

<b>PATENT ASSIGNMENT COVER SHEET</b>
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Electronic Version v1.1  
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EPAS ID: PAT2974605

<b>SUBMISSION TYPE:</b>	CORRECTIVE ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	Corrective Assignment to correct the EXECUTION DATE OF THE ASSIGNMENT BY INVENTOR BROWNE previously recorded on Reel 012201 Frame 0690. Assignor(s) hereby confirms the EXECUTION DATE BY INVENTOR BROWNE IS 9-20-2001.

**CONVEYING PARTY DATA**

Name	Execution Date
SID BROWNE	09/20/2001
ARTHUR MAGHAKIAN	09/21/2001

**RECEIVING PARTY DATA**

<b>Name:</b>	GOLDMAN SACHS & CO.
<b>Street Address:</b>	ONE NEW YORK PLAZA
<b>City:</b>	NEW YORK
<b>State/Country:</b>	NEW YORK
<b>Postal Code:</b>	10004

**PROPERTY NUMBERS Total: 1**

Property Type	Number
<b>Application Number:</b>	09896660

**CORRESPONDENCE DATA**

**Fax Number:** (206)359-9000  
*Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.*

**Phone:** 202-654-1737  
**Email:** jnewmaker@perkinscoie.com  
**Correspondent Name:** JANET L. NEWMAKER  
**Address Line 1:** PERKINS COIE LLP  
**Address Line 2:** P.O. BOX 1247  
**Address Line 4:** SEATTLE, WASHINGTON 98111-1247

<b>ATTORNEY DOCKET NUMBER:</b>	081786-8013.US00
<b>NAME OF SUBMITTER:</b>	JANET NEWMAKER
<b>SIGNATURE:</b>	/Janet Newmaker/
<b>DATE SIGNED:</b>	08/08/2014

**Total Attachments: 6**

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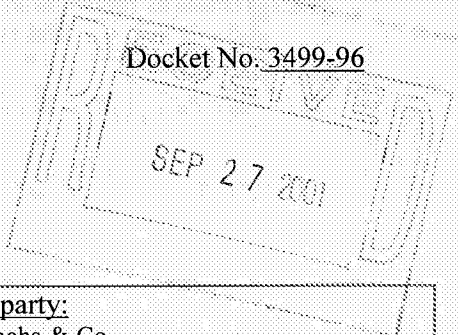
10-02-2001



101862962

Commissioner of Patents  
Box Assignment  
Washington, D.C. 20231

**CERTIFICATE OF MAILING**  
I hereby certify that this correspondence is being deposited with the United States Post Office as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on  
Date: September 24, 2001  
Name: Lisa M. Davis  
Signature: *Lisa M. Davis*  
Clifford Chance Rogers & Wells LLP



Please record the attached original document(s) or copy(ies) thereof:

Conveying party(ies):  
Sid Browne Sept. 21, 2001  
Arthur Maghakian Sept. 21, 2001

Receiving party:  
Goldman Sachs & Co.  
One New York Plaza  
New York, NY 10004

Conveyance Type:  
 Assignment  
 License  
 Merger  
 Security Agreement  
 Change of Name  
 Other \_\_\_\_\_

Submission Type:  
 New  
 Resubmission: Doc. ID# \_\_\_\_\_  
 Correction of PTO error Reel \_\_\_\_\_  
 Corrective Document Frame \_\_\_\_\_

**Application or Patent Number(s):**  
Enter either Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for same property)

Patent Application Number(s)  
09/896,660

Patent Number(s)

If this document is being filed with a new application, The application was signed by the first named executing inventor on: \_\_\_\_\_

**Correspondence Address:**

*Mitchell S. Feller*  
**CLIFFORD CHANCE  
ROGERS & WELLS LLP  
200 Park Avenue  
New York, New York 10166  
Telephone: (212) 878-8545**

**Number of Properties:**

Total number of properties involved: 1

**Fee:**

Total fee under 37 CFR 1.21(h) (\$40/per) \$40  
 Enclosed  
 Authorized to charge to Deposit Account Number: 50-0521. A duplicate copy of this cover sheet is attached.  
 Please apply any additional fee or any credits to Deposit Account 50-0521.

**Statement and signature:** To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

**Mitchell S. Feller, 42,530**

*Mitchell S. Feller*  
Signature

September 24, 2001  
Date

10/01/0001 09000133 500521 09896660  
01 FC:581 46.60 CR

ASSIGNMENT

Sid Browne, a citizen of the United States of America and residing at 1053 East 19<sup>th</sup> Street, Brooklyn, New York 11230; and Arthur Maghakian a citizen of the United States of America and residing at 500 East 63<sup>rd</sup> Street, Apt. 10J, New York, New York 10021; and

hereafter called "the Assignor(s)", have made certain inventions and discoveries which are described in the patent specification entitled:

METHOD AND SYSTEM FOR SIMULATING RISK FACTORS IN PARAMETRIC MODELS USING RISK NEUTRAL HISTORICAL BOOTSTRAPPING

executed by us on the date indicated on the accompanying Declaration, preparatory to applying for Letters Patent therefor

for U.S. Patent Application Serial No. 09/896,660, filed June 29, 2001

Whereas, Goldman Sachs & Co., a corporation organized and existing under and by virtue of the laws of New York, and having offices and doing business at One New York Plaza hereafter called "the Assignee", is desirous of acquiring the title, rights, benefits and privileges recited below, and of confirming the same or any part thereof heretofore acquired by Assignee.

Now therefore let it be known that, in consideration of the sum of One Dollar (\$1.00) or the equivalent thereof, and other good and valuable consideration paid to each of us by the Assignee, we hereby do assign the entire right, title and interest in and to said inventions and discoveries, said application for Letters Patent of the United States of America, and any and all other applications for Letters Patent on said inventions and discoveries in whatsoever countries, including all divisional, renewal, substitute, continuation and Convention applications based in whole or in part upon said inventions or discoveries or upon said applications, and any and all Letters Patent, utility models, design registrations, reissues and extensions of any Letters Patent granted for said inventions and discoveries or upon said applications, and every priority right that is or may be predicated upon or arise from said inventions, said discoveries, said applications and said Letters Patent;

We hereby authorize Assignee to file patent applications in any or all countries on any or all of said inventions and discoveries in our names or in the name of Assignee or otherwise as Assignee may deem advisable, under the International Convention or otherwise;

We authorize and request the Commissioner of Patents of the United States of America and the empowered officials of all other governments to issue or transfer all said Letters Patent to Assignee, as assignee of the entire right, title and interest therein or otherwise as the Assignee may direct;

We warrant that we have not knowingly conveyed to others any right in said inventions, discoveries, applications or patents or any license to use the same or to make, use or sell anything embodying or utilizing any of said inventions or discoveries; and that we have good right to assign the same to Assignee without encumbrance;

We hereby covenant and agree and bind our respective heirs, legal representatives and assigns to sign all papers and drawings, take all rightful oaths, execute all rightful affidavits, and do all acts necessary or required to be done for the procurement and maintenance of Letters Patent or other form of protection for said invention in the United States and foreign countries, and for further investing or confirming the right and title thereto in the Assignee, its successors, assigns or nominees, without charge to or additional consideration from said Assignee, its successors, assigns or nominees.

The undersigned declare under penalty of perjury under the laws of the United States of America that they have signed this document as their own free act and that all of the foregoing is true and correct.

Sid Browne 9/26/01  
Sid Browne

State of New York )  
County of New York ):ss

On this 21 day of September, 2001 before me appeared Sid Browne, to me known and to me to be the person described in and who executed the foregoing instrument and he acknowledged the same to be his free act and deed.

Janet A. Gardell  
Notary Public

(OFFICIAL SEAL)

Janet A. Gardell  
Notary Public State of New York  
No. 01GA5041080 Qualified in Richmond County  
Certificate Filed in New York County  
Commission Expires 3/27/03

\_\_\_\_\_  
Arthur Maghakian

State of New )  
County of ):ss

On this \_\_\_\_\_ day of \_\_\_\_\_, 2001 before me appeared Arthur Maghakian, to me known and to me to be the person described in and who executed the foregoing instrument and he acknowledged the same to be his free act and deed.

\_\_\_\_\_  
Notary Public

(OFFICIAL SEAL)

ASSIGNMENT

Sid Browne, a citizen of the United States of America and residing at 1053 East 19<sup>th</sup> Street, Brooklyn, New York 11230; and Arthur Maghakian a citizen of the United States of America and residing at 500 East 63<sup>rd</sup> Street, Apt. 10J, New York, New York 10021; and

hereafter called "the Assignor(s)", have made certain inventions and discoveries which are described in the patent specification entitled:

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Now therefore let it be known that, in consideration of the sum of One Dollar (\$1.00) or the equivalent thereof, and other good and valuable consideration paid to each of us by the Assignee, we hereby do assign the entire right, title and interest in and to said inventions and discoveries, said application for Letters Patent of the United States of America, and any and all other applications for Letters Patent on said inventions and discoveries in whatsoever countries, including all divisional, renewal, substitute, continuation and Convention applications based in whole or in part upon said inventions or discoveries or upon said applications, and any and all Letters Patent, utility models, design registrations, reissues and extensions of any Letters Patent granted for said inventions and discoveries or upon said applications, and every priority right that is or may be predicated upon or arise from said inventions, said discoveries, said applications and said Letters Patent;

We hereby authorize Assignee to file patent applications in any or all countries on any or all of said inventions and discoveries in our names or in the name of Assignee or otherwise as Assignee may deem advisable, under the International Convention or otherwise;

We authorize and request the Commissioner of Patents of the United States of America and the empowered officials of all other governments to issue or transfer all said Letters Patent to Assignee, as assignee of the entire right, title and interest therein or otherwise as the Assignee may direct;

We warrant that we have not knowingly conveyed to others any right in said inventions, discoveries, applications or patents or any license to use the same or to make, use or sell anything embodying or utilizing any of said inventions or discoveries; and that we have good right to assign the same to Assignee without encumbrance;

We hereby covenant and agree and bind our respective heirs, legal representatives and assigns to sign all papers and drawings, take all rightful oaths, execute all rightful affidavits, and do all acts necessary or required to be done for the procurement and maintenance of Letters Patent or other form of protection for said invention in the United States and foreign countries, and for further investing or confirming the right and title thereto in the Assignee, its successors, assigns or nominees, without charge to or additional consideration from said Assignee, its successors, assigns or nominees.

The undersigned declare under penalty of perjury under the laws of the United States of America that they have signed this document as their own free act and that all of the foregoing is true and correct.

\_\_\_\_\_  
Sid Browne

State of \_\_\_\_\_ )  
  ):ss  
County of \_\_\_\_\_ )

On this \_\_\_\_\_ day of \_\_\_\_\_, 2001 before me appeared Sid Browne, to me known and to me to be the person described in and who executed the foregoing instrument and he acknowledged the same to be his free act and deed.

(OFFICIAL SEAL)

\_\_\_\_\_  
Notary Public

\_\_\_\_\_  
*Maghakian*  
Arthur Maghakian

State of *New York* )  
  ):ss  
County of *New York* )

On this *21* day of *September*, 2001 before me appeared Arthur Maghakian, to me known and to me to be the person described in and who executed the foregoing instrument and he acknowledged the same to be his free act and deed.

(OFFICIAL SEAL)

\_\_\_\_\_  
*Janet A. Gardell*  
Notary Public

Janet A. Gardell  
Notary Public State of New York  
No. 01GA5041080 Qualified in Richmond County  
Certificate Filed in New York County  
Commission Expires 3/27/03