

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

EPAS ID: PAT2988635

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

CONVEYING PARTY DATA

Name	Execution Date
EVONIK DEGUSSA GMBH	05/30/2014

RECEIVING PARTY DATA

Name:	DEB IP LIMITED
Street Address:	DENBY HALL WAY
City:	DENBY, DERBYSHIRE
State/Country:	UNITED KINGDOM
Postal Code:	DE5 8JZ

PROPERTY NUMBERS Total: 15

Property Type	Number
Patent Number:	6376438
Patent Number:	7241452
Patent Number:	8470348
Patent Number:	8252847
Patent Number:	8491920
Patent Number:	8283299
Patent Number:	8211841
Patent Number:	8446097
Patent Number:	8091740
Patent Number:	7992804
Patent Number:	8555934
Application Number:	13379489
Application Number:	13380064
Application Number:	14001512
Application Number:	13054564

CORRESPONDENCE DATA

Fax Number: (703)413-2220

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Phone: (703) 413-3000

Email: khudson@oblon.com

PATENT

Correspondent Name: OBLON, SPIVAK, ET AL.
Address Line 1: 1940 DUKE STREET
Address Line 4: ALEXANDRIA, VIRGINIA 22314

ATTORNEY DOCKET NUMBER:	439987US2SD
NAME OF SUBMITTER:	KAREN L. HUDSON
SIGNATURE:	/Karen L. Hudson/
DATE SIGNED:	08/20/2014

Total Attachments: 37

source=439987 ASN#page1.tif
source=439987 ASN#page2.tif
source=439987 ASN#page3.tif
source=439987 ASN#page4.tif
source=439987 ASN#page5.tif
source=439987 ASN#page6.tif
source=439987 ASN#page7.tif
source=439987 ASN#page8.tif
source=439987 ASN#page9.tif
source=439987 ASN#page10.tif
source=439987 ASN#page11.tif
source=439987 ASN#page12.tif
source=439987 ASN#page13.tif
source=439987 ASN#page14.tif
source=439987 ASN#page15.tif
source=439987 ASN#page16.tif
source=439987 ASN#page17.tif
source=439987 ASN#page18.tif
source=439987 ASN#page19.tif
source=439987 ASN#page20.tif
source=439987 ASN#page21.tif
source=439987 ASN#page22.tif
source=439987 ASN#page23.tif
source=439987 ASN#page24.tif
source=439987 ASN#page25.tif
source=439987 ASN#page26.tif
source=439987 ASN#page27.tif
source=439987 ASN#page28.tif
source=439987 ASN#page29.tif
source=439987 ASN#page30.tif
source=439987 ASN#page31.tif
source=439987 ASN#page32.tif
source=439987 ASN#page33.tif
source=439987 ASN#page34.tif
source=439987 ASN#page35.tif
source=439987 ASN#page36.tif
source=439987 ASN#page37.tif

EVONIK DEGUSSA GMBH,

EVONIK INDUSTRIES AG

EVONIK CORPORATION

DEB LIMITED

AND

DEB IP LIMITED

INTELLECTUAL PROPERTY TRANSFER AGREEMENT
REGARDING THE INTELLECTUAL PROPERTY PERTAINING TO
THE
EVONIK SKIN CARE BUSINESS

TABLE OF CONTENTS

Section	Page
Index of Attachments.....	3
Index of Defined Terms	4
Preamble	5
1. Assignment of the Sold Intellectual Property	6
2. Backlicenses	7
3. Registration of the Sold Intellectual Property Rights / Cost Allocation / Free Disposal	8
4. Confidentiality regarding the Sold Technical Know How and Sold Commercial Know How	9
5. Transfer of Files and Assistance.....	10
6. Limited Scope of this Agreement.....	11
7. Miscellaneous.....	12

INDEX OF ATTACHMENTS

No.	Contents of Attachment
Exhibit 1.2(a)	Sold Intellectual Property Rights
Exhibit 1.2(b)	Sold Technical Know How
Exhibit 1.2(c)	Sold Commercial Know-How

INDEX OF DEFINED TERMS

Defined Term	Definition
Agreement	This Intellectual Property Transfer Agreement
Condition Precedent	as defined in no. 3 of the Preamble
Effective Date	as defined in no. 3 of the Preamble
Own Commercial Purposes	as defined in Section 2.2
Parties	The Transferors and the Transferees
Party	Each of the Transferors and the Transferees
Purchaser	Deb Holdings Limited
Seller	Evonik Degussa GmbH
Seller's Shareholder	Evonik Industries AG
Signing Date	as defined in the Preamble
Sold Intangible Assets	as defined in Section 1.2
Transferee	The Transferee 1 or the Transferee 2
Transferee 1	Deb Limited
Transferee 2	Deb IP Limited
Transferees	The Transferee 1 and the Transferee 2
Transferor	The Transferor 1, the Transferor 2 or the Transferor 3
Transferor 1	Evonik Degussa GmbH
Transferor 2	Evonik Industries AG
Transferor 3	Evonik Corporation
Transferors	The Transferor 1, the Transferor 2 and the Transferor 3

PREAMBLE

THIS AGREEMENT ("Agreement") is made on 30 May 2014 ("Signing Date")

BETWEEN:

Evonik Degussa GmbH, a company duly organized under the laws of Germany with registered offices at Essen, Germany, and registered with the Commercial Register of the lower court (*Amtsgericht*) at Essen under registration number HRB 20227 (the "**Seller**" and "**Transferor 1**"),

Evonik Industries AG, a company duly organized under the laws of Germany with registered offices at Essen and registered with the Commercial Register of the lower court (*Amtsgericht*) at Essen under registration number HRB 19474 (the "**Seller's Shareholder**" and "**Transferor 2**"),

Evonik Corporation, a company duly organized under the laws of the state of Alabama with registered offices at Parsippany, New Jersey, USA (the "**Transferor 3**"),

Deb Limited, a company duly organized under the laws of England and Wales with offices at Denby Hall Way, Denby, Derbyshire DE5 8JZ, United Kingdom (the "**Transferee 1**"),

and

Deb IP Limited, a company duly organized under the laws of England and Wales with offices at Denby Hall Way, Denby, Derbyshire DE5 8JZ, United Kingdom (the "**Transferee 2**").

WHEREAS:

1. By virtue of an asset purchase agreement dated 19 March 2014 (role of deeds no. 93/2014-SF dated 19 March 2014 and notarial deed no. 88/2014-SF dated 16, 17, 18 and 19 March 2014 of the notary Dr. Sabine Funke, Frankfurt am Main, Germany) (the "**Purchase Agreement**"), the Seller has sold to Deb Holdings Ltd., Denby, United Kingdom, a parent company of the Transferees, (the "**Purchaser**") the assets pertaining to the Seller's Skin Care Business.

2. The Purchase Agreement provides, inter alia, that the Transferors and the Purchaser or a Designated Purchaser Subsidiary shall enter into an intellectual property transfer agreement providing for the transfer of intellectual property rights and know-how of the Seller's Skin Care Business on the Closing Date of the Purchase Agreement. The Purchaser has designated the Transferees as the Designated Purchaser Subsidiaries for the transfer of the intellectual property rights and know-how of the Seller's Skin Care Business.
3. The Transferors wish to transfer and the Transferees wish to accept the transfer of the intellectual property rights and know-how of the Seller's Skin Care Business. The Parties wish to execute this Agreement on the date hereof (30 May 2014), however, the transfers contemplated by this Agreement (i) shall be subject to the payment of the Initial Purchase Price under the Purchase Agreement by the Purchaser to the Seller in accordance with the Purchase Agreement (the "Condition Precedent") and (ii) shall become legally effective upon fulfilment of the Condition Precedent (the "Effective Date"). The Condition Precedent shall be deemed to be fulfilled if the Seller and the Purchaser sign a Closing Memorandum in which payment by the Purchaser of the Initial Purchase Price to the Seller has been confirmed.
4. NOW, THEREFORE, the Parties agree as follows:

1. **ASSIGNMENT OF THE SOLD INTELLECTUAL PROPERTY**

- 1.1 Upon the terms and conditions of the sale set forth in the Purchase Agreement and subject to the terms and conditions set forth in this Agreement and subject to the fulfilment of the Condition Precedent, each Transferor hereby irrevocably assigns and transfers to the relevant Transferee all the respective Transferor's right, title and interest in and to, with legal effect as of the Effective Date the Sold Intangible Assets (as defined below) of such Transferor, and each Transferee hereby accepts such assignment and transfer of the Sold Intangible Assets from the Transferors, provided that (i) all trademarks, designs, commercial and technical know-how shall be transferred solely to and accepted by the Transferee 1 and (ii) all patents shall be transferred solely to and accepted by the Transferee 2. With respect to trademarks, such assignment and transfer includes all goodwill associated with the trademarks.
- 1.2 The "**Sold Intangible Assets**" shall be:
 - (a) the Sold Intellectual Property Rights as defined in the Purchase Agreement and listed in Exhibit 1.2(a);
 - (b) the Sold Technical Know-How as defined in the Purchase Agreement and listed

by headings of the respective sub-sections in Exhibit 1.2(b); and

(c) the Sold Commercial Know-How as defined in the Purchase Agreement and listed by headings of the respective sub-sections in Exhibit 1.2(c).

1.3 Assignment and transfer of the Sold Technical Know-How and the Sold Commercial Know-How pursuant to Section 1.1 shall mean the assignment and transfer of the exclusive right – except as otherwise expressly agreed herein – to use, to license and to otherwise dispose of such know-how at the Transferee 1's sole discretion, and to enable the Transferee 1 to practice such right, and includes the obligation of the respective Transferor to make available such know-how pursuant to Section 5 of this Agreement and to keep confidential and to furtheron not use such know-how pursuant to Section 4 of this Agreement, provided that it is understood and accepted that the Transferors cannot give any warranty and will not accept any liability regarding the actual secret nature of such know-how. Without limiting the generality of the foregoing, the Transferee 1 understands that any such know-how may also be in the lawful possession of third parties, and that such third parties may have their own legal right to use such know-how.

1.4 The Sold Intangible Assets shall also comprise the domain name "stoko.com.br" currently held by Evonik Degussa Brasil Ltda., an indirect subsidiary of the Seller. Upon request by the Transferees, the Seller shall procure that Evonik Degussa Brasil Ltda. transfers such domain name to a Transferee or any Transferee's Affiliate.

2. BACKLICENSES

2.1

2.2

2.3

2.4

2.5

3. REGISTRATION OF THE SOLD INTELLECTUAL PROPERTY RIGHTS / COST ALLOCATION / FREE DISPOSAL

3.1 As of the Effective Date and subject to the fulfilment of the Condition Precedent, the Transferees shall bear all responsibility, at the Transferees' own expense and in their own name, to promptly register or record the assignment with the relevant patent, trademark or other authorities, and to maintain and prosecute the Sold Intellectual Property Rights, and shall assume and pay, perform and discharge, when due, all and any payments, including without limitation patent or trademark fees, assignment recordation fees, payments to patent attorneys and taxes, associated therewith, as well

as all obligations and liabilities of every kind and nature, without limitation, to the extent arising out of, in connection with or related to the ownership, maintenance, prosecution, enforcement and/or use of the Sold Intellectual Property Rights after the Effective Date.

3.2 Responsibility for open invoices for services rendered by the Transferors' external legal counsel and all other outstanding payments and obligations of every kind and nature existing or due prior to the Effective Date shall be borne by the respective Transferor. The provisions in Section 2.6 (last sentence) of the Purchase Agreement shall remain unaffected.

3.3 Subject to the fulfillment of the Condition Precedent, the Transferees shall be entitled to dispose of and to use without limitation the Sold Intellectual Property Rights at their sole discretion; in the event a Transferee will assign to any third party any of the Sold Intellectual Property Rights which pertain to the backlicenses pursuant to Section 2 of this Agreement, such Transferee shall promptly notify the Transferors of such assignment, and shall assure that the assignee will be bound by such grant of such backlicenses pursuant to Section 2 of this Agreement and the obligations to notify and to assure pursuant to this Section 3.3.

4. CONFIDENTIALITY REGARDING THE SOLD TECHNICAL KNOW HOW AND SOLD COMMERCIAL KNOW HOW

4.1 From the Signing Date, the Transferors shall keep confidential any and all Sold Technical Know-How and all Sold Commercial Know-How, and shall not use the Sold Technical Know-How and Sold Commercial Know-How for itself or to the benefit of any third party except as otherwise expressly agreed herein.

4.2 The documentation and files delivered to the Transferee 1 pursuant to Section 5 of this Agreement may inadvertently contain, or may not be separable by commercially reasonable efforts from, trade secrets or other confidential information of the Transferors which do not constitute Sold Technical Know-How and/or Sold Commercial Know-How. The Transferee 1 shall keep confidential any and all such Transferors' confidential information and shall not commercially use the same for itself or to the benefit of any third party.

4.3 The restrictions provided in Sections 4.1 or 4.2 shall not apply to, or shall cease to apply to, any information for which the obligated Party can prove that such information

(a) was or becomes part of the public domain through no act or omission of the obligated Party, or

- (b) is hereafter in good faith received by the obligated Party from a third party without an obligation of confidentiality and/or restrictions as to its use still in effect, or
- (c) is hereafter independently developed by employees or contractors of the obligated Party who had not received or had available to them the confidential information protected hereunder or relevant part thereof.

Specific items of confidential information protected hereunder shall not fall within any exception merely because they are embraced by more general information falling within any exception. Likewise, any combination of specific items of the confidential information shall not fall within any exception merely because the specific items themselves fall within any exception, but only if the combination as such, and its principles of operation, fall within any exception.

5. TRANSFER OF FILES AND ASSISTANCE

- 5.1 Within two (2) months from the Signing Date or as otherwise agreed by the Parties, each Transferor shall transfer to the relevant Transferee all relevant documents and files in its possession, custody or control relating to the Sold Intellectual Property Rights in a format reasonably agreeable to the relevant Transferee. Such documents shall include correct and complete copies of all applications and registrations for such Sold Intellectual Property Rights, as well as all documentation in the respective Transferor's possession necessary to enable the relevant Transferee to perfect all relevant rights. Where such documents are in the possession of external legal counsel, patent or other agents, each Transferor shall identify to the relevant Transferee such agents, and shall advise such agents to transfer to the relevant Transferee any such documents at the relevant Transferee's request.

Each Transferor may retain one (1) set of (electronic or paper) copies of such documents and files for documentation purposes, subject to the confidentiality and non-use obligations pursuant to Section 4.2 of this Agreement.

- 5.2 The Transferors shall provide or procure all assistance to the Transferees as will be reasonably necessary to facilitate the registration or recordation pursuant to Section 5.1, including the delivery of documents and the signing of legal instruments, including without being limited to the execution of separate transfer deeds for individual jurisdictions where the relevant intellectual property right is registered or recorded. All internal costs in relation thereto shall be borne by the Transferors.

5.3 If not already in the possession of the Transferee 1 on the Signing Date, the Transferee 1 may request from the Transferors to receive all documentation containing the Sold Technical Know-How and Sold Commercial Know-How (e.g. written description, plans and drawings, electronic data) reasonably necessary and specifically requested to enable the Transferee 1 to make full use of the Sold Technical Know-How and Sold Commercial Know-How. If requested by the Transferee 1, each Transferor shall use reasonable commercial efforts to destroy and delete all copies thereof which are in the possession of the respective Transferor. The foregoing shall not apply to (i) such know-how where a Transferor retains a backlicense pursuant to Section 2 of this Agreement, and (ii) electronic routine IT back-up copies, provided such electronic copies are not accessible to regular users and will not be used to restore any of the Sold Technical Know-How and Sold Commercial Know-How assigned and transferred hereunder after the Signing Date.

Each Transferor may retain one (1) set of (electronic or paper) copies of such documents and files for documentation purposes, subject to the confidentiality and non-use obligations pursuant to Section 4.2 of this Agreement.

6. LIMITED SCOPE OF THIS AGREEMENT

6.1 The purpose of this Agreement is solely to implement the transfer of the intellectual property rights and know-how of the Seller's Skin Care Business as provided in the Purchase Agreement. Except as expressly provided otherwise in this Agreement, the Transferors on the one hand and the Transferees on the other hand shall have no rights and obligations against each other under or in connection with this Agreement including rights and obligations in connection with any representations, warranties, covenants and indemnities. Without limiting the generality of the foregoing, each Transferee hereby waives any claims under statutory representations and warranties (§§ 434 et seq. BGB), statutory, contractual or precontractual obligations (§§ 280 to 282, 311 BGB) or frustration of contract (§ 313 BGB) or tort (§§ 823 et seq. BGB). The provisions of this Section 6.1 shall not apply to rights and remedies for fraud or wilful misconduct (*Vorsatz*), if any. Nothing in this Agreement shall in any way limit or prejudice any of the rights and obligations of the Seller or the Purchaser under the Purchase Agreement.

6.2 The consideration (*Gegenleistung*) owed for the sale and transfer of the intellectual property rights and know-how of the Seller's Skin Care Business is part of the purchase price which is owed by the Purchaser to the Seller under the Purchase Agreement and will be partly retained by the Seller and partly paid by the Seller to the Transferor 2 as provided in Section 7.4 of the Purchase Agreement.

7. MISCELLANEOUS

- 7.1 Capitalized terms not otherwise defined in this Agreement shall have the same meaning as in the Purchase Agreement.
- 7.2 This Agreement shall not alter in any way the allocation of rights, obligations, benefits, costs and risk established between the parties under the Purchase Agreement. Therefore, in case of any inconsistencies between this Agreement and the provisions of the Purchase Agreement, the provisions of the Purchase Agreement shall prevail. In case, however, that this Agreement contains any invalid or unenforceable provisions or any unintentional or unforeseen gaps, the Parties shall negotiate in good faith and shall mutually agree in writing on any necessary amendments to this Agreement which correspond as closely as possible with the original commercial intent of the Parties taking into account the provisions of the Purchase Agreement and the commercial intentions of the parties connected therewith.
- 7.3 This Agreement and any rights and obligations hereunder may not be assigned and transferred, in whole or in part, without the prior written consent of the respective other Parties.
- 7.4 Section 15 (Confidentiality), Section 18 (Costs and Expenses), Section 19 (Notices) and Section 20 (Miscellaneous) of the Purchase Agreement shall apply *mutatis mutandis*. The transfer and assignment of the patents and trademarks not applied for or issued in Germany shall be governed by the law of the country in which such patents and trademarks were applied for or have been issued.
- 7.5 The Parties hereby accede and accept the offer to accede to Section 20.3 (Arbitration) of the Purchase Agreement.

Frankfurt am Main, 30 May 2014


Evonik Degussa GmbH

represented by Dr. Thomas Kaiser by virtue
of the power of attorney dated 12 March
2014

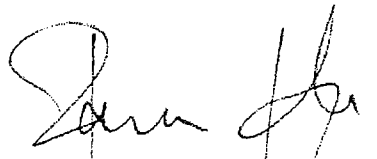

Evonik Industries AG

represented by Dr. Thomas Kaiser by virtue
of the power of attorney dated 9 May 2014



Evonik Corporation

represented by Dr. Thomas Kaiser by virtue
of the power of attorney dated 9 May 2014



Deb Limited

represented by Dr. Roman Kasten by virtue
of the power of attorney dated 20 May 2014



Deb IP Limited

represented by Dr. Roman Kasten by virtue
of the power of attorney dated 20 May 2014

2. PATENTS AND PATENT APPLICATIONS TO BE SOLD

2.1. PATENTS AND PATENT APPLICATIONS OF GERMAN LEGAL ENTITIES

Internal File No.	Title	Procedure	Country	Filing No.	Filing Date	Publication No.	Patent No.	Date of Grant	Priority Date	Priority No.	Registered Assignee	Contractual Obligations
1997P40006 WEDE	Skin-compatible hand cleanser, especially a course hand cleanser	EP	DE	59806757.4	21.10.1998		DE 598 06 757.4-08	18.12.2002	31.10.1997	DE 197 48 921.4	Evonik Degussa GmbH	
1997P40006 WOCA	Skin-compatible hand cleanser, especially a course hand cleanser	WO	CA	CA 2,304,703	21.10.1998		2,304,703	09.09.2008	31.10.1997	DE 197 48 921.4	Evonik Stockhausen GmbH	
1997P40006 WOMX	Skin-compatible hand cleanser, especially a course hand cleanser	WO	MX	MX 4037	21.10.1998		MX 222085	11.08.2004	31.10.1997	DE 197 48 921.4	Evonik Stockhausen GmbH	
1997P40006 WOUS	Skin-compatible hand cleanser, especially a course hand cleanser	WO	US	US 09/529,417	21.10.1998		US 6,376,438	23.04.2002	31.10.1997	DE 197 48 921.4	Evonik Stockhausen GmbH	
2001P40005 WEAT	USE OF MULTIPLE EMULSIONS AS SKIN PROTECTION PRODUCTS	EP	AT	02738011.2	30.04.2002		AT E 306 898	19.10.2005	30.04.2001	DE 10120927.4- 44	Evonik Stockhausen GmbH	
2001P40005 WEBE	USE OF MULTIPLE EMULSIONS AS SKIN PROTECTION PRODUCTS	EP	BE	02738011.2	30.04.2002		1427381	19.10.2005	30.04.2001	DE 10120927.4- 44	Evonik Stockhausen GmbH	
2001P40005 WECH	USE OF MULTIPLE EMULSIONS AS SKIN PROTECTION PRODUCTS	EP	CH	02738011.2	30.04.2002		1427381	19.10.2005	30.04.2001	DE 10120927.4- 44	Evonik Degussa GmbH	
2001P40005 WEDE	USE OF MULTIPLE EMULSIONS AS SKIN PROTECTION PRODUCTS	EP	DE	50204627.9	30.04.2002		DE 502 04 627	19.10.2005	30.04.2001	DE 10120927.4- 44	Evonik Degussa GmbH	
2001P40005 WEFR	USE OF MULTIPLE EMULSIONS AS SKIN PROTECTION PRODUCTS	EP	FR	02738011.2	30.04.2002		1427381	19.10.2005	30.04.2001	DE 10120927.4- 44	Evonik Stockhausen GmbH	
2001P40005 WEGB	USE OF MULTIPLE EMULSIONS AS SKIN PROTECTION PRODUCTS	EP	GB	02738011.2- 2108	30.04.2002	1427381	1427381	19.10.2005	30.04.2001	DE 10120927.4- 44	Evonik Stockhausen GmbH	
2001P40005 WEIE	USE OF MULTIPLE EMULSIONS AS SKIN PROTECTION PRODUCTS	EP	IE	02738011.2	30.04.2002		1427381	19.10.2005	30.04.2001	DE 10120927.4- 44	Evonik Stockhausen GmbH	

PATENT

Handwritten signature

Internal File No.	Title	Procedure	Country	Filing No.	Filing Date	Publication No.	Patent No.	Date of Grant	Priority Date	Priority No.	Registered Assignee	Contractual Obligations
2001P40005 WEIT	USE OF MULTIPLE EMULSIONS AS SKIN PROTECTION PRODUCTS	EP	IT	02738011.2	30.04.2002		1427381	19.10.2005	30.04.2001	DE 10120927.4-44	Evonik Stockhausen GmbH	
2001P40005 WELU	USE OF MULTIPLE EMULSIONS AS SKIN PROTECTION PRODUCTS	EP	LU	02738011.2	30.04.2002		1427381	19.10.2005	30.04.2001	DE 10120927.4-44	Evonik Stockhausen GmbH	
2001P40005 WENL	USE OF MULTIPLE EMULSIONS AS SKIN PROTECTION PRODUCTS	EP	NL	1427381	30.04.2002		1427381	19.10.2005	30.04.2001	DE 10120927.4-44	Evonik Stockhausen GmbH	
2001P40005 WESE	USE OF MULTIPLE EMULSIONS AS SKIN PROTECTION PRODUCTS	EP	SE	02738011.2	30.04.2002		1427381	19.10.2005	30.04.2001	DE 10120927.4-44	Evonik Stockhausen GmbH	
2001P40005 WOCA	USE OF MULTIPLE EMULSIONS AS SKIN PROTECTION PRODUCTS	WO	CA	2,445,762	30.04.2002		2,445,762	18.01.2011	30.04.2001	DE 10120927.4-44	Evonik Stockhausen GmbH	
2001P40012 WEDE	METHOD FOR PRODUCING DISINFECTANT SKIN AND HAND CARE GELS	EP	DE	50214046.1-08	03.07.2002	1408922	1408922	02.12.2009	06.07.2001	DE 10132382.4-44	Evonik Degussa GmbH	
2001P40016 WOUS	Skin and hand care agents	WO	US	US 10/489,596	20.09.2001	2004/0247 557 A1	7,241,452	10.07.2007	20.09.2001	WO PCT/EP01/1090	Evonik Stockhausen GmbH	
2003P40001 WEDE	METHOD FOR PRODUCING A COSMETIC ABRASIVE	EP	DE	502004009451.1-08	11.02.2004	1594458	1594458	06.05.2009	12.02.2003	DE 103 05 959.8	Evonik Degussa GmbH	
2003P40001 WEFR	METHOD FOR PRODUCING A COSMETIC ABRASIVE	EP	FR	04710016.9-2108	11.02.2004	1594458	1594458	06.05.2009	12.02.2003	DE 103 05 959.8	Evonik Stockhausen GmbH	
2003P40001 WEGB	METHOD FOR PRODUCING A COSMETIC ABRASIVE	EP	GB	04710016.9-2108	11.02.2004	1594458	1594458	06.05.2009	12.02.2003	DE 103 05 959.8	Evonik Stockhausen GmbH	
2004P40008 DE	DISPENSER, IN PARTICULAR, METERING DISPENSER		DE	102004038232.8	05.08.2004	102004038	102004038232	25.03.2010	05.08.2004	DE 102004038232.8	Evonik Degussa GmbH	
2004P40008 WEBE	DISPENSER, IN PARTICULAR, METERING DISPENSER	EP	BE	05776131.4	05.08.2005	1703831	1703831	27.01.2010	05.08.2004	DE 102004038232.8	Evonik Stockhausen GmbH	
2004P40008 WECH	DISPENSER, IN PARTICULAR, METERING DISPENSER	EP	CH	05776131.4	05.08.2005	1703831	1703831	27.01.2010	05.08.2004	DE 102004038232.8	Evonik Degussa GmbH	

Handwritten signature or initials.

PATENT

Internal File No.	Title	Procedure	Country	Filing No.	Filing Date	Publication No.	Patent No.	Date of Grant	Priority Date	Priority No.	Registered Assignee	Contractual Obligations
2004P40008 WEDE	DISPENSER, IN PARTICULAR, METERING DISPENSER	EP	DE	05776131.4	05.08.2005	1703831	1703831	27.01.2010	05.08.2004	DE 102004038232.8	Evonik Degussa GmbH	
2004P40008 WEES	DISPENSER, IN PARTICULAR, METERING DISPENSER	EP	ES	05776131.4	05.08.2005	1703831	1703831	27.01.2010	05.08.2004	DE 102004038232.8	Evonik Stockhausen GmbH	
2004P40008 WEFR	DISPENSER, IN PARTICULAR, METERING DISPENSER	EP	FR	05776131.4	05.08.2005	1703831	1703831	27.01.2010	05.08.2004	DE 102004038232.8	Evonik Stockhausen GmbH	
2004P40008 WEGB	DISPENSER, IN PARTICULAR, METERING DISPENSER	EP	GB	05776131.4	05.08.2005	1703831	1703831	27.01.2010	05.08.2004	DE 102004038232.8	Evonik Stockhausen GmbH	
2004P40008 WELU	DISPENSER, IN PARTICULAR, METERING DISPENSER	EP	LU	05776131.4	05.08.2005	1703831	1703831	27.01.2010	05.08.2004	DE 102004038232.8	Evonik Stockhausen GmbH	
2004P40008 WENL	DISPENSER, IN PARTICULAR, METERING DISPENSER	EP	NL	05776131.4	05.08.2005	1703831	1703831	27.01.2010	05.08.2004	DE 102004038232.8	Evonik Stockhausen GmbH	
2004P40008 WOAU	DISPENSER, IN PARTICULAR, METERING DISPENSER	WO	AU	2005271223	05.08.2005		2005271223	25.03.2010	05.08.2004	DE 102004038232.8	Evonik Stockhausen GmbH	
2004P40008 WOBR	DISPENSER, IN PARTICULAR, METERING DISPENSER	WO	BR	0513658-0	05.08.2005				05.08.2004	DE 102004038232.8	Evonik Stockhausen GmbH	
2004P40008 WOCA	DISPENSER, IN PARTICULAR, METERING DISPENSER	WO	CA	2.575.001	05.08.2005		2.575.001	15.06.2010	05.08.2004	DE 102004038232.8	Evonik Stockhausen GmbH	
2004P40008 WOCN	DISPENSER, IN PARTICULAR, METERING DISPENSER	WO	CN	20058002632.2	05.08.2005	101001559	100593384	10.03.2010	05.08.2004	DE 102004038232.8	Evonik Stockhausen GmbH	
2004P40008 WOIN	DISPENSER, IN PARTICULAR, METERING DISPENSER	WO	IN	0733/KOLNP/2	05.08.2005		251830	11.04.2012	05.08.2004	DE 102004038232.8	Stockhausen GmbH	
2004P40008 WOJP	DISPENSER, IN PARTICULAR, METERING DISPENSER	WO	JP	2007-524353	05.08.2005		4969563	30.03.2012	05.08.2004	DE 102004038232.8	Stockhausen GmbH	

dm

PATENT

REEL: 033569 FRAME: 0534

Internal File No.	Title	Procedure	Country	Filing No.	Filing Date	Publication No.	Patent No.	Date of Grant	Priority Date	Priority No.	Registered Assignee	Contractual Obligations
2004P40008 WOMX	DISPENSER, IN PARTICULAR, METERING DISPENSER	WO	MX	MX/A/2007/001 010	05.08.2005		273030	21.12.2009	05.08.2004	DE 102004038232. 8	Stockhausen GmbH	
2004P40008 WORU	DISPENSER, IN PARTICULAR, METERING DISPENSER	WO	RU	2007104089	05.08.2005		2371076	27.10.2009	05.08.2004	DE 102004038232. 8	Evonik Stockhausen GmbH	
2004P40008 WOUS01	DISPENSER, IN PARTICULAR, METERING DISPENSER	WO	US	12/829,197	05.08.2005	2010/0288	8,091,740	10.01.2012	05.08.2004	DE 102004038232. 8	Stockhausen GmbH	
2004P40010 WEDE	Skin cleansing agent, particularly for removing printing inks and/or soiling caused by ink	EP	DE	05741673.7- 2108	29.04.2005	1753514	1753514	02.05.2012	28.05.2004	DE 102004026684. 0	Evonik Degussa GmbH	
2004P40010 WEFR	Skin cleansing agent, particularly for removing printing inks and/or soiling caused by ink	EP	FR	05741673.7- 2108	29.04.2005	1753514	1753514	02.05.2012	28.05.2004	DE 102004026684. 0	Evonik Degussa GmbH	
2004P40010 WEGB	Skin cleansing agent, particularly for removing printing inks and/or soiling caused by ink	EP	GB	05741673.7- 2108	29.04.2005	1753514	1753514	02.05.2012	28.05.2004	DE 102004026684. 0	Evonik Degussa GmbH	
2004P40010 WOUA	Skin cleansing agent, particularly for removing printing inks and/or soiling caused by ink	WO	AU	2005249183	29.04.2005		2005249183	18.06.2010	28.05.2004	DE 102004026684. 0	Evonik Stockhausen GmbH	
2004P40010 WORU	Skin cleansing agent, particularly for removing printing inks and/or soiling caused by ink	WO	RU	2006146554	29.04.2005		2397751	27.08.2010	28.05.2004	DE 102004026684. 0	Evonik Stockhausen GmbH	
2004P40010 WOUS	Skin cleansing agent, particularly for removing printing inks and/or soiling caused by ink	WO	US	10/572,574	29.04.2005	2007/0041	8,470,348	25.06.2013	28.05.2004	DE 102004026684. 0	Evonik Stockhausen GmbH	
2005P40013 WE	METHOD OF PRODUCING A COSMETIC ABRASIVE	EP		06829014.7- 2108	13.11.2006	1951195			16.11.2005	DE 10 2005 054 976.4	Evonik Degussa GmbH	
2005P40013 WOCN	METHOD OF PRODUCING A COSMETIC ABRASIVE	WO	CN	200680042805. 6	13.11.2006	101309666	101309666	24.08.2011	16.11.2005	DE 10 2005 054 976.4	Evonik Stockhausen GmbH	
2005P40016 TW	Dispenser	T W		TW 95105212	16.02.2006		1294400	11.03.2008	14.02.2005	DE 10 2005 006 845.6	Stockhausen GmbH	
2005P40016 WEAT	Dispenser	EP	AT	06708270.1	14.02.2006	1711094	1711094	18.04.2007	14.02.2005	DE 10 2005 006 845.6	Evonik Stockhausen GmbH	

Handwritten signature

PATENT

REEL: 033569 FRAME: 0535

Internal File No.	Title	Procedure	Country	Filing No.	Filing Date	Publication No.	Patent No.	Date of Grant	Priority Date	Priority No.	Registered Assignee	Contractual Obligations
2005P40016 WEBE	Dispenser	EP	BE	06708270.1	14.02.2006	1711094	1711094	18.04.2007	14.02.2005	DE 10 2005 006 845.6	Evonik Stockhausen GmbH	
2005P40016 WECH	Dispenser	EP	CH	06708270.1	14.02.2006	1711094	1711094	18.04.2007	14.02.2005	DE 10 2005 006 845.6	Evonik Degussa GmbH	
2005P40016 WEDE	Dispenser	EP	DE 3	5020060000008. DK 3	14.02.2006	1711094	1711094	18.04.2007	14.02.2005	DE 10 2005 006 845.6	Evonik Degussa GmbH	
2005P40016 WEDK	Dispenser	EP	DK	06708270.1	14.02.2006	1711094	1711094	18.04.2007	14.02.2005	DE 10 2005 006 845.6	Evonik Stockhausen GmbH	
2005P40016 WEES	Dispenser	EP	ES	06708270.1	14.02.2006	1711094	1711094	18.04.2007	14.02.2005	DE 10 2005 006 845.6	Evonik Stockhausen GmbH	
2005P40016 WEFR	Dispenser	EP	FR	06708270.1	14.02.2006	1711094	1711094	18.04.2007	14.02.2005	DE 10 2005 006 845.6	Evonik Stockhausen GmbH	
2005P40016 WEGB	Dispenser	EP	GB	06708270.1	14.02.2006	1711094	1711094	18.04.2007	14.02.2005	DE 10 2005 006 845.6	Evonik Stockhausen GmbH	
2005P40016 WEHU	Dispenser	EP	HU	06708270.1	14.02.2006	1711094	1711094	18.04.2007	14.02.2005	DE 10 2005 006 845.6	Evonik Stockhausen GmbH	
2005P40016 WEIE	Dispenser	EP	IE	06708270.1	14.02.2006	1711094	1711094	18.04.2007	14.02.2005	DE 10 2005 006 845.6	Evonik Stockhausen GmbH	
2005P40016 WEIT	Dispenser	EP	IT	06708270.1	14.02.2006	1711094	1711094	18.04.2007	14.02.2005	DE 10 2005 006 845.6	Evonik Stockhausen GmbH	
2005P40016 WELU	Dispenser	EP	LU	06708270.1	14.02.2006	1711094	1711094	18.04.2007	14.02.2005	DE 10 2005 006 845.6	Evonik Stockhausen GmbH	
2005P40016 WENL	Dispenser	EP	NL	06708270.1	14.02.2006	1711094	1711094	18.04.2007	14.02.2005	DE 10 2005 006 845.6	Evonik Stockhausen GmbH	
2005P40016 WEPL	Dispenser	EP	PL	06708270.1	14.02.2006	1711094	1711094	18.04.2007	14.02.2005	DE 10 2005 006 845.6	Evonik Stockhausen GmbH	
2005P40016 WEPT	Dispenser	EP	PT	06708270.1	14.02.2006	1711094	1711094	18.04.2007	14.02.2005	DE 10 2005 006 845.6	Evonik Degussa GmbH	

HN

PATENT

REEL: 033569 FRAME: 0536

Internal File No.	Title	Procedure	Country	Filing No.	Filing Date	Publication No.	Patent No.	Date of Grant	Priority Date	Priority No.	Registered Assignee	Contractual Obligations
2005P40016 WESE	Dispenser	EP	SE	06708270.1	14.02.2006	1711094	1711094	18.04.2007	14.02.2005	DE 10 2005 006 845.6	Evonik Stockhausen GmbH	
2005P40016 WESK	Dispenser	EP	SK	06708270.1	14.02.2006	1711094	1711094	18.04.2007	14.02.2005	DE 10 2005 006 845.6	Evonik Stockhausen GmbH	
2005P40016 WETR	Dispenser	EP	TR	2007 04745 T4	14.02.2006	1711094	1711094	18.04.2007	14.02.2005	DE 10 2005 006 845.6	Evonik Stockhausen GmbH	
2005P40016 WOAU	Dispenser	WO	AU	2006212195	14.02.2006		2006212195	16.07.2009	14.02.2005	DE 10 2005 006 845.6	Evonik Stockhausen GmbH	
2005P40016 WOBR	Dispenser	WO	BR	PI0607177-5	14.02.2006				14.02.2005	DE 10 2005 006 845.6	Evonik Stockhausen GmbH	
2005P40016 WOCA	Dispenser	WO	CA	2,583,395	14.02.2006		2,583,395	13.07.2010	14.02.2005	DE 10 2005 006 845.6	Evonik Stockhausen GmbH	
2005P40016 WOCN	Dispenser	WO	CN	200680001139. 1	14.02.2006		100593385	10.03.2010	14.02.2005	DE 10 2005 006 845.6	Evonik Stockhausen GmbH	
2005P40016 WOIN	Dispenser	WO	IN	3376/KOLNP/2 007	14.02.2006		238206	25.01.2010	14.02.2005	DE 10 2005 006 845.6	Stockhausen GmbH	
2005P40016 WOMX	Dispenser	WO	MX	MX/A/2007/005 927	14.02.2006		268134	08.07.2009	14.02.2005	DE 10 2005 006 845.6	Evonik Stockhausen GmbH	
2005P40016 WORU	Dispenser	WO	RU	2007134094	14.02.2006		2364309	20.08.2009	14.02.2005	DE 10 2005 006 845.6	Evonik Stockhausen GmbH	
2005P40016 WOUS	Dispenser	WO	US	11/661,228	14.02.2006		7,992,804	09.08.2011	14.02.2005	DE 10 2005 006 845.6	Stockhausen GmbH	
2006P40008 DE	SKIN PROTECTION COMPOSITIONS, IN PARTICULAR CREAM TO PROTECT AGAINST COLD		DE	102006053360. 7	10.11.2006					DE 102006053360. 7	Evonik Degussa GmbH	
2006P40008 WE	SKIN PROTECTION COMPOSITIONS, IN PARTICULAR CREAM TO PROTECT AGAINST COLD	EP		07846553.1- 2108	09.11.2007	2088988				DE 102006053360. 7	Evonik Degussa GmbH	

Handwritten signature

PATENT

REEL: 033569 FRAME: 0537

Internal File No.	Title	Procedure	Country	Filing No.	Filing Date	Publication No.	Patent No.	Date of Grant	Priority Date	Priority No.	Registered Assignee	Contractual Obligations
2006P40008 WOCA	SKIN PROTECTION COMPOSITIONS, IN PARTICULAR CREAM TO PROTECT AGAINST COLD	WO	CA	2,668,097	09.11.2007		2,668,097	01.05.2012	10.11.2006	DE 1020060533360	Evonik Stockhausen GmbH	
2006P40008 WORU	SKIN PROTECTION COMPOSITIONS, IN PARTICULAR CREAM TO PROTECT AGAINST COLD	WO	RU	2009121835	09.11.2007		2447882	20.04.2012	10.11.2006	DE 1020060533360	Evonik Stockhausen GmbH	
2006P40008 WOUS	SKIN PROTECTION COMPOSITIONS, IN PARTICULAR CREAM TO PROTECT AGAINST COLD	WO	US	12/514,326	09.11.2007	2009/0318 570	8,252,847	28.08.2012	10.11.2006	DE 1020060533360	Evonik Stockhausen GmbH	
2006P40008 WOUS01	SKIN PROTECTION COMPOSITIONS, IN PARTICULAR CREAM TO PROTECT AGAINST COLD	WO	US	13/494,351	09.11.2007	2012/0302 634	8,491,920	23.07.2013	10.11.2006	DE 1020060533360	Evonik Stockhausen GmbH	back license (right to use) for Evonik outside the field of skin protection
2007P00753 DE	SKIN AND HAND CLEANING COMPOSITIONS WITH HYDROPHILIC EMOLLIENTS		DE	10 2007 022 693.6-44	11.05.2007	102007022 693			11.05.2007	DE 10 2007 022 693.6-44	Evonik Degussa GmbH	back license (right to use) for Evonik outside the
2007P00753 WE	SKIN AND HAND CLEANING COMPOSITIONS WITH HYDROPHILIC EMOLLIENTS	EP		08749638.6- 2108	22.04.2008	2144593			11.05.2007	DE 10 2007 022 693.6-44	Evonik Degussa GmbH	Evonik outside the

Handwritten signature

PATENT

REEL: 033569 FRAME: 0538

Internal File No.	Title	Procedure	Country	Filing No.	Filing Date	Publication No.	Patent No.	Date of Grant	Priority Date	Priority No.	Registered Assignee	Contractual Obligations
2007P00753 WE 01	SKIN AND HAND CLEANING COMPOSITIONS WITH HYDROPHILIC EMOLLIENTS	EP		12155687.2- 1458	22.04.2008	2455062			11.05.2007	DE 10 2007 022 693.6-44	Evonik Degussa GmbH	field of skin protection back license (right to use) Evonik outside the field of skin protection
2007P00753 WOAU	SKIN AND HAND CLEANING COMPOSITIONS WITH HYDROPHILIC EMOLLIENTS	WO	AU	2008250484	22.04.2008		2008250484	24.10.2013	11.05.2007	DE 10 2007 022 693.6-44	Evonik Stockhausen GmbH	back license (right to use) Evonik outside the field of skin protection
2007P00753 WOBR	SKIN AND HAND CLEANING COMPOSITIONS WITH HYDROPHILIC EMOLLIENTS	WO	BR	PI0811218-5	22.04.2008				11.05.2007	DE 10 2007 022 693.6-44	Evonik Stockhausen GmbH	back license (right to use) Evonik

Handwritten signature

Internal File No.	Title	Procedure	Country	Filing No.	Filing Date	Publication No.	Patent No.	Date of Grant	Priority Date	Priority No.	Registered Assignee	Contractual Obligations
2007P00753 WOCA	SKIN AND HAND CLEANING COMPOSITIONS WITH HYDROPHILIC EMOLLIENTS	WO	CA	2,687,103	22.04.2008				11.05.2007	DE 10 2007 022 693.6-44	Evonik Stockhausen GmbH	outside the field of skin protection
2007P00753 WOCN	SKIN AND HAND CLEANING COMPOSITIONS WITH HYDROPHILIC EMOLLIENTS	WO	CN	200880015652. 5	22.04.2008	101686916	101686916	11.12.2013	11.05.2007	DE 10 2007 022 693.6-44	Evonik Degussa GmbH	back license (right to use) for Evonik outside the field of skin protection
2007P00753 WOHK	SKIN AND HAND CLEANING COMPOSITIONS WITH HYDROPHILIC EMOLLIENTS	WO	HK	10109151.4	22.04.2008	1142544			11.05.2007	DE 10 2007 022 693.6-44	Evonik Degussa GmbH	back license (right to use) for

da

PATENT

Internal File No.	Title	Procedure	Country	Filing No.	Filing Date	Publication No.	Patent No.	Date of Grant	Priority Date	Priority No.	Registered Assignee	Contractual Obligations
2007P00753 WOMX	SKIN AND HAND CLEANING COMPOSITIONS WITH HYDROPHILIC EMOLLIENTS	WO	MX	MX/A/2009/011 986	22.04.2008		307910	14.03.2013	11.05.2007	DE 10 2007 022 693.6-44	Evonik Stockhausen GmbH	Evonik outside the field of skin protection back license (right to use) for Evonik outside the field of skin protection
2007P00753 WORU	SKIN AND HAND CLEANING COMPOSITIONS WITH HYDROPHILIC EMOLLIENTS	WO	RU	RU 2009145795	22.04.2008		2472488	20.01.2013	11.05.2007	DE 10 2007 022 693.6-44	Evonik Stockhausen GmbH	back license (right to use) for Evonik outside the field of skin protection
2007P00753 WOUS	SKIN AND HAND CLEANING COMPOSITIONS WITH HYDROPHILIC EMOLLIENTS	WO	US	US 12/595,531	22.04.2008	2010/0210 499	8,283,299	09.10.2012	11.05.2007	DE 10 2007 022 693.6-44	Evonik Stockhausen GmbH	back license (right to

Handwritten initials

PATENT

REEL: 033569 FRAME: 0541

Internal File No.	Title	Procedure	Country	Filing No.	Filing Date	Publication No.	Patent No.	Date of Grant	Priority Date	Priority No.	Registered Assignee	Contractual Obligations (use) for
2008P00067 DE	SUCTION PUMP FOR A DISPENSER UNIT AND DISPENSER UNIT		DE	102008002773.1-16	18.02.2008	102008002773			18.02.2008	DE 102008002773.1-16	Evonik Degussa GmbH	Evonik outside the field of skin protection
2008P00067 WE	SUCTION PUMP FOR A DISPENSER UNIT AND DISPENSER UNIT	EP		09712427.5-2303	21.01.2009	2312982			18.02.2008	DE 102008002773.1-16	Evonik Degussa GmbH	
2008P00068 DE	METHOD FOR FILLING AND EVACUATING A DISPENSER UNIT AND FILLING INSERT FOR DISPENSER UNIT		DE	102008002765.0-27	01.02.2008				01.02.2008	DE 102008002765.0-27	Evonik Degussa GmbH	
2008P00068 TW	METHOD FOR FILLING AND EVACUATING A DISPENSER UNIT AND FILLING INSERT FOR DISPENSER UNIT		TW	098102334	22.01.2009		1356686	21.01.2012	01.02.2008	DE 102008002765.0-27	Evonik Stockhausen GmbH	
2008P00068 WE	METHOD FOR FILLING AND EVACUATING A DISPENSER UNIT AND FILLING INSERT FOR DISPENSER UNIT	EP		09705300.3	20.01.2009	2306877			01.02.2008	DE 102008002765.0-27	Evonik Degussa GmbH	
2008P00068 WOAU	METHOD FOR FILLING AND EVACUATING A DISPENSER UNIT AND FILLING INSERT FOR DISPENSER UNIT	WO	AU	2009210189	20.01.2009				01.02.2008	DE 102008002765.0-27	Evonik Degussa GmbH	
2008P00068 WOBR	METHOD FOR FILLING AND EVACUATING A DISPENSER UNIT AND FILLING INSERT FOR DISPENSER UNIT	WO	BR	P10905722-6	20.01.2009				01.02.2008	DE 102008002765.0-27	Evonik Stockhausen GmbH	
2008P00068 WOCA	METHOD FOR FILLING AND EVACUATING A DISPENSER UNIT AND FILLING INSERT FOR DISPENSER UNIT	WO	CA	2,712,433	20.01.2009				01.02.2008	DE 102008002765.0-27	Evonik Stockhausen GmbH	

HA

Internal File No.	Title	Procedure	Country	Filing No.	Filing Date	Publication No.	Patent No.	Date of Grant	Priority Date	Priority No.	Registered Assignee	Contractual Obligations
2008P00068 WOCN	METHOD FOR FILLING AND EVACUATING A DISPENSER UNIT AND FILLING INSERT FOR DISPENSER UNIT	WO	CN	200980103598.4	20.01.2009	101932273	101932273	19.09.2012	01.02.2008	DE 102008002765.0-27	Evonik Stockhausen GmbH	
2008P00068 WOIN	METHOD FOR FILLING AND EVACUATING A DISPENSER UNIT AND FILLING INSERT FOR DISPENSER UNIT	WO	IN	3167/KOLNP/2.010	20.01.2009				01.02.2008	DE 102008002765.0-27	Evonik Stockhausen GmbH	
2008P00068 WOKR	METHOD FOR FILLING AND EVACUATING A DISPENSER UNIT AND FILLING INSERT FOR DISPENSER UNIT	WO	KR	10-2010-7019463	20.01.2009				01.02.2008	DE 102008002765.0-27	Evonik Stockhausen GmbH	
2008P00068 WOMX	METHOD FOR FILLING AND EVACUATING A DISPENSER UNIT AND FILLING INSERT FOR DISPENSER UNIT	WO	MX	MX/A/2010/007.930	20.01.2009				01.02.2008	DE 102008002765.0-27	Evonik Stockhausen GmbH	
2008P00068 WOPH	METHOD FOR FILLING AND EVACUATING A DISPENSER UNIT AND FILLING INSERT FOR DISPENSER UNIT	WO	PH	1-2010-501591	20.01.2009				01.02.2008	DE 102008002765.0-27	Evonik Stockhausen GmbH	
2008P00068 WORU	METHOD FOR FILLING AND EVACUATING A DISPENSER UNIT AND FILLING INSERT FOR DISPENSER UNIT	WO	RU	2010136924	20.01.2009		2489074	10.08.2013	01.02.2008	DE 102008002765.0-27	Evonik Stockhausen GmbH	
2008P00068 WOUS	METHOD FOR FILLING AND EVACUATING A DISPENSER UNIT AND FILLING INSERT FOR DISPENSER UNIT	WO	US	12/863,868	20.01.2009	2010/0294.394	8,555,934	15.10.2013	01.02.2008	DE 102008002765.0-27	Evonik Stockhausen GmbH	
2008P00144 DE	SKIN AND HAND CLEANSERS		DE	102008026051.7-44	30.05.2008	102008026			30.05.2008	DE 102008026051.7-44	Evonik Degussa GmbH	
2008P00144 WE	SKIN AND HAND CLEANSERS	EP		09753794.8-1221	13.05.2009	2278952			30.05.2008	DE 102008026051.7-44	Evonik Degussa GmbH	
2008P00144 WOBR	SKIN AND HAND CLEANSERS	WO	BR	PI0912290-7	13.05.2009				30.05.2008	DE 102008026051.7-44	Evonik Stockhausen GmbH	

Handwritten signature

PATENT

REEL: 033569 FRAME: 0543

Internal File No.	Title	Procedure	Country	Filing No.	Filing Date	Publication No.	Patent No.	Date of Grant	Priority Date	Priority No.	Registered Assignee	Contractual Obligations
2008P00144 WURU	SKIN AND HAND CLEANSERS	WO	RU	2010153348	13.05.2009				30.05.2008	DE 102008026051.7-44	Evonik Stockhausen GmbH	
2008P00144 WOUS	SKIN AND HAND CLEANSERS	WO	US	12/933,835	13.05.2009	2011/7002 1398	8,211,841	03.07.2012	30.05.2008	DE 102008026051.7-44	Evonik Stockhausen GmbH	Restriction s from a R/D collaborati on agreement with KC (2008V000 65 & 2013V001 46)
2008P00167 US	MOISTURIZING LIQUID LINER FOR BARRIER LAYER		US	12/022,545	30.01.2008	2009/0191 285			30.01.2008	US 12/022,545	Evonik Degussa Corporation	Restriction s from a R/D collaborati on agreement with KC (2008V000 65 & 2013V001 46)
2008P00167 WE	MOISTURIZING LIQUID LINER FOR BARRIER LAYER	EP		09706135.2- 1458	23.01.2009	2234577			30.01.2008	US 12/022,545	Evonik Industries AG	Restriction s from a R/D collaborati on agreement with KC (2008V000 65 & 2013V001 46)

Internal File No.	Title	Procedure	Country	Filing No.	Filing Date	Publication No.	Patent No.	Date of Grant	Priority Date	Priority No.	Registered Assignee	Contractual Obligations
2008P00167 WOCA	MOISTURIZING LIQUID LINER FOR BARRIER LAYER	WO CA	2,713,748	23.01.2009					30.01.2008	US 12/022,545	Evonik Goldschmidt GmbH	Restrictions from a R/D collaboration agreement with KC (2008V000 65 & 2013V001 46)
2008P00167 WOJP	MOISTURIZING LIQUID LINER FOR BARRIER LAYER	WO JP	2010-544668	23.01.2009	2011-510946				30.01.2008	US 12/022,545	Evonik Goldschmidt GmbH	Restrictions from a R/D collaboration agreement with KC (2008V000 65 & 2013V001 46)

Handwritten signature

Internal File No.	Title	Procedure	Country	Filing No.	Filing Date	Publication No.	Patent No.	Date of Grant	Priority Date	Priority No.	Registered Assignee	Contractual Obligations
2008P00167 WOMX	MOISTURIZING LIQUID LINER FOR BARRIER LAYER	WO	MX	MX/A/2010/008 153	23-01-2009				30.01.2008	US 12/022,545	Evonik Goldschmidt GmbH	Restrictions from a R/D collaborati on agreement with KC (2008V000 65 & 2013V001 46)
2008P00257 DE	Dispenser system		DE	102008002957. 2-27	22.07.2008 957	102008002			22.07.2008	DE 102008002957. 2-27	Evonik Degussa GmbH	
2008P00257 WE	Dispenser system	EP		09779391.3- 1255	30.04.2009 2320778				22.07.2008	DE 102008002957. 2-27	Evonik Degussa GmbH	
2008P00257 WOB	Dispenser system	WO	BR	PI0911025-9	30.04.2009				22.07.2008	DE 102008002957. 2-27	Evonik Stockhausen GmbH	
2008P00257 WOUS	Dispenser system	WO	US	13/054,564	30.04.2009 038	2011/0121			22.07.2008	DE 102008002957. 2-27	Evonik Stockhausen GmbH	

Handwritten initials/signature

Internal File No.	Title	Procedure	Country	Filing No.	Filing Date	Publication No.	Patent No.	Date of Grant	Priority Date	Priority No.	Registered Assignee	Contractual Obligations
2009P00264 DE	Skin protectant, particularly against hydrophobic (lipophilic) and against hydrophilic (lipophobic) harmful substances		DE	102009028143. 6-44	31.07.2009	102009028 143			31.07.2009	DE 102009028143. 6-44	Evonik Degussa GmbH	back license (right to use) Evonik outside the field of skin protection & (2011V001 44)
2009P00264 WE	Skin protectant, particularly against hydrophobic (lipophilic) and against hydrophilic (lipophobic) harmful substances	EP		10726519.1- 2123	30.06.2010	2459163			31.07.2009	DE 102009028143. 6-44	Evonik Degussa GmbH	back license (right to use) Evonik outside the field of skin protection & (2011V001 44)

Handwritten initials/signature

PATENT

REEL: 033569 FRAME: 0547

Internal File No.	Title	Procedure	Country	Filing No.	Filing Date	Publication No.	Patent No.	Date of Grant	Priority Date	Priority No.	Registered Assignee	Contractual Obligations
2009P00264 WDAU	Skin protectant, particularly against hydrophobic (lipophilic) and against hydrophilic (lipophobic) harmful substances	WO	AU	2010278214	30.06.2010				31.07.2009	DE 102009028143.6-44	Evonik Stockhausen GmbH	back license (right to use) for Evonik outside the field of skin protection & (2011V001 44)
2009P00264 WDBR	Skin protectant, particularly against hydrophobic (lipophilic) and against hydrophilic (lipophobic) harmful substances	WO	BR	BR1120120022 27-0	30.06.2010				31.07.2009	DE 102009028143.6-44	Evonik Stockhausen GmbH	back license (right to use) for Evonik outside the field of skin protection & (2011V001 44)

Handwritten initials/signature

PATENT

REEL: 033569 FRAME: 0548

Internal File No.	Title	Procedure	Country	Filing No.	Filing Date	Publication No.	Patent No.	Date of Grant	Priority Date	Priority No.	Registered Assignee	Contractual Obligations
2009P00264 WCCA	Skin protectant, particularly against hydrophobic (lipophilic) and against hydrophilic (lipophobic) harmful substances	WO	CA	2,768,443	30.06.2010				31.07.2009	DE 102009028143.6-44	Evonik Degussa GmbH	back license (right to use) for Evonik outside the field of skin protection & (2011V001 44)
2009P00264 WOCN	Skin protectant, particularly against hydrophobic (lipophilic) and against hydrophilic (lipophobic) harmful substances	WO	CN	201080034044	30.06.2010	102470094			31.07.2009	DE 102009028143.6-44	Evonik Stockhausen GmbH	back license (right to use) for Evonik outside the field of skin protection & (2011V001 44)

Handwritten signature

PATENT

REEL: 033569 FRAME: 0549

Internal File No.	Title	Procedure	Country	Filing No.	Filing Date	Publication No.	Patent No.	Date of Grant	Priority Date	Priority No.	Registered Assignee	Contractual Obligations
2009P00264 WOMX	Skin protectant, particularly against hydrophobic (lipophilic) and against hydrophilic (lipophobic) harmful substances	WO	MX	MX/A/2012/001 149	30.06.2010				31.07.2009	DE 102009028143. 6-44	Evonik Stockhausen GmbH	back license (right to use) for Evonik outside the field of skin protection & (2011V001 44)
2009P00264 WORU	Skin protectant, particularly against hydrophobic (lipophilic) and against hydrophilic (lipophobic) harmful substances	WO	RU	RU/2012/107129	30.06.2010				31.07.2009	DE 102009028143. 6-44	Evonik Stockhausen GmbH	back license (right to use) for Evonik outside the field of skin protection & (2011V001 44)

Handwritten signature

Internal File No.	Title	Procedure	Country	Filing No.	Filing Date	Publication No.	Patent No.	Date of Grant	Priority Date	Priority No.	Registered Assignee	Contractual Obligations
2009P00264 WOUS	Skin protectant, particularly against hydrophobic (lipophilic) and against hydrophilic (lipophobic) harmful substances	WO	US	13/379,489	30.06.2010	2012/0101060			31.07.2009	DE 102009028143.6-44	Evonik Stockhausen GmbH	back license (right to use) for Evonik outside the field of skin protection & (2011V00144)
2009P00268 DE			DE	102009028156.8	31.07.2009	102009028156			31.07.2009	DE 102009028156.8	Evonik Degussa GmbH	
2009P00268 WE			EP	10730143.4-1458	30.06.2010	2459154			31.07.2009	DE 102009028156.8	Evonik Degussa GmbH	
2009P00268 WOAU			WO	AU 2010278215	30.06.2010		2010278215	05.12.2013	31.07.2009	DE 102009028156.8	Evonik Stockhausen GmbH	
2009P00268 WOBR			WO	BR 112012002231-8	30.06.2010				31.07.2009	DE 102009028156.8	Evonik Stockhausen GmbH	
2009P00268 WOCA			WO	CA 2,765,994	30.06.2010				31.07.2009	DE 102009028156.8	Evonik Degussa GmbH	
2009P00268 WOCN			WO	CN 2010800034092.5	30.06.2010	102639098			31.07.2009	DE 102009028156.8	Evonik Stockhausen GmbH	
2009P00268 WOMX			WO	MX/A/2012/001153	30.06.2010				31.07.2009	DE 102009028156.8	Evonik Stockhausen GmbH	

Handwritten initials/signature

Internal File No.	Title	Procedure	Country	Filing No.	Filing Date	Publication No.	Patent No.	Date of Grant	Priority Date	Priority No.	Registered Assignee	Contractual Obligations
2009P00268 WOUS	Foamable oil-water emulsion	WO	US	13/380,064	30.06.2010	2012/0308 492			31.07.2009	DE 102009028156	Evonik Stockhausen GmbH	
2009P00344 DE	Abrasive agent based on natural raw ingredients, having properties improving rheology		DE	102009046272. 4	02.11.2009	102009046 272			02.11.2009	DE 102009046272.	Evonik Degussa GmbH	
2009P00344 WE	Abrasive agent based on natural raw ingredients, having properties improving rheology	EP		10760361.5- 2108	05.10.2010	2470271			02.11.2009	DE 102009046272.	Evonik Degussa GmbH	
2009P00344 WOAU	Abrasive agent based on natural raw ingredients, having properties improving rheology	WO	AU	2010311772	05.10.2010				02.11.2009	DE 102009046272.	Evonik Stockhausen GmbH	
2009P00344 WOBR	Abrasive agent based on natural raw ingredients, having properties improving rheology	WO	BR	112012011586- 3	05.10.2010				02.11.2009	DE 102009046272.	Evonik Stockhausen GmbH	
2009P00344 WOCA	Abrasive agent based on natural raw ingredients, having properties improving rheology	WO	CA	2,777,796	05.10.2010				02.11.2009	DE 102009046272.	Evonik Stockhausen GmbH	
2009P00344 WOCN	Abrasive agent based on natural raw ingredients, having properties improving rheology	WO	CN	201080046415. 2	05.10.2010	102639190			02.11.2009	DE 102009046272.	Evonik Stockhausen GmbH	
2009P00344 WOMX	Abrasive agent based on natural raw ingredients, having properties improving rheology	WO	MX	MX/A/2012/004 689	05.10.2010				02.11.2009	DE 102009046272.	Evonik Stockhausen GmbH	
2009P00344 WORU	Abrasive agent based on natural raw ingredients, having properties improving rheology	WO	RU	2012122589	05.10.2010				02.11.2009	DE 102009046272.	Evonik Stockhausen GmbH	
2009P00344 WOUS	Abrasive agent based on natural raw ingredients, having properties improving rheology	WO	US	13/501,251	05.10.2010	2012/0202 730	8,466,097	18.06.2013	02.11.2009	DE 102009046272.	Evonik Stockhausen GmbH	2011V001 44
2010P00422 DE	Skin and hand cleaning means containing super-absorbing particles		DE	102011004815. 4-41	28.02.2011	1815			28.02.2011	DE 102011004815. 4-41	Evonik Degussa GmbH	2011V001 44
2010P00422 WE	Skin and hand cleaning means containing super-absorbing particles	EP		12701255.7- 1458	30.01.2012				28.02.2011	DE 102011004815. 4-41	Evonik Degussa GmbH	2011V001 44

Handwritten signature

Internal File No.	Title	Procedure	Country	Filing No.	Filing Date	Publication No.	Patent No.	Date of Grant	Priority Date	Priority No.	Registered Assignee	Contractual Obligations
2010P00422 WCAU	Skin and hand cleaning means containing super-absorbing particles	WO	AU	2012222609	30.01.2012				28.02.2011	DE 102011004815. 4-41	Evonik Degussa GmbH	2011V001 44
2010P00422 WOB	Skin and hand cleaning means containing super-absorbing particles	WO	BR	BR1120130216 46-8	30.01.2012				28.02.2011	DE 102011004815. 4-41	Evonik Degussa GmbH	2011V001 44
2010P00422 WOCA	Skin and hand cleaning means containing super-absorbing particles	WO	CA	2,828,281	30.01.2012				28.02.2011	DE 102011004815. 4-41	Evonik Degussa GmbH	2011V001 44
2010P00422 WOCN	Skin and hand cleaning means containing super-absorbing particles	WO	CN	201280010802. X	30.01.2012				28.02.2011	DE 102011004815. 4-41	Evonik Degussa GmbH	2011V001 44
2010P00422 WOMX	Skin and hand cleaning means containing super-absorbing particles	WO	MX	MX/A/2013/009 856	30.01.2012				28.02.2011	DE 102011004815. 4-41	Evonik Degussa GmbH	2011V001 44
2010P00422 WORU	Skin and hand cleaning means containing super-absorbing particles	WO	RU	2013143668	30.01.2012				28.02.2011	DE 102011004815. 4-41	Evonik Degussa GmbH	2011V001 44
2010P00422 WOUS	Skin and hand cleaning means containing super-absorbing particles	WO	US	14/001,512	30.01.2012	2013/0338 052			28.02.2011	DE 102011004815. 4-41	Evonik Degussa GmbH	2011V001 44
2011P00337 DE	Composition comprising hydroxy ethyl cellulose		DE	102012201668. 6	06.02.2012	102012201 668			06.02.2012	DE 102012201668. 6	Evonik Industries AG	
2011P00337 WE	Composition comprising hydroxy ethyl cellulose	EP		PCT/EP2013/0 50370	10.01.2013				06.02.2012	DE 102012201668. 6	Evonik Industries AG	
2011P00337 WO	Composition comprising hydroxy ethyl cellulose	WO		PCT/EP2013/0 50370	10.01.2013	2013/1173 75			06.02.2012	DE 102012201668. 6	Evonik Industries AG	

Handwritten signature

Internal File No.	Title	Procedure	Country	Filing No.	Filing Date	Publication No.	Patent No.	Date of Grant	Priority Date	Priority No.	Registered Assignee	Contractual Obligations
2011P00337 WOUS	Composition comprising hydroxy ethyl cellulose	WO	US	PCT/EP2013/0 50370	10.01.2013				06.02.2012	DE 102012201668. 6	Evonik Industries AG	
2012P00211 EP	Fast penetrating skin care soft cream	EP		12183046.7- 1458	05.09.2012	2705828			05.09.2012	EP 12183046.7- 1458	Evonik Industries AG	
2012P00211 WO	Fast penetrating skin care soft cream	WO		PCT/EP2013/0 66620	08.08.2013				05.09.2012	EP 12183046.7- 1458	Evonik Industries AG	
2013P00148 DE	MEDICINAL SKIN PROTECTION COMPOSITION WITH AN ACTIVE INGREDIENT COMBINATION WHICH IMPROVES THE SKIN BARRIER	DE	DE	10201110909	18.08.2011	10201110909			18.08.2011	DE 10201110909	PAUL HARTMANN AG	2014V000 14
2013P00148 WE	MEDICINAL SKIN PROTECTION COMPOSITION WITH AN ACTIVE INGREDIENT COMBINATION WHICH IMPROVES THE SKIN BARRIER	EP		12746329.7- 1460	10.02.2012				18.08.2011	DE 10201110909	Evonik Industries AG, PAUL HARTMANN AG	2014V000 14
2013P00148 WO	MEDICINAL SKIN PROTECTION COMPOSITION WITH AN ACTIVE INGREDIENT COMBINATION WHICH IMPROVES THE SKIN BARRIER	WO		PCT/EP2012/0 65658	10.02.2012	WO2013/0 24012			18.08.2011	DE 10201110909	Evonik Industries AG, PAUL HARTMANN AG	2014V000 14
2013P00148 WOAU	MEDICINAL SKIN PROTECTION COMPOSITION WITH AN ACTIVE INGREDIENT COMBINATION WHICH IMPROVES THE SKIN BARRIER	WO	AU	PCT/EP2012/0 65658	10.02.2012				18.08.2011	DE 10201110909	Evonik Industries AG, PAUL HARTMANN AG	2014V000 14
2013P00148 WOCN	MEDICINAL SKIN PROTECTION COMPOSITION WITH AN ACTIVE INGREDIENT COMBINATION WHICH IMPROVES THE SKIN BARRIER	WO	CN	PCT/EP2012/0 65658	10.02.2012				18.08.2011	DE 10201110909	Evonik Industries AG, PAUL HARTMANN AG	2014V000 14
2013P00148 WORU	MEDICINAL SKIN PROTECTION COMPOSITION WITH AN ACTIVE INGREDIENT COMBINATION WHICH IMPROVES THE SKIN BARRIER	WO	RU	PCT/EP2012/0 65658	10.02.2012				18.08.2011	DE 10201110909	Evonik Industries AG, PAUL HARTMANN AG	2014V000 14

Handwritten signature

Internal File No.	Title	Procedure	Country	Filing No.	Filing Date	Publication No.	Patent No.	Date of Grant	Priority Date	Priority No.	Registered Assignee	Contractual Obligations
2013P00148 WOUS	MEDICINAL SKIN PROTECTION COMPOSITION WITH AN ACTIVE INGREDIENT COMBINATION WHICH IMPROVES THE SKIN BARRIER	WO	US	14/239,345	10.02.2012				18.08.2011	DE 10201110909	Evonik Industries AG, PAUL HARTMANN AG	2014V000 14
2013P00286 DE	Stabilisierte multiple Emulsionen als Hautschutzprodukt		DE	102013222164. 9	31.10.2013				31.10.2013	DE 102013222164.	Evonik Industries AG	

2.2. PATENTS AND PATENT APPLICATIONS OF US LEGAL ENTITIES

Internal File No.	Title	Procedure	Country	Filing No.	Filing Date	Publication No.	Patent No.	Date of Grant	Priority Date	Priority No.	Registered Assignee
2009P0023 7 US	Dispenser		US	12/022,212	30.01.2008	2009/0188943	8,020,731	20.09.2011	30.01.2008	US 12/022,212	Evonik Corporation
2009P0023 7 US01	Dispenser		US	13/216,875	24.08.2011	2012/0006851	8,365,963	05.02.2013	30.01.2008	US 12/022,212	Evonik Corporation
2009P0023 7WE	Dispenser	EP		09706767.2-2303	28.01.2009	2234531			30.01.2008	US 12/022,212	Evonik Corporation
2009P0023 7WOCN	Dispenser	WO	CN	200980103576.8	28.01.2009	101932272	101932272	10.10.2012	30.01.2008	US 12/022,212	Evonik Stockhausen inc.
2009P0023 7WOCN01	Dispenser	WO	CN	201210299028.0	28.01.2009	103054498			30.01.2008	US 12/022,212	Evonik Stockhausen LLC

John