such applications and the right to claim for the same the priority rights derived from the Patents and Patent Applications under the patent laws of the International Convention for the Protection of Industrial Property, or any other international agreement or the domestic laws of the country in which any such application is filed, as may be applicable; and all forms of industrial property protection, including, without limitation, patents, utility models, inventors' certificates and designs which may be granted for the Patents and Patent Applications and inventions therein described in any country and all extensions, renewals and reissues thereof; and

Assignor HEREBY authorizes and requests the Commissioner of Patents and Trademarks of the United States, and any official of any country or countries foreign to the United States, whose duty it is to issue patents or other evidence or forms of industrial property protection on applications as aforesaid, to issue the same to Assignee, its successors, legal representatives and assigns, in accordance with the terms of this instrument; and

Assignor HEREBY covenants and agrees that it has the full right to convey the entire interest herein assigned, and that ASSIGNOR has not executed, and will not execute, any agreement in conflict herewith; and

Assignor HEREBY further covenants and agrees that ASSIGNOR will communicate to ASSIGNEE, its successors, legal representatives and assigns, any material facts known to ASSIGNOR respecting the Patents and Patent Applications and inventions therein described, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing, reissue and foreign applications, make all rightful oaths, and generally do everything possible to aid ASSIGNEE, its successors, legal

representatives and assigns, to obtain and enforce proper protection for the Patents and Patent Applications and inventions therein described in all countries.

The Assignor HEREBY requests and authorizes the ASSIGNEE, the attorney of record, or the attorney filing the patent application, to insert in this instrument the Application number(s) and the filing date(s) of said applications for Patent when officially notified thereof.

IN WITNESS WHEREOF, Assignor and Assignee have caused these presents to be duly executed in a manner appropriate thereto as of the date first above written.

Assignor: INCEPTION 1, INC.

Assignee: INCEPTION ORION, INC.

By: 

Name: Petpihoon Prasit

Title: Chief Executive Officer

By: 

Name: Petpihoon Prasit

Title: Chief Executive Officer

State of California)
) ss:
County of San Diego)

On June 27, 2014 before me, CATHARINE STUHLER (Name/Title of Notary) personally appeared Petpihoon Prasit (Name of Signer) who proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature: Catharine Stuhler

