# PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2

ersion v1.1 EPAS ID: PAT2973483

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

#### **CONVEYING PARTY DATA**

Name	Execution Date
ROBERT L. CLARK III	07/15/2014
GREGORY W. FUNG	07/15/2014
RUSSELL PONG	07/15/2014
ARNOLD M. ESCANO	07/15/2014
GREG LIU	07/16/2014

#### **RECEIVING PARTY DATA**

Name:	SENTREHEART, INC.
Street Address:	300 SAGINAW DRIVE
City:	REDWOOD CITY
State/Country:	CALIFORNIA
Postal Code:	94063

## **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	14195797

## **CORRESPONDENCE DATA**

**Fax Number:** (650)494-0792

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Phone: (650) 813-5907
Email: kcheung@mofo.com
Correspondent Name: JOANNA LIEBES

Address Line 1: MORRISON & FOERSTER LLP

Address Line 2: 755 PAGE MILL ROAD

Address Line 4: PALO ALTO, CALIFORNIA 94304-1018

ATTORNEY DOCKET NUMBER:	627922001300
NAME OF SUBMITTER:	JOANNA LIEBES
SIGNATURE:	/Joanna Liebes/
DATE SIGNED:	08/07/2014

**Total Attachments: 4** 

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502926884 PATENT REEL: 033491 FRAME: 0472

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PATENT REEL: 033491 FRAME: 0473

#### ASSIGNMENT

## This assignment is by:

- Robert L. CLARK, III 3505 Hackamore Drive Hayward, California 94541
- Gregory W. FUNG
   520 Jetty Way
   Redwood Shores, California 94065
- Russell PONG
   6398 Montcalm Avenue
   Newark, California 94560
- Arnold M. ESCANO
   2095 Denise Drive
   Santa Clara, California 95050
- Greg LIU
   1244 Parkington Avenue
   Sunnyvale, California 94087

(referred to in this Assignment as "Assignors"), who reside at or have a mailing address as listed above.

This Assignment is to:

Assignee: SentreHEART, Inc.

Address: 300 Saginaw Drive, Redwood City, California 94063

A corporation duly organized under and pursuant to the laws of: Delaware

(referred to in this Assignment as "Assignee"), who desire to acquire the entire right, title and interest in said inventions and said application for letters patent of the United States, and in any United States or foreign, letters patent or patents, to be obtained therefor and thereon.

Assignors have invented certain new and useful inventions in:

### TISSUE LIGATION DEVICES AND METHODS THEREFOR

for which the following application has been filed in the United States of America.

Serial No.: 14/195,797 Filing Date: March 3, 2014

For good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged,

1. Assignors hereby sell, assign, transfer and set over, to Assignee, its successors, legal representatives and assigns, the entire right, title and interest in and to the above-mentioned inventions, application for letters patent, and any and all letters patent or patents in the United States of America and all foreign countries which may be granted therefor and thereon, and in and to any and all provisionals, divisions, continuations, continuations-in-part and substitutions of said application, or reissues, re-examinations or extensions of said letters patent or patents, and all rights under the International Convention for the Protection of Industrial Property, the same to be held and enjoyed by Assignee (including any right to institute actions and to recover for past, present and future infringement), for its own use and the use of its successors, legal representatives and assigns, to the full end of the term or terms for which letters patent or

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patents may be granted, as fully and entirely as the same would have been held and enjoyed by Assignors, had this sale and assignment not been made.

- 2. Assignors represent, warrant and covenant that, at the time of execution and delivery of this agreement, Assignors are the sole and lawful owners of the entire right, title and interest in and to said inventions and said application for letters patent, and that the same are unencumbered and that Assignors have good and full right and lawful authority to sell and convey the same in the manner set forth in this agreement.
- 3. Assignors shall promptly sign and execute all papers and documents, take all lawful oaths, and do all acts necessary, required or useful for the procurement, maintenance, enforcement, defense or otherwise to secure title thereto to the Assignee, at the sole cost and expense of Assignee, its successors, legal representatives and assigns, in each case including, without limitation, arising from or relating to (a) said inventions, or said application for letters patent; (b) letters patent for said inventions in any country, including any reissue, re-examination or extension of or interference proceedings; or (c) any provisional, division, continuation, continuation-in-part, or substitutions of any application for letters patent or any reissues, re-examinations, extensions or interference proceedings involving any letters patent, to be obtained thereon. To be clear, for purposes of this paragraph, "desirable" shall include, without limitation, any act necessary or useful in connection with United States laws and/or international conventions.
- 4. Assignors hereby authorize and request the Commissioner of Patents in the United States to issue the above mentioned letters patents of the United States to Assignee as the assignee of said inventions and the letters patent to be issued thereon for the sole use of Assignee, its successors, legal representatives and assigns.
- 5. "I hereby grant Assignee's attorneys, all of Morrison & Foerster LLP, the power to insert on this assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark office for recordation of this document, including the power to insert on this assignment the application number and filing date of said application when known."

In witness whereby, executed by the undersigne	d on the date(s) opp	oosite the undersigned name(s).
Date: _7/15/14	Signature:	Robert L. Clark, III
Date: 7/15/14	Signature: _	Gregory W Fung
Date: 7/15/14	Signature:	Russell Page
Date: 15 July 2014	Signature: _	Russell Pong  Arnold M. Escano
Date:	Signature:	Greg Liu

## **ASSIGNMENT**

This assignment is by:

- Robert L. CLARK, III
   3505 Hackamore Drive
   Hayward, California 94541
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   520 Jetty Way
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(referred to in this Assignment as "Assignors"), who reside at or have a mailing address as listed above.

This Assignment is to:

Assignee: SentreHEART, Inc.

Address: 300 Saginaw Drive, Redwood City, California 94063

A corporation duly organized under and pursuant to the laws of: Delaware

(referred to in this Assignment as "Assignee"), who desire to acquire the entire right, title and interest in said inventions and said application for letters patent of the United States, and in any United States or foreign, letters patent or patents, to be obtained therefor and thereon.

Assignors have invented certain new and useful inventions in:

#### TISSUE LIGATION DEVICES AND METHODS THEREFOR

for which the following application has been filed in the United States of America.

Serial No.: 14/195,797 Filing Date: March 3, 2014

For good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged,

1. Assignors hereby sell, assign, transfer and set over, to Assignee, its successors, legal representatives and assigns, the entire right, title and interest in and to the above-mentioned inventions, application for letters patent, and any and all letters patent or patents in the United States of America and all foreign countries which may be granted therefor and thereon, and in and to any and all provisionals, divisions, continuations, continuations-in-part and substitutions of said application, or reissues, re-examinations or extensions of said letters patent or patents, and all rights under the International Convention for the Protection of Industrial Property, the same to be held and enjoyed by Assignee (including any right to institute actions and to recover for past, present and future infringement), for its own use and the use of its successors, legal representatives and assigns, to the full end of the term or terms for which letters patent or

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patents may be granted, as fully and entirely as the same would have been held and enjoyed by Assignors, had this sale and assignment not been made.

- Assignors represent, warrant and covenant that, at the time of execution and delivery of this agreement, Assignors are the sole and lawful owners of the entire right, title and interest in and to said inventions and said application for letters patent, and that the same are unencumbered and that Assignors have good and full right and lawful authority to sell and convey the same in the manner set forth in this agreement.
- 3. Assignors shall promptly sign and execute all papers and documents, take all lawful oaths, and do all acts necessary, required or useful for the procurement, maintenance, enforcement, defense or otherwise to secure title thereto to the Assignee, at the sole cost and expense of Assignee, its successors, legal representatives and assigns, in each case including, without limitation, arising from or relating to (a) said inventions, or said application for letters patent; (b) letters patent for said inventions in any country, including any reissue, re-examination or extension of or interference proceedings; or (c) any provisional, division, continuation, continuation-in-part, or substitutions of any application for letters patent or any reissues, re-examinations, extensions or interference proceedings involving any letters patent; to be obtained thereon. To be clear, for purposes of this paragraph, "desirable" shall include, without limitation, any act necessary or useful in connection with United States laws and/or international conventions.
- 4. Assignors hereby authorize and request the Commissioner of Patents in the United States to issue the above mentioned letters patents of the United States to Assignee as the assignee of said inventions and the letters patent to be issued thereon for the sole use of Assignee, its successors, legal representatives and assigns.
- 5. "I hereby grant Assignee's attorneys, all of Morrison & Foerster LLP, the power to insert on this assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark office for recordation of this document, including the power to insert on this assignment the application number and filing date of said application when known."

In witness whereby, executed by the undersigned on the date(s) opposite the undersigned name(s).

Date:	Signature:	
		Robert L. Clark, III
Date:	Signature:	
		Gregory W. Fung
Date:	Signature:	
	•	Russell Pong
Date:	Signature:	
		Arnold M. Escano
Date: 514, 2014	Signature:	
		Greg Liu

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