502921982 08/05/2014

# PATENT ASSIGNMENT COVER SHEET

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SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

#### **CONVEYING PARTY DATA**

Name	Execution Date
JIE XIAO	07/21/2014
JIGUANG ZHENG	07/28/2014
GORDON L GRAFF	07/21/2014
JUN LIU	07/23/2014
WEI WANG	07/21/2014
JIANMING ZHENG	07/02/2014
WU XU	07/07/2014
YUYAN SHAO	07/01/2014
ZHENGUO YANG	07/10/2014

### **RECEIVING PARTY DATA**

Name:	BATTELLE MEMORIAL INSTITUTE
Street Address:	P.O. BOX 999
Internal Address:	M/S K1-53
City:	RICHLAND
State/Country:	WASHINGTON
Postal Code:	99352

### **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	13432166

#### CORRESPONDENCE DATA

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Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

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**BATTELLE MEMORIAL INSTITUTE** Correspondent Name:

Address Line 1: P.O. BOX 999 Address Line 2: M/S K1-53

Address Line 4: RICHLAND, WASHINGTON 99352

ALLAN C. TUAN NAME OF SUBMITTER:

PAIENI **REEL: 033466 FRAME: 0273** 502921982

SIGNATURE:	/Allan C. Tuan/
DATE SIGNED:	08/05/2014
Total Attachments: 11	
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PATENT REEL: 033466 FRAME: 0274

## ASSIGNMENT OF PATENT RIGHTS

Jie Xiao
Jiguang Zhang
Gordon L. Graff
Jun Liu
Wei Wang
Jianming Zheng
Wu Xu
Yuyan Shao
Zhenguo Yang

To
Battelle Memorial Institute

WHEREAS, we, Jie Xiao of Richland, County of Benton, State of Washington, Jiguang Zhang of Richland, County of Benton, State of Washington, Gordon L. Graff of West Richland, County of Benton, State of Washington, Jun Liu of Richland, County of Benton, State of Washington, Wei Wang of Kennewick, County of Benton, State of Washington, Jianming Zheng of Richland, County of Benton, State of Washington, Wu Xu of Richland, County of Benton, State of Washington, and Yuyan Shao of Richland, County of Benton, State of Washington; hereinafter INVENTORS, have made certain inventions related to, Energy Storage Systems Having an Electrode Comprising LixSy, including those, described and claimed in various disclosures including:

U.S. Patent Application No. 13/432,166, filed March 28, 2012, Entitled: Energy Storage Systems Having an Electrode Comprising LixSy

For which we are about to make application for Letters Patent of the United States; collectively referred to hereinafter as INVENTIONS;

Page 1 of 11 -

WHEREAS Battelle Memorial Institute, a corporation of Ohio, organized and existing under the laws of the State of Ohio, and having a place of business in the City of Richland, Washington, is desirous of acquiring all right, title, and interest in the said INVENTIONS and in all patents or patent applications sought or obtained therefor;

NOW, THEREFORE, be it known to all those who may be concerned, that for and in consideration of the sum of One Dollar (\$1.00) each, and other good and valuable considerations, the receipt of which is hereby acknowledged, We the INVENTORS have each sold, assigned, and transferred, and by these presents do sell, assign and transfer unto the said Battelle Memorial Institute, the entire right, title, and interest in and to the INVENTIONS shown, described, or claimed herein, and the full and exclusive right, title, and interest to any and all patents and patent applications that show, describe or claim said INVENTIONS including but not limited to those applications referenced herein, and in and to any extensions, continuations, including continuations in part, reissues, divisions, or renewals thereof; and We each do hereby authorize and request the Commissioner of Patents or other proper Officer, to issue such Letters Patent, or any of them to the said Battelle Memorial Institute, as the assignee of my entire right, title, and interest in and to the same for the sole use and benefit of the said Battelle Memorial Institute, and its successors or assigns.

We each further covenant and agree that we each will, at the request and expense of the said Battelle Memorial Institute, execute such other and further assurances of title as may be necessary or proper to fully convey the interest herein sought to be conveyed; and that each of us will, at the request and expense of the said Battelle Memorial Institute, execute such application papers as may be desired by the said Battelle Memorial Institute, for the filing of any division or renewal of the application herein

Page 2 of 11 -

conveyed, or for reissues or extensions (including continuations or continuations in part applications), or any Letters Patent that may be granted upon said application, and will execute such Supplemental Oaths and Preliminary Statements, or other papers as desired by the said Battelle Memorial Institute.

We each further assign unto the said Battelle Memorial Institute, the whole right, title, and interest to the invention disclosed in the application throughout all countries foreign to the United States, and do hereby authorize the said Battelle Memorial Institute to apply for patents therefor in its own name in countries where such procedure is proper and to claim the benefit of International Conventions and agree to execute applications of the said invention in the several countries where it is necessary that the same be executed by the inventors, and to execute assignments of such applications and the patents to be obtained therefor to the said Battelle Memorial Institute, the assignee herein, as well as all other necessary papers.

Institute, the assignee herein, as well as all other necessary papers.
Executed at Richland, State of Washington, this $21$ day of $Ju/y$ , 2014.
Jie Xiao
STATE OF WASHINGTON ) ) ss.
County of Benton )
This day of July, 2014, before me personally came the above-named
Jie Xiao who executed the foregoing instrument in my presence, and who acknowledged to me
that he executed the same of his own free will for the purposes set forth therein  Notary Public for the State of Washington.  My commission expires:
[SEAL]

Executed at Richland, State of	Washington, this day of JULY, 2014.  Jiguang Zheng
STATE OF WASHINGTON	)
County of Benton	) ss. )
This Z day of July Zheng, who executed the foregoin that he executed the same of his o	, 2014, before me personally came the above-named Jiguang ag instrument in my presence, and who acknowledged to me wn free will for the purposes set forth therein.
[SEAL] SO	Notary Public for the State of Washington. My commission expires:

Executed at Richland, State of Washington, this 2 day of July , 2014.  Gordon L. Graff  STATE OF WASHINGTON ) , ss.  County of Benton )  This 2 day of July , 2014, before me personally came the above-named Gordon L. Graff, who executed the foregoing instrument in my presence, and who acknowledged to me that he executed the same of his own free will for the purposes set forth therein.  Notary Public for the State of Washington My commission expires:			
County of Benton  This day of, 2014, before me personally came the above-named Gordon L. Graff, who executed the foregoing instrument in my presence, and who acknowledged to me that he executed the same of his own free will for the purposes set forth therein.  Notary Public for the State of Washington.  My commission expires:	Executed at Richland, State of W		
he executed the same of his own free will for the purposes set forth therein.  Notary Public for the State of Washington.  My commission expires:		) ) ss. )	
	he executed the same of his own free	ee will for the purposes set forth to the will for the purposes set forth to the will be a set forth t	the State of Washington,

Executed at Richland, State of Washington, this 23 day of Jun Liu, Jun Liu  STATE OF WASHINGTON ) ss.  County of Benton  This 23 day of Jun Liu, who executed the foregoing instrument in my presence, and who acknowledged to me that he executed the same of his own free will for the purposes set forth therein.  Notary Public for the State of Washington. My commission expires: 3 / 1 / 2015	
This 23 day of	eff.
who executed the foregoing instrument in my presence, and who acknowledged to me that he executed the same of his own free will for the purposes set forth therein.  Notary Public for the State of Washington.  My commission expires: 3 4 3015	) ss.
1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	who executed the foregoing instrument in my presence, and who acknowledged to me that he executed the same of his own free will for the purposes set forth therein.  Notary Public for the State of Washington.  My commission expires: 3 4 3015

Executed at Richland, State of	Washington,	this day of Wei Wang	July	, 2014.
STATE OF WASHINGTON  County of Benton	) ss.			
This A day of Who executed the foregoing instruence executed the same of his own free	ment in my pr	esence, and who a	acknowledged to	ned Wei Wang, me that he
[SEAI]	் அமைப் புபட நூடு ட	Notary Public My commissio	for the State of V n expires:	Yashington.

Executed at Austin, State of Texas, this	2nd day of July, 2014. <u>Jianming Theng</u> Jianming Zheng
STATE OF TEXAS	
STATE OF TEXAS ) ) ss.  County of TRAVIS	
This 2nd day of July, 2014, before Zheng, who executed the foregoing instrument in that he executed the same of his own free will for	• •
CYNTHIA L. FIGUEROA Notery Public, State of Texas My Commission Expires October 02, 2017	Notary Public for the State of Texas.  My commission expires: Oct 2, 2017
[SEAL] NOTARY WITHOUT BOND	

Executed at Richland, State of Washington, this That day of July , 2014.  Wu Xu
STATE OF WASHINGTON ) ) ss. County of Benton )
This 7 day of 040, 2014, before me personally came the above-named Wu Xu, who executed the foregoing instrument in my presence, and who acknowledged to me that he executed the same of his own free will for the purposes set forth therein.
Notary Public for the State of Washington, My commission expires:
[SEAL] My commission expires: 4/6/14
Million Million

# = # # # # # # # # # # # # # # # # # #			
Executed at Richland, State of	Washington,	this day of Jo Yuyan Shao	V/Y , 2014.
STATE OF WASHINGTON	)		
County of Benton	) ss.		
This day of	instrument in	my presence, and who purposes set forth the	acknowledged to me that
Million,			

Executed at Richland, State of Washington, t	his <u>10</u> day of My, 2014.  Zhenguo Yang
STATE OF WASHINGTON )	
) ss. County of Benton	
This 10 day of July, 2014, before Yang, who executed the foregoing instrument in the executed the same of his own free will for the Notary Public State of Washington MEGHAN MCKEE My Appointment Expires Aug 8, 2017	