

## PATENT ASSIGNMENT COVER SHEET

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|   |  |                       |
|---|--|-----------------------|
| <b>SUBMISSION TYPE:</b>   | NEW ASSIGNMENT   |                       |
| <b>NATURE OF CONVEYANCE:</b>  | ASSIGNMENT   |                       |
| <b>CONVEYING PARTY DATA</b>   |  |                       |
|   | <b>Name</b>  | <b>Execution Date</b> |
|   | GUANGHUA YAN PH.D  | 07/18/2014            |
| <b>RECEIVING PARTY DATA</b>   |  |                       |
| <b>Name:</b>  | UNIVERSITY OF FLORIDA RESEARCH FOUNDATION, INCORPORATED    |                       |
| <b>Street Address:</b>  | 223 GRINTER HALL   |                       |
| <b>City:</b>  | GAINESVILLE  |                       |
| <b>State/Country:</b>   | FLORIDA  |                       |
| <b>Postal Code:</b>   | 32611  |                       |
| <b>PROPERTY NUMBERS Total: 1</b>  |  |                       |
|   | <b>Property Type</b>                                       | <b>Number</b>         |
|   | Application Number:  | 14316396              |
| <b>CORRESPONDENCE DATA</b>  |  |                       |
| <b>Fax Number:</b>  | (973)912-0442  |                       |
| <i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i> |  |                       |
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| <b>Email:</b>   | mhj@markhjay.com   |                       |
| <b>Correspondent Name:</b>  | MARK H. JAY, P.A.  |                       |
| <b>Address Line 1:</b>  | P.O. BOX E   |                       |
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| <b>ATTORNEY DOCKET NUMBER:</b>  | 12038 US   |                       |
| <b>NAME OF SUBMITTER:</b>   | MARK H. JAY  |                       |
| <b>SIGNATURE:</b>   | /Mark H. Jay #27,507/                                      |                       |
| <b>DATE SIGNED:</b>   | 07/25/2014   |                       |
|   | This document serves as an Oath/Declaration (37 CFR 1.63). |                       |
| <b>Total Attachments: 4</b>   |  |                       |
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| source=12038_US_Yan_Assignment_Signed#page2.tif   |  |                       |
| source=12038_US_Yan_Assignment_Signed#page3.tif   |  |                       |
| source=12038_US_Yan_Assignment_Signed#page4.tif   |  |                       |

## ASSIGNMENT

WHEREAS, We, the undersigned, Kathryn Elizabeth Mittauer, Guanghua Yan, Ph.D., Richard Helmig, Chihray Liu, Ph.D., and Bo Lu, Ph.D., residing at the addresses listed below, have invented certain new and useful subject matter for which an application for United States letters patent was filed on June 26, 2014, Application No.14/316,396, entitled CRANIAL ALIGNMENT DEVICE FOR USE IN INTRACRANIAL STEREOTACTIC SURGERY (the "Invention").

WHEREAS, by virtue of our employment, appointment, or affiliation with the University of Florida (the "University") and pursuant to the Intellectual Property Policy of and my Intellectual Property Agreement with the University, we have assigned all our rights in the Invention to the University or its assignee or designee;

WHEREAS, the University has designated the University of Florida Research Foundation, Incorporated (the "Foundation"), existing by virtue of the laws of the State of Florida and having an office at 223 Grinter Hall, Gainesville, Florida 32611, to be the assignee of its entire right, title and interest in the Invention;

THEREFORE, for valuable consideration, the sufficiency and receipt of which we hereby acknowledge, we confirm and ratify the sale, assignment, and transfer to the Foundation, its successors and assigns, all our rights in the Invention and in all divisional, continuing, substitute, renewal, reissue, and all other applications for letters patent which are or have been filed for the Invention in the United States, its territorial possessions, and all foreign countries and in all patents and extensions which may be granted for the Invention.

We hereby authorize and request patent office officials in the United States and in all foreign countries to issue any patents in the Invention when granted to the Foundation as the assignee of our entire right, title, and interest in the patents.

We hereby assign to the Foundation, its successors and assigns, all of our rights to sue for and recover damages and profits arising from past infringements or unauthorized use of any patent that issues on the Invention or unpaid royalties with respect to use of any rights in the Invention occurring before the execution date of this Assignment.

We also agree that, in regard to the Invention, we will communicate to the Foundation or its representatives any facts known to us; testify in any legal proceedings; sign all lawful papers; execute all divisional, continuing, substitute, renewal, reissue, and other applications for letters patent; execute all necessary papers; make all rightful oaths; and generally do everything possible to aid the Foundation, its successors and assigns, to obtain and enforce proper protection for the Invention in the United States, its territorial possessions, and all foreign countries.

Signed: \_\_\_\_\_ Date: \_\_\_\_\_  
Name: Kathryn Elizabeth Mittauer  
Address: 10300 NW 60<sup>TH</sup> Avenue, Ocala FL 34482

State of Florida  
County of Alachua

On this \_\_\_\_ day of \_\_\_\_\_, 20\_\_, Kathryn Elizabeth Mittauer personally appeared before me, known by me to be the person described in the foregoing Assignment, who executed the foregoing Assignment, and who acknowledged the same to be his/her free act for the purposes set forth in the foregoing Assignment.

\_\_\_\_\_  
Notary Public

My Commission Expires:  
SEAL

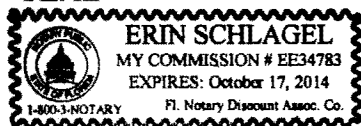
Signed: Guanghua Yan Date: 7/18/14  
Name: Guanghua Yan, Ph.D.  
Address: 4000 NW 51<sup>ST</sup> Street, L221, Gainesville FL 32606

State of Florida  
County of Alachua

On this 18<sup>th</sup> day of July, 2014, Guanghua Yan, Ph.D. personally appeared before me, known by me to be the person described in the foregoing Assignment, who executed the foregoing Assignment, and who acknowledged the same to be his/her free act for the purposes set forth in the foregoing Assignment.

Erin Schlagel  
Notary Public

My Commission Expires: Oct 17, 2014  
SEAL



Signed: \_\_\_\_\_ Date: \_\_\_\_\_

Name: Richard Helmig

Address: 1022 163<sup>rd</sup> Street, Hawthorne FL 32640

State of Florida

County of Alachua

On this \_\_\_\_ day of \_\_\_\_\_, 20\_\_, Richard Helmig personally appeared before me, known by me to be the person described in the foregoing Assignment, who executed the foregoing Assignment, and who acknowledged the same to be his/her free act for the purposes set forth in the foregoing Assignment.

\_\_\_\_\_  
Notary Public

My Commission Expires:

SEAL

Signed: \_\_\_\_\_ Date: \_\_\_\_\_

Name: Chihray Liu, Ph.D.

Address: 10715 NW 18<sup>TH</sup> Court, Gainesville FL 32606

State of Florida

County of Alachua

On this \_\_\_\_ day of \_\_\_\_\_, 20\_\_, Chihray Liu, Ph.D. personally appeared before me, known by me to be the person described in the foregoing Assignment, who executed the foregoing Assignment, and who acknowledged the same to be his/her free act for the purposes set forth in the foregoing Assignment.

\_\_\_\_\_  
Notary Public

My Commission Expires:

SEAL

Signed: \_\_\_\_\_ Date: \_\_\_\_\_

Name: Bo Lu, Ph.D.

Address: 11950 SW 1<sup>ST</sup> Lane, Gainesville FL 32607-1154

State of Florida

County of Alachua

On this \_\_\_\_ day of \_\_\_\_\_, 20\_\_, Bo Lu, Ph.D. personally appeared before me, known by me to be the person described in the foregoing Assignment, who executed the foregoing Assignment, and who acknowledged the same to be his/her free act for the purposes set forth in the foregoing Assignment.

\_\_\_\_\_  
Notary Public

My Commission Expires:  
SEAL