

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT2968553

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|---|-------------------------------------|-----------------------|
| SUBMISSION TYPE: | NEW ASSIGNMENT | |
| NATURE OF CONVEYANCE: | ASSIGNMENT | |
| CONVEYING PARTY DATA | | |
| | Name | Execution Date |
| | STEPHENS NEUROVASX, LLC | 07/29/2014 |
| RECEIVING PARTY DATA | | |
| Name: | NEUROVASX, INC. | |
| Street Address: | 7351 KIRKWOOD LANE N. | |
| Internal Address: | SUITE 112 | |
| City: | MAPLE GROVE | |
| State/Country: | MINNESOTA | |
| Postal Code: | 55369 | |
| PROPERTY NUMBERS Total: 1 | | |
| | Property Type | Number |
| | Application Number: | 09164486 |
| CORRESPONDENCE DATA | | |
| Fax Number: | | |
| <i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i> | | |
| Email: | MCuff@slwip-rc.com | |
| Correspondent Name: | SCHWEGMAN LUNDBERG & WOESSNER, P.A. | |
| Address Line 1: | P.O. BOX 2938 | |
| Address Line 4: | MINNEAPOLIS, MINNESOTA 55402 | |
| ATTORNEY DOCKET NUMBER: | 952.004US1 | |
| NAME OF SUBMITTER: | MELISSA CUFF | |
| SIGNATURE: | /Melissa Cuff/ | |
| DATE SIGNED: | 08/05/2014 | |
| Total Attachments: 4 | | |
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| source=952004US1signedASSN#page2.tif | | |
| source=952004US1signedASSN#page3.tif | | |
| source=952004US1signedASSN#page4.tif | | |

RECORDATION FORM COVER SHEET
PATENTS ONLY

Atty Ref/Docket No.: 952.004US1

Patent and Trademark Office

To the Director of the U.S. Patent and Trademark Office: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Stephens NeuroVasx, LLC

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement ☐ Change of Name

☐ Other

Execution Date: July 29, 2014

2. Name and address of receiving party(ies):

Name: NeuroVasx, Inc.

Street Address: 7351 Kirkwood Lane N.
Suite 112

City: Maple Grove State: MN Zip: 55369

Country: United States of America

Additional name(s) & address(es) attached? ☐ Yes
☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

Serial No. 09/164,486

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Janal M. Kalis

Address:

Schwegman Lundberg & Woessner, P.A.

P.O. Box 2938

Minneapolis, MN 55402--0938

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$ 0.00

☐ Enclosed

☐ Authorized to be charged to deposit account
19-0743

8. Please charge any additional fees or credit any over payments to our Deposit Account No.: 19-0743

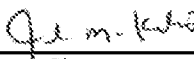
DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Janal M. Kalis/Reg. No. 37,650

Name of Person Signing


Signature

5 August 2014

Date

Total number of pages including cover sheet: 4

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents and Trademarks

Mail Stop Assignment Recordation Services

P.O. Box 1450

Alexandria, VA 22313-1450

PATENT
REEL: 033466 FRAME: 0152

ASSIGNMENT

WHEREAS, Stephens NeuroVASx, LLC, an entity organized and existing under and by virtue of the laws of Arkansas, and having an office and place of business at PO BOX 3507, Little Rock, AR 72203-3507, (hereinafter "Assignor"), is the owner of United States of America Letters Patent Nos. listed on Appendix A attached hereto;

AND WHEREAS, NeuroVASx, Inc., an entity organized and existing under and by virtue of the laws of Delaware, and having an office and place of business at 5090 Suburban Drive, Excelsior, MN 55331, (hereinafter "Assignee"), is desirous of acquiring the entire right, title and interest in and to said Patent Properties, including all inventions and other subject matter described therein, and any patent to be obtained in relation therefor;

NOW, THEREFORE, to all whom it may concern, be it known for that good and valuable consideration, the receipt and sufficiency whereof is hereby acknowledged, we have sold, assigned, and transferred, and by these presents do sell, assign and transfer unto said Assignee, its successors or assigns, the entire right, title and interest for all countries in and to all inventions and improvements disclosed in the aforesaid applications, and in and to the said applications, all divisions, continuations, continuations-in-part, or renewals thereof, all Letters Patent which may be granted there from, and all reissues or extensions of such patents, and in and to any and all applications which have been or shall be filed in any foreign countries for Letters Patent on said inventions and improvements, including an assignment of all rights under the provisions of the International Convention, and all Letters Patent of foreign countries which may be granted there from; and we do hereby authorize and request the Commissioner of Patents and Trademarks to issue any and all United States Letters Patent for the aforesaid inventions and improvements to the said Assignee as the assignee of the entire right, title and interest in and to the same, for the use of said Assignee, its successors and assigns.

AND, for the consideration aforesaid, Assignor does hereby agree that it, its successors, assigns, and legal representatives will make, execute and deliver any and all other instruments in writing including any and all further application papers, affidavits, assignments, and other documents, and will communicate to said Assignee, its successors and representatives all facts known to it relating to said improvements and the history thereof and will testify in all legal proceedings and generally do all things which may be necessary or desirable more effectually to secure to and vest in said Assignee, its successors or assigns the entire right, title and interest in and to the said improvements, inventions, applications, Letters Patent, rights, titles, benefits, privileges, and advantages hereby sold, assigned and conveyed, or intended so to be.

AGREED to by Assignor as of the Date of the Signature Below:

Assignor: Stephens NeuroVASx, LLC
By: SF Holding Corp., manager

Signature: Jackson Farrow Jr.
Printed Name: Jackson Farrow Jr.

Title: Sr. Vice President

Date: July 29, 2014

ACCEPTED by Assignee as of the Date of the Signature Below:

Assignee: NeuroVASx, Inc.

Signature: [Signature]
Printed Name: Mr. Steven Ferry

Title: CEO

Date: July 29, 2014

Appendix A

| Patent/ Publication No. | Application Number | Title | Filing date | Issue/Grant Date |
|-------------------------------|-----------------------|---|-------------|---------------------|
| 6,322,572 | 09/371,267 | THROMBUS MACERATOR CATHETER | 08/10/1999 | 11/27/2001 |
| 6,312,421 | 09/360,027 | ANEURYSM EMBOLIZATION MATERIAL AND DEVICE | 07/23/1999 | 11/06/2001 |
| 6,309,367 | 09/360,026 | ANEURYSM SHIELD | 07/23/1999 | 10/30/2001 |
| 5,954,737 | 09/164,486 | THROMBUS MACERATOR CATHETER | 10/01/1998 | 09/21/1999 |
| 6,620,179 | 09/867,270 | CLOT DISRUPTING WIRE/CATHETER ASSEMBLY | 05/29/2001 | 03/14/2002 |