# PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT2994226

SUBMISSION TYPE:	NEW ASSIGNMENT	
NATURE OF CONVEYANCE:	ASSIGNMENT	

#### **CONVEYING PARTY DATA**

Name	Execution Date
IAIN ROBERT GREIG	04/12/2011
ROSE MARY SHERIDAN	05/05/2011
RAYMOND FISHER	04/07/2011
MATTHEW JOHN TOZER	04/07/2011
JUHA ANDREW CLASE	04/11/2011
ANDREW SMITH	04/07/2011
ANDREW ROBERT TUFFNELL	04/07/2011
ROBERT JURGEN VAN 'T HOF	04/08/2011

#### **RECEIVING PARTY DATA**

Name:	PIMCO 2664 LIMITED	
Street Address:	24 CORNHILL	
City:	LONDON	
State/Country:	UNITED KINGDOM	
Postal Code:	EC3V 3ND	

#### **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	14464973

#### **CORRESPONDENCE DATA**

**Fax Number:** (303)268-0065

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

**Phone:** 3032680066

Email: tcrispino@sbiplaw.com

Correspondent Name: SWANSON & BRATSCHUN, L.L.C. Address Line 1: 8210 SOUTHPARK TERRACE LITTLETON, COLORADO 80120

ATTORNEY DOCKET NUMBER:	0206.167	
NAME OF SUBMITTER:	ROSEMARY KELLOGG	
SIGNATURE:	/Rosemary Kellogg/	
DATE SIGNED:	08/24/2014	
	PATENT	

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# **Total Attachments: 55** source=Assignment#page1.tif source=Assignment#page2.tif source=Assignment#page3.tif source=Assignment#page4.tif source=Assignment#page5.tif source=Assignment#page6.tif source=Assignment#page7.tif source=Assignment#page8.tif source=Assignment#page9.tif source=Assignment#page10.tif source=Assignment#page11.tif source=Assignment#page12.tif source=Assignment#page13.tif source=Assignment#page14.tif source=Assignment#page15.tif source=Assignment#page16.tif source=Assignment#page17.tif source=Assignment#page18.tif source=Assignment#page19.tif source=Assignment#page20.tif source=Assignment#page21.tif source=Assignment#page22.tif source=Assignment#page23.tif source=Assignment#page24.tif source=Assignment#page25.tif source=Assignment#page26.tif source=Assignment#page27.tif source=Assignment#page28.tif source=Assignment#page29.tif source=Assignment#page30.tif source=Assignment#page31.tif source=Assignment#page32.tif source=Assignment#page33.tif source=Assignment#page34.tif source=Assignment#page35.tif source=Assignment#page36.tif source=Assignment#page37.tif source=Assignment#page38.tif source=Assignment#page39.tif source=Assignment#page40.tif source=Assignment#page41.tif source=Assignment#page42.tif source=Assignment#page43.tif source=Assignment#page44.tif source=Assignment#page45.tif source=Assignment#page46.tif source=Assignment#page47.tif

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# ASSIGNMENT OF INVENTION AND RELATED INTELLECTUAL PROPERTY RIGHTS



#### Parties:

#### 1 'The Inventors'

#### IAIN ROBERT GREIG

of: Institute of Medical Sciences, University of Aberdeen, Medical School, Foresterhill, Aberdeen, Aberdeenshire, AB25 2ZD, United Kingdom

#### **ROSE MARY SHERIDAN**

of: Joint UCLH/UCL Biomedical Research & Development (R&D) Unit, Suite 1A, Maple House, Ground Floor, Rosenheim Wing, 25 Grafton Way, London, WC1E 6DB, United Kingdom

#### RAYMOND FISHER

of: Peakdale Molecular Ltd., Peakdale Science Park, Sheffield Road, Chapel-en-le-Frith, High Peak, SK23 0PG, United Kingdom

#### **MATTHEW JOHN TOZER**

of: Peakdale Molecular Ltd., Peakdale Science Park, Sheffield Road, Chapel-en-le-Frith, High Peak, SK23 0PG, United Kingdom

#### JUHA ANDREW CLASE

of: Peakdale Molecular Ltd., Peakdale Science Park, Sheffield Road, Chapel-en-le-Frith, High Peak, SK23 0PG, United Kingdom

#### **ANDREW SMITH**

of: Peakdale Molecular Ltd., Peakdale Science Park, Sheffield Road, Chapel-en-le-Frith, High Peak, SK23 0PG, United Kingdom

#### ANDREW ROBERT TUFFNELL

of: Peakdale Molecular Ltd., Peakdale Science Park, Sheffield Road, Chapel-en-le-Frith, High Peak, SK23 0PG, United Kingdom

#### ROBERT JURGEN VAN 'T HOF

of: Bone Group, Molecular Medicine Centre, Western General Hospital, Edinburgh; Lothian, EH4 2XU, United Kingdom

#### 2 'Aberdeen'

THE UNIVERSITY COURT OF THE UNIVERSITY OF ABERDEEN of: Regent Walk, Aberdeen, Aberdeenshire, AB24 3FX, United Kingdom

### 3 'Edinburgh'

#### THE UNIVERSITY COURT OF THE UNIVERSITY OF EDINBURGH

a charitable body registered in Scotland under registration number SC005336 incorporated under the Universities (Scotland) Acts and having its principal offices at: Old College, South Bridge, Edinburgh, EH8 9YL, United Kingdom

#### 4 'Modern'

### MODERN BIOSCIENCES PLC

of: 24 Cornhill, London, EC3V 3ND, United Kingdom

Assignment WJW/LP6646145 - Greig-5 US 61/098,271, GB 0817207.4 & PCT/GB2009/002221

(Company No 05414023)

#### 5 'Peakdale'

#### PEAKDALE MOLECULAR LTD.

of: Peakdale Science Park, Sheffield Road, Chapel-en-le-Frith, High Peak, SK23 0PG, United Kingdom (Company No 02345676)

#### 6 'The Assignee'

#### PIMCO 2664 LIMITED

of: 24 Cornhill, London, EC3V 3ND, United Kingdom (Company No 06282953)

#### Recitals:

- (A) Inventors GREIG, SHERIDAN, FISHER, TOZER, CLASE, SMITH, and TUFFNELL are the joint inventors of the invention or inventions (hereinafter referred to as "The Priority Invention") entitled Aryl-Phenyl-Sulfonamide-Cycloalkyl Compounds and Their Use, the specification of which was filed on 19 September 2008 as United States provisional patent application number 61/098,271 (hereinafter referred to as "The US Priority Application") and entitled Therapeutic APSAC Compounds and Their Use, the specification of which was filed on 19 September 2008 as United Kingdom patent application number 0817207.4 (hereinafter referred to as "The GB Priority Application").
- (B) The US Priority Application was filed in the name of Inventors GREIG, SHERIDAN, FISHER, TOZER, CLASE, SMITH, and TUFFNELL, as required by US law.
- (C) Inventors GREIG, SHERIDAN, FISHER, TOZER, CLASE, SMITH, and TUFFNELL, Aberdeen, Modern, Peakdale, and The Assignee agreed before The US Priority Application and The GB Priority Application were filed that The Assignee would be the sole owner of The Priority Invention; The US Priority Application; The GB Priority Application; and any and all patent applications linked by priority to The US Priority Application and/or The GB Priority Application.
- (D) The Inventors are the joint inventors of the invention or inventions (hereinafter referred to as "The PCT Invention") entitled *Aryl-Phenyl-Sulfonamide-Cycloalkyl Compounds and Their Use*, the specification of which was filed on 18 September 2009 as international patent application number PCT/GB2009/002221 (hereinafter referred to as "The PCT Application") which claims priority benefit of The US Priority Application and The GB Priority Application.
- (E) The Inventors, Aberdeen, Edinburgh, Modern, Peakdale, and The Assignee agreed before The PCT Application was filed that The Assignee would be the sole owner of The PCT Invention; and The PCT Application, including any and all national and regional phase applications arising therefrom.
- (F) In pursuance of those agreements, The Inventors, Aberdeen, Edinburgh, Modern, Peakdale, and The Assignee have agreed to execute this Assignment in order to confirm and ensure that all rights, title and interest worldwide in, to, under and arising from The Priority Invention; The US Priority Application; The GB Priority Applications linked by priority to The US Priority Application and/or The GB Priority Application; The PCT Invention; and The PCT Application, including any and all national and regional phase applications arising therefrom; belong to The Assignee.

- (G) Inventor GREIG was at all material times employed by Aberdeen to carry out the research from which his contribution to The Priority Invention; The US Priority Application; The GB Priority Application; The PCT Invention; and The PCT Application arose.
- (H) Inventor GREIG has agreed to execute this Assignment in order to confirm and ensure that, as between him and his employer (Aberdeen), his contribution to The Priority Invention and The PCT Invention, and all rights, title and interest in, to, under and arising from The Priority Invention; The US Priority Application; The GB Priority Application; any and all patent applications linked by priority to The US Priority Application and/or The GB Priority Application; The PCT Invention; and The PCT Application, including any and all national and regional phase applications arising therefrom; belong to his employer.
- (I) Inventor VAN 'T HOF was at all material times employed by Edinburghto carry out the research from which his contribution to The Priority Invention (if any); The US Priority Application (if any); The GB Priority Application (if any); The PCT Invention; and The PCT Application arose.
- (J) Inventor VAN 'T HOF has agreed to execute this Assignment in order to confirm and ensure that, as between him and his employer (Edinburgh), his contribution (if any) to The Priority Invention and the PCT Invention and all rights, title and interest in, to, under and arising from (if any) The Priority Invention; The US Priority Application; The US Priority Application; any and all patent applications linked by priority to The US Priority Application and/or The GB Priority Application, The PCT Invention; and The PCT Application, including any and all national and regional phase applications arising therefrom; belong to his employer.
- (K) Aberdeen has agreed to execute this Assignment in order to confirm and ensure that, as between them and The Assignee, all their rights, title and interest in, to, under and arising from The Priority Invention; The Priority US Application; The Priority GB Application; any and all patent applications linked by priority to The Priority US Application and/or The Priority GB Application; The PCT Invention; and The PCT Application, including any and all national and regional phase applications arising therefrom; belong to The Assignee.
- (L) Edinburgh has agreed to execute this Assignment in order to confirm and ensure that, as between them and The Assignee, all their rights, title and interest in, to, under and arising from (if any) The Priority Invention; The Priority US Application; The Priority GB Application; any and all patent applications linked by priority to The Priority US Application and/or The Priority GB Application; The PCT Invention; and The PCT Application, including any and all national and regional phase applications arising therefrom; belong to The Assignee.
- (M) Inventor SHERIDAN was at all material times employed by Modern to carry out the research from which her contribution to The Priority Invention; The US Priority Application; The GB Priority Application; The PCT Invention; and The PCT Application arose.

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- (N) Inventor SHERIDAN has agreed to execute this Assignment in order to confirm and ensure that, as between her and her employer (Modern), her contribution to The Priority Invention and The PCT Invention, and all rights, title and interest in, to, under and arising from The Priority Invention; The US Priority Application; The GB Priority Application; any and all patent applications linked by priority to The US Priority Application and/or The GB Priority Application; The PCT Invention; and The PCT Application, including any and all national and regional phase applications arising therefrom; belong to her employer.
- (O) Modern has agreed to execute this Assignment in order to confirm and ensure that, as between them and The Assignee, all their rights, title and interest in, to, under and arising from The Priority Invention; The US Priority Application; The GB Priority Application; any and all patent applications linked by priority to The Priority US Application and/or The Priority GB Application; The PCT Invention; and The PCT Application, including any and all national and regional phase applications arising therefrom; belong to The Assignee.
- (P) Inventors FISHER, TOZER, CLASE, SMITH, and TUFFNELL were at all material times employed by Peakdale to carry out the research from which their contributions to The Priority Invention; The US Priority Application; The GB Priority Application; The PCT Invention; and The PCT Application arose.
- (Q) Inventors FISHER, TOZER, CLASE, SMITH, and TUFFNELL have agreed to execute this Assignment in order to confirm and ensure that, as between them and their employer (Peakdale), their contributions to The Priority Invention and The PCT Invention, and all rights, title and interest in, to, under and arising from The Priority Invention; The US Priority Application; The GB Priority Application; any and all patent applications linked by priority to The US Priority Application and/or The GB Priority Application; The PCT Invention; and The PCT Application, including any and all national and regional phase applications arising therefrom; belong to their employer.
- (R) Peakdale has agreed to execute this Assignment in order to confirm and ensure that, as between them and The Assignee, all their rights, title and interest in, to, under and arising from The Priority Invention; The US Priority Application; The GB Priority Application; any and all patent applications linked by priority to The Priority US Application and/or The GB Priority Application; The PCT Invention; and The PCT Application, including any and all national and regional phase applications arising therefrom; belong to The Assignee.

#### Operative provisions:

In consideration for the payment of £1.00 by Aberdeen to inventor GREIG (the receipt and adequacy of which are hereby acknowledged), that Inventor hereby sells and assigns to Aberdeen absolutely and free from incumbrances all his rights title and interest in, to, under, and arising from The Priority Invention; The US Priority Application; any and all patent applications linked by priority to The US Priority Application and/or The GB Priority Application, including the right to claim priority from The US Priority Application and/or The GB Priority Application in any and all applications for protection for The Priority Invention and/or the PCT Invention; The PCT Invention; The PCT Application, including any and all national and regional phase applications arising therefrom; and including those rights set out in clause 9 below.

- In consideration for the payment of £1.00 by The Assignee to Aberdeen (the receipt and adequacy of which are hereby acknowledged), Aberdeen hereby sells and assigns to The Assignee absolutely and free from incumbrances all their rights title and interest in, to, under, and arising from The Priority Invention; The US Priority Application; The GB Priority Application; any and all patent applications linked by priority to The US Priority Application and/or The GB Priority Application, including the right to claim priority from The US Priority Application and/or The GB Priority Application in any and all applications for protection for The Priority Invention and/or the PCT Invention; The PCT Invention; The PCT Application, including any and all national and regional phase applications arising therefrom; and including those rights set out in clause 9 below.
- In consideration for the payment of £1.00 by Edinburgh to inventor VAN 'T HOF (the receipt and adequacy of which are hereby acknowledged), that Inventor hereby sells and assigns to Edinburgh absolutely and free from incumbrances all his rights title and interest in, to, under, and arising from (if any) The Priority Invention; The US Priority Application, The GB Priority Application; and any and all patent applications linked by priority to The US Priority Application and/or The GB Priority Application in cluding the right to claim priority from The US Priority Application and/or The GB Priority Application in any and all applications for protection for The Priority Invention and/or the PCT Invention; The PCT Invention; The PCT Application, including any and all national and regional phase applications arising therefrom; and including those rights set out in clause 9 below.
- In consideration for the payment of £1.00 by The Assignee to Edinburgh (the receipt and adequacy of which are hereby acknowledged), Edinburgh hereby sells and assigns to The Assignee absolutely and free from incumbrances all their rights title and interest in, to, under, and arising from The Priority Invention; The US Priority Application; The US Priority Application; any and all patent applications linked by priority to The US Priority Application and/or The GB Priority Application, including the right to claim priority from The US Priority Application and/or The GB Priority Application in any and all applications for protection for The Priority Invention and/or the PCT Invention; The PCT Application, including any and all national and regional phase applications arising therefrom; and including those rights set out in clause 9 below.
- In consideration for the payment of £1.00 by Modern to inventor SHERIDAN (the receipt and adequacy of which are hereby acknowledged), that Inventor hereby sells and assigns to Modern absolutely and free from incumbrances all her rights title and interest in, to, under, and arising from The Priority Invention; The US Priority Application; The GB Priority Application; any and all patent applications linked by priority to The US Priority Application and/or The GB Priority Application, including the right to claim priority from The US Priority Application and/or The GB Priority Application in any and all applications for protection for The Priority Invention and/or the PCT Invention; The PCT Invention; The PCT Application, including any and all national and regional phase applications arising therefrom; and including those rights set out in clause 9 below.
- In consideration for the payment of £1.00 by The Assignee to Modern (the receipt and adequacy of which are hereby acknowledged), Modern hereby sells and assigns to The Assignee absolutely and free from incumbrances all their rights title and interest in, to, under, and arising from The Priority Invention; The US Priority Application; The GB Priority Application; any and all patent applications linked by priority to The US Priority Application and/or The GB Priority Application, including the right to claim priority from The US Priority Application and/or The GB Priority Application in any and all applications for protection for The Priority Invention and/or the PCT Invention; The PCT Application, including any and all national and regional phase applications arising therefrom; and including those rights set out in clause 9 below.

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- In consideration for the payment of £1.00 by Peakdale to inventors FISHER, TOZER, CLASE, SMITH, and TUFFNELL (the receipt and adequacy of which are hereby acknowledged), those Inventors hereby sell and assign to Peakdale absolutely and free from incumbrances all their rights title and interest in, to, under, and arising from The Priority Invention; The US Priority Application; The GB Priority Applications linked by priority to The US Priority Application and/or The GB Priority Application, including the right to claim priority from The US Priority Application and/or The GB Priority Invention and/or the PCT Invention; The PCT Invention; The PCT Application, including any and all national and regional phase applications arising therefrom; and including those rights set out in clause 9 below.
- In consideration for the payment of £1.00 by The Assignee to Peakdale (the receipt and adequacy of which are hereby acknowledged), Peakdale hereby sells and assigns to The Assignee absolutely and free from incumbrances all their rights title and interest in, to, under, and arising from The Priority Invention; The US Priority Application; The GB Priority Application; any and all patent applications linked by priority to The US Priority Application and/or The GB Priority Application, including the right to claim priority from The US Priority Application and/or The GB Priority Application in any and all applications for protection for The Priority Invention and/or the PCT Invention; The PCT Invention; The PCT Application, including any and all national and regional phase applications arising therefrom; and including those rights set out in clause 9 below.
- The rights sold and assigned in clauses 1 through 8 above are all rights title and interest in, to, under, and arising from The Priority Invention; The US Priority Application; The GB Priority Application; any and all patent applications linked by priority to The US Priority Application and/or The GB Priority Application, including the right to claim priority from The US Priority Application and/or The GB Priority Application in any and all applications for protection for The Priority Invention and/or the PCT Invention; The PCT Invention; The PCT Application, including any and all national and regional phase applications arising therefrom; including:
  - 9.1 all rights title and interest in all countries of the world in, to, under, and arising from The Priority Invention; The US Priority Application; The GB Priority Application; The PCT Invention; The PCT Application, including any and all national and regional phase applications arising therefrom; and all patent applications derived therefrom and any and all applications linked by priority thereto and any and all divisionals, continuations and continuations-in-part of any of the aforesaid applications and any and all patents that may be granted pursuant thereto for the full period thereof including any re-issues, re-examinations, renewals, extensions and Supplementary Protection Certificates and including all rights and powers arising or accrued therefrom including the right to sue for damages and other remedies in respect of any infringement of any such rights before on or after the date hereof;
  - 9.2 all such rights to apply for prosecute and obtain patents and other intellectual property protection anywhere in the world in respect of The Priority Invention and/or The PCT Invention to the intent that the grant of any patents or other intellectual property protection for The Priority Invention and/or The PCT Invention shall be in the name of and shall vest in The Assignee or its successors or assigns; and
  - 9.3 all such rights to claim priority from The US Priority Application and/or The GB Priority Application in any and all applications for protection for The Priority Invention and/or the PCT Invention, such rights to be enjoyed by The Assignee

with effect from the date of filing of The US Priority Application and The GB Priority Application respectively;

to the intent that the Assignee shall have and hold the rights title and interest hereby assigned as fully and entirely as the same would have been held and enjoyed by The Inventors, Aberdeen, Edinburgh, Modern, and Peakdale, had this Assignment not been made.

- The Inventors, Aberdeen, Edinburgh, Modern, Peakdale, and The Assignee hereby GRANT the firm of Mewburn Ellis LLP the power to insert in the Schedule to this Assignment any further identification of any future patent applications in Canada or the United States or any other countries or regions of the world (including any international (PCT) patent applications) which fall within the scope of clauses 1 to 8 above, as may be necessary or desirable in order to comply with the rules of the United States Patent Office or the Canadian Patent Office or any other intellectual property office for recordation of this document.
- The Inventors, Aberdeen, Edinburgh, Modern, and Peakdale hereby UNDERTAKE that at the request and cost of the Assignee or its successors or assigns they will at all times hereafter promptly do all such acts and execute all such documents (including but not limited to documents required to be signed by or on behalf of inventors in the course of any and all Canadian and United States patent applications which relate to The Priority Invention and/or the PCT Invention and any and all divisionals, continuations and continuations-in-part of any such applications) as may be reasonably necessary or desirable to secure the vesting in the Assignee or its successors or assigns of all rights title and interest assigned to the Assignee hereunder and to confirm the title of the Assignee or its successors or assigns to all such rights title and interest and to assist in the resolution of any question concerning The Priority Invention and/or the PCT Invention or any application for patent or other intellectual property protection granted pursuant to any such application.
- The Inventors, Aberdeen, Edinburgh, Modern, and Peakdale hereby request the relevant authorities in all countries of the world to issue any patents granted for The Priority Invention and/or the PCT Invention in the name of the Assignee or its successors or assigns in accordance with this Assignment.
- This Assignment and undertaking shall be binding upon the heirs, executors, administrators, successors and/or assigns of each of The Inventors, Aberdeen, Edinburgh, Modern, and Peakdale, and shall enure to the benefit of any heirs, executors, administrators, successors and/or assigns of The Assignee.
- This agreement shall be governed by and interpreted in accordance with the laws of England, and the courts of England shall have exclusive jurisdiction to settle any disputes which may arise in connection with this agreement.

IN WITNESS WHEREOF the parties hereto have executed this Assignment and undertaking

Assignment WJW/LP6646145 - Greig-5

# **SCHEDULE**

Mewburn Ellis LLP REFERENCE NO.	COUNTRY	APPLICATION NUMBER	FILING DATE
6583843	GB	0817207.4	19/09/2008
6573976	US	61/098,271	19/09/2008
6646145	WO	PCT/GB2009/002221	18/09/2009
6752794	EP	09785121.6	18/09/2009
6752802	US	13/063,956	18/09/2009
6752810	CA		18/09/2009
6752828	JP		18/09/2009
6752836	IN		18/09/2009

SIGNED by  IAIN ROBERT GREIG  at (city, country): ABERDEROLL  on (date): 12-04-11  in the presence of:  Witness  Signature: BALL BRADLES  Address: IMS, DAINERSITY OF ABE	Signature: Den J

at (city, co on (date): in the pres <u>Witness</u> Signature: Name:	ARY <u>SHERIDAN</u> puntry):	) ) ) Signature: )

SIGNED by  RAYMOND FISHER at (city, country): on (date): in the presence of: Witness Signature: Name: Address:	) ) ) Signature:
SIGNED by  MATTHEW JOHN TOZER  at (city, country): on (date): in the presence of: Witness Signature: Name: Address:	Signature:
SIGNED by  JUHA ANDREW CLASE  at (city, country):  on (date):  in the presence of:  Witness  Signature:  Name:  Address:	Signature:
SIGNED by ANDREW SMITH at (city, country): ) on (date): ) in the presence of: ) Witness Signature: Name: Address:	Signature:

SIGNED by  ANDREW ROBERT TUFFNELL  at (city, country):	Signature:
SIGNED by  ROBERT JURGEN VAN 'T HOF  at (city, country):  on (date):  in the presence of:  Witness  Signature:  Name:  Address:	Signature:
SIGNED for and on behalf of THE UNIVERSITY COURT OF THE UNIVERSITY OF ABERDEEN at (city, country): ACCOUNTY, UCCOUNTY, UCCOUNT	Signature:  Name: Elizabeth Rattray  Position: Deputy Director  Research and Innovation
SIGNED for and on behalf of THE UNIVERSITY COURT OF THE UNIVERSITY OF EDINBURGH at (city, country): on (date): in the presence of: Witness Signature: Name: Address:	

SIGNED for and on behalf of MODERN BIOSCIENCES PLC at (city, country): on (date): in the presence of: Witness Signature: Name: Address:	Signature:
SIGNED for and on behalf of PEAKDALE MOLECULAR LTD. at (city, country): on (date): in the presence of: Witness Signature: Name: Address:	Signature:  Name: Matthew John Tozer  Position: Head of Medicinal  Chemistry Services
SIGNED for and on behalf of PIMCO 2664 LIMITED at (city, country): on (date): in the presence of: Witness Signature: Name: Address:	Signature:

# ASSIGNMENT OF INVENTION AND RELATED INTELLECTUAL PROPERTY RIGHTS

#### Parties:

#### 1 'The Inventors'

#### IAIN ROBERT GREIG

of: Institute of Medical Sciences, University of Aberdeen, Medical School, Foresterhill, Aberdeen, Aberdeenshire, AB25 2ZD, United Kingdom

#### **ROSE MARY SHERIDAN**

of: Joint UCLH/UCL Biomedical Research & Development (R&D) Unit, Suite 1A, Maple House, Ground Floor, Rosenheim Wing, 25 Grafton Way, London, WC1E 6DB, United Kingdom

#### **RAYMOND FISHER**

of: Peakdale Molecular Ltd., Peakdale Science Park, Sheffield Road, Chapel-en-le-Frith, High Peak, SK23 0PG, United Kingdom

#### MATTHEW JOHN TOZER

of: Peakdale Molecular Ltd., Peakdale Science Park, Sheffield Road, Chapel-en-le-Frith, High Peak, SK23 0PG, United Kingdom

### JUHA ANDREW CLASE

of: Peakdale Molecular Ltd., Peakdale Science Park, Sheffield Road, Chapel-en-le-Frith, High Peak, SK23 0PG, United Kingdom

#### **ANDREW SMITH**

of: Peakdale Molecular Ltd., Peakdale Science Park, Sheffield Road, Chapel-en-le-Frith, High Peak, SK23 0PG, United Kingdom

# ANDREW ROBERT TUFFNELL

of: Peakdale Molecular Ltd., Peakdale Science Park, Sheffield Road, Chapel-en-le-Frith, High Peak, SK23 0PG, United Kingdom

#### ROBERT JURGEN VAN 'T HOF

of: Bone Group, Molecular Medicine Centre, Western General Hospital, Edinburgh, Lothian, EH4 2XU, United Kingdom

#### 2 'Aberdeen'

THE UNIVERSITY COURT OF THE UNIVERSITY OF ABERDEEN of: Regent Walk, Aberdeen, Aberdeenshire, AB24 3FX, United Kingdom

#### 3 'Edinburgh'

THE UNIVERSITY COURT OF THE UNIVERSITY OF EDINBURGH a charitable body registered in Scotland under registration number SC005336 incorporated under the Universities (Scotland) Acts and having its principal offices at: Old College, South Bridge, Edinburgh, EH8 9YL, United Kingdom

#### 4 'Modern'

#### MODERN BIOSCIENCES PLC

of: 24 Cornhill, London, EC3V 3ND, United Kingdom

Assignment WJW/LP6646145 - Greig-5 US 61/098,271, GB 0817207.4 & PCT/GB2009/002221

(Company No 05414023)

#### 5 'Peakdale'

### PEAKDALE MOLECULAR LTD.

of: Peakdale Science Park, Sheffield Road, Chapel-en-le-Frith, High Peak, SK23 0PG, United Kingdom (Company No 02345676)

#### 6 'The Assignee'

#### PIMCO 2664 LIMITED

of: 24 Cornhill, London, EC3V 3ND, United Kingdom (Company No 06282953)

#### Recitals:

- (A) Inventors GREIG, SHERIDAN, FISHER, TOZER, CLASE, SMITH, and TUFFNELL are the joint inventors of the invention or inventions (hereinafter referred to as "The Priority Invention") entitled Aryl-Phenyl-Sulfonamide-Cycloalkyl Compounds and Their Use, the specification of which was filed on 19 September 2008 as United States provisional patent application number 61/098,271 (hereinafter referred to as "The US Priority Application") and entitled Therapeutic APSAC Compounds and Their Use, the specification of which was filed on 19 September 2008 as United Kingdom patent application number 0817207.4 (hereinafter referred to as "The GB Priority Application").
- (B) The US Priority Application was filed in the name of Inventors GREIG, SHERIDAN, FISHER, TOZER, CLASE, SMITH, and TUFFNELL, as required by US law.
- (C) Inventors GREIG, SHERIDAN, FISHER, TOZER, CLASE, SMITH, and TUFFNELL, Aberdeen, Modern, Peakdale, and The Assignee agreed before The US Priority Application and The GB Priority Application were filed that The Assignee would be the sole owner of The Priority Invention; The US Priority Application; The GB Priority Application; and any and all patent applications linked by priority to The US Priority Application and/or The GB Priority Application.
- (D) The Inventors are the joint inventors of the invention or inventions (hereinafter referred to as "The PCT Invention") entitled Aryl-Phenyl-Sulfonamide-Cycloalkyl Compounds and Their Use, the specification of which was filed on 18 September 2009 as international patent application number PCT/GB2009/002221 (hereinafter referred to as "The PCT Application") which claims priority benefit of The US Priority Application and The GB Priority Application.
- (E) The Inventors, Aberdeen, Edinburgh, Modern, Peakdale, and The Assignee agreed before The PCT Application was filed that The Assignee would be the sole owner of The PCT Invention; and The PCT Application, including any and all national and regional phase applications arising therefrom.
- (F) In pursuance of those agreements, The Inventors, Aberdeen, Edinburgh, Modern, Peakdale, and The Assignee have agreed to execute this Assignment in order to confirm and ensure that all rights, title and interest worldwide in, to, under and arising from The Priority Invention; The US Priority Application; The GB Priority Application; any and all patent applications linked by priority to The US Priority Application and/or The GB Priority Application; The PCT Invention; and The PCT Application, including any and all national and regional phase applications arising therefrom; belong to The Assignee.

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- (G) Inventor GREIG was at all material times employed by Aberdeen to carry out the research from which his contribution to The Priority Invention; The US Priority Application; The GB Priority Application; The PCT Invention; and The PCT Application arose.
- (H) Inventor GREIG has agreed to execute this Assignment in order to confirm and ensure that, as between him and his employer (Aberdeen), his contribution to The Priority Invention and The PCT Invention, and all rights, title and interest in, to, under and arising from The Priority Invention; The US Priority Application; The GB Priority Application; any and all patent applications linked by priority to The US Priority Application and/or The GB Priority Application; The PCT Invention; and The PCT Application, including any and all national and regional phase applications arising therefrom; belong to his employer.
- (I) Inventor VAN 'T HOF was at all material times employed by Edinburghto carry out the research from which his contribution to The Priority Invention (if any); The US Priority Application (if any); The GB Priority Application (if any); The PCT Invention; and The PCT Application arose.
- (J) Inventor VAN 'T HOF has agreed to execute this Assignment in order to confirm and ensure that, as between him and his employer (Edinburgh), his contribution (if any) to The Priority Invention and the PCT Invention and all rights, title and interest in, to, under and arising from (if any) The Priority Invention; The US Priority Application; The GB Priority Application; any and all patent applications linked by priority to The US Priority Application and/or The GB Priority Application, The PCT Invention; and The PCT Application, including any and all national and regional phase applications arising therefrom; belong to his employer.
- (K) Aberdeen has agreed to execute this Assignment in order to confirm and ensure that, as between them and The Assignee, all their rights, title and interest in, to, under and arising from The Priority Invention; The Priority US Application; The Priority GB Application; any and all patent applications linked by priority to The Priority US Application and/or The Priority GB Application; The PCT Invention; and The PCT Application, including any and all national and regional phase applications arising therefrom; belong to The Assignee.
- (L) Edinburgh has agreed to execute this Assignment in order to confirm and ensure that, as between them and The Assignee, all their rights, title and interest in, to, under and arising from (if any) The Priority Invention; The Priority US Application; The Priority US Application; any and all patent applications linked by priority to The Priority US Application and/or The Priority GB Application; The PCT Invention; and The PCT Application, including any and all national and regional phase applications arising therefrom; belong to The Assignee.
- (M) Inventor SHERIDAN was at all material times employed by Modern to carry out the research from which her contribution to The Priority Invention; The US Priority Application; The GB Priority Application; The PCT Invention; and The PCT Application arose.

- (N) Inventor SHERIDAN has agreed to execute this Assignment in order to confirm and ensure that, as between her and her employer (Modern), her contribution to The Priority Invention and The PCT Invention, and all rights, title and interest in, to, under and arising from The Priority Invention; The US Priority Application; The GB Priority Application; any and all patent applications linked by priority to The US Priority Application and/or The GB Priority Application; The PCT Invention; and The PCT Application, including any and all national and regional phase applications arising therefrom; belong to her employer.
- Modern has agreed to execute this Assignment in order to confirm and ensure that, as between them and The Assignee, all their rights, title and interest in, to, under and arising from The Priority Invention; The US Priority Application; The GB Priority Application; any and all patent applications linked by priority to The Priority US Application and/or The Priority GB Application; The PCT Invention; and The PCT Application, including any and all national and regional phase applications arising therefrom; belong to The Assignee.
- (P) Inventors FISHER, TOZER, CLASE, SMITH, and TUFFNELL were at all material times employed by Peakdale to carry out the research from which their contributions to The Priority Invention; The US Priority Application; The GB Priority Application; The PCT Invention; and The PCT Application arose.
- Inventors FISHER, TOZER, CLASE, SMITH, and TUFFNELL have agreed to execute this Assignment in order to confirm and ensure that, as between them and their employer (Peakdale), their contributions to The Priority Invention and The PCT Invention, and all rights, title and interest in, to, under and arising from The Priority Invention; The US Priority Application; The GB Priority Applications linked by priority to The US Priority Application and/or The GB Priority Application; The PCT Invention; and The PCT Application, including any and all national and regional phase applications arising therefrom; belong to their employer.
- (R) Peakdale has agreed to execute this Assignment in order to confirm and ensure that, as between them and The Assignee, all their rights, title and interest in, to, under and arising from The Priority Invention; The US Priority Application; The GB Priority Application; any and all patent applications linked by priority to The Priority US Application and/or The GB Priority Application; The PCT Invention; and The PCT Application, including any and all national and regional phase applications arising therefrom; belong to The Assignee.

#### Operative provisions:

In consideration for the payment of £1.00 by Aberdeen to inventor GREIG (the receipt and adequacy of which are hereby acknowledged), that Inventor hereby sells and assigns to Aberdeen absolutely and free from incumbrances all his rights title and interest in, to, under, and arising from The Priority Invention; The US Priority Application; The GB Priority Application; any and all patent applications linked by priority to The US Priority Application and/or The GB Priority Application, including the right to claim priority from The US Priority Application and/or The GB Priority Application in any and all applications for protection for The Priority Invention and/or the PCT Invention; The PCT Invention; The PCT Application, including any and all national and regional phase applications arising therefrom; and including those rights set out in clause 9 below.

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- In consideration for the payment of £1.00 by The Assignee to Aberdeen (the receipt and adequacy of which are hereby acknowledged), Aberdeen hereby sells and assigns to The Assignee absolutely and free from incumbrances all their rights title and interest in, to, under, and arising from The Priority Invention; The US Priority Application; The US Priority Application; any and all patent applications linked by priority to The US Priority Application and/or The GB Priority Application, including the right to claim priority from The US Priority Application and/or The GB Priority Application in any and all applications for protection for The Priority Invention and/or the PCT Invention; The PCT Invention; The PCT Application, including any and all national and regional phase applications arising therefrom; and including those rights set out in clause 9 below.
- In consideration for the payment of £1.00 by Edinburgh to inventor VAN 'T HOF (the receipt and adequacy of which are hereby acknowledged), that Inventor hereby sells and assigns to Edinburgh absolutely and free from incumbrances all his rights title and interest in, to, under, and arising from (if any) The Priority Invention; The US Priority Application, The GB Priority Application; and any and all patent applications linked by priority to The US Priority Application and/or The GB Priority Application in claim priority from The US Priority Application and/or The GB Priority Application in any and all applications for protection for The Priority Invention and/or the PCT Invention; The PCT Invention; The PCT Application, including any and all national and regional phase applications arising therefrom; and including those rights set out in clause 9 below.
- In consideration for the payment of £1.00 by The Assignee to Edinburgh (the receipt and adequacy of which are hereby acknowledged), Edinburgh hereby sells and assigns to The Assignee absolutely and free from incumbrances all their rights title and interest in, to, under, and arising from The Priority Invention; The US Priority Application; The GB Priority Application; any and all patent applications linked by priority to The US Priority Application and/or The GB Priority Application, including the right to claim priority from The US Priority Application and/or The GB Priority Application in any and all applications for protection for The Priority Invention and/or the PCT Invention; The PCT Invention; The PCT Application, including any and all national and regional phase applications arising therefrom; and including those rights set out in clause 9 below.
- In consideration for the payment of £1.00 by Modern to inventor SHERIDAN (the receipt and adequacy of which are hereby acknowledged), that Inventor hereby sells and assigns to Modern absolutely and free from incumbrances all her rights title and interest in, to, under, and arising from The Priority Invention; The US Priority Application; The GB Priority Application; any and all patent applications linked by priority to The US Priority Application and/or The GB Priority Application, including the right to claim priority from The US Priority Application and/or The GB Priority Application in any and all applications for protection for The Priority Invention and/or the PCT Invention; The PCT Invention; The PCT Application, including any and all national and regional phase applications arising therefrom; and including those rights set out in clause 9 below.
- In consideration for the payment of £1.00 by The Assignee to Modern (the receipt and adequacy of which are hereby acknowledged), Modern hereby sells and assigns to The Assignee absolutely and free from incumbrances all their rights title and interest in, to, under, and arising from The Priority Invention; The US Priority Application; The GB Priority Application; any and all patent applications linked by priority to The US Priority Application and/or The GB Priority Application, including the right to claim priority from The US Priority Application and/or The GB Priority Application in any and all applications for protection for The Priority Invention and/or the PCT Invention; The PCT Invention; The PCT Application, including any and all national and regional phase applications arising therefrom; and including those rights set out in clause 9 below.

- In consideration for the payment of £1.00 by Peakdale to inventors FISHER, TOZER, CLASE, SMITH, and TUFFNELL (the receipt and adequacy of which are hereby acknowledged), those Inventors hereby sell and assign to Peakdale absolutely and free from incumbrances all their rights title and interest in, to, under, and arising from The Priority Invention; The US Priority Application; The GB Priority Applications linked by priority to The US Priority Application and/or The GB Priority Application, including the right to claim priority from The US Priority Application and/or The GB Priority Application in any and all applications for protection for The Priority Invention and/or the PCT Invention; The PCT Invention; The PCT Application, including any and all national and regional phase applications arising therefrom; and including those rights set out in clause 9 below.
- In consideration for the payment of £1.00 by The Assignee to Peakdale (the receipt and adequacy of which are hereby acknowledged), Peakdale hereby sells and assigns to The Assignee absolutely and free from incumbrances all their rights title and interest in, to, under, and arising from The Priority Invention; The US Priority Application; The GB Priority Application; any and all patent applications linked by priority to The US Priority Application and/or The GB Priority Application, including the right to claim priority from The US Priority Application and/or The GB Priority Application in any and all applications for protection for The Priority Invention and/or the PCT Invention; The PCT Invention; The PCT Application, including any and all national and regional phase applications arising therefrom; and including those rights set out in clause 9 below.
- The rights sold and assigned in clauses 1 through 8 above are all rights title and interest in, to, under, and arising from The Priority Invention; The US Priority Application; The GB Priority Application; any and all patent applications linked by priority to The US Priority Application and/or The GB Priority Application, including the right to claim priority from The US Priority Application and/or The GB Priority Application in any and all applications for protection for The Priority Invention and/or the PCT Invention; The PCT Invention; The PCT Application, including any and all national and regional phase applications arising therefrom; including:
  - grown The Priority Invention; The US Priority Application; The GB Priority Application; The PCT Invention; The PCT Application, including any and all national and regional phase applications arising therefrom; and all patent applications derived therefrom and any and all applications linked by priority thereto and any and all divisionals, continuations and continuations-in-part of any of the aforesaid applications and any and all patents that may be granted pursuant thereto for the full period thereof including any re-issues, re-examinations, renewals, extensions and Supplementary Protection Certificates and including all rights and powers arising or accrued therefrom including the right to sue for damages and other remedies in respect of any infringement of any such rights before on or after the date hereof:
  - 9.2 all such rights to apply for prosecute and obtain patents and other intellectual property protection anywhere in the world in respect of The Priority Invention and/or The PCT Invention to the intent that the grant of any patents or other intellectual property protection for The Priority Invention and/or The PCT Invention shall be in the name of and shall vest in The Assignee or its successors or assigns; and
  - 9.3 all such rights to claim priority from The US Priority Application and/or The GB Priority Application in any and all applications for protection for The Priority Invention and/or the PCT Invention, such rights to be enjoyed by The Assignee

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with effect from the date of filing of The US Priority Application and The GB Priority Application respectively;

to the intent that the Assignee shall have and hold the rights title and interest hereby assigned as fully and entirely as the same would have been held and enjoyed by The Inventors, Aberdeen, Edinburgh, Modern, and Peakdale, had this Assignment not been made.

- The Inventors, Aberdeen, Edinburgh, Modern, Peakdale, and The Assignee hereby GRANT the firm of Mewburn Ellis LLP the power to insert in the Schedule to this Assignment any further identification of any future patent applications in Canada or the United States or any other countries or regions of the world (including any international (PCT) patent applications) which fall within the scope of clauses 1 to 8 above, as may be necessary or desirable in order to comply with the rules of the United States Patent Office or the Canadian Patent Office or any other intellectual property office for recordation of this document.
- The Inventors, Aberdeen, Edinburgh, Modern, and Peakdale hereby UNDERTAKE that at the request and cost of the Assignee or its successors or assigns they will at all times hereafter promptly do all such acts and execute all such documents (including but not limited to documents required to be signed by or on behalf of inventors in the course of any and all Canadian and United States patent applications which relate to The Priority Invention and/or the PCT Invention and any and all divisionals, continuations and continuations-in-part of any such applications) as may be reasonably necessary or desirable to secure the vesting in the Assignee or its successors or assigns of all rights title and interest assigned to the Assignee hereunder and to confirm the title of the Assignee or its successors or assigns to all such rights title and interest and to assist in the resolution of any question concerning The Priority Invention and/or the PCT Invention or any application for patent or other intellectual property protection granted pursuant to any such application.
- The Inventors, Aberdeen, Edinburgh, Modern, and Peakdale hereby request the relevant authorities in all countries of the world to issue any patents granted for The Priority Invention and/or the PCT Invention in the name of the Assignee or its successors or assigns in accordance with this Assignment.
- This Assignment and undertaking shall be binding upon the heirs, executors, administrators, successors and/or assigns of each of The Inventors, Aberdeen, Edinburgh, Modern, and Peakdale, and shall enure to the benefit of any heirs, executors, administrators, successors and/or assigns of The Assignee.
- This agreement shall be governed by and interpreted in accordance with the laws of England, and the courts of England shall have exclusive jurisdiction to settle any disputes which may arise in connection with this agreement.

IN WITNESS WHEREOF the parties hereto have executed this Assignment and undertaking

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# SCHEDULE

Mewburn Ellis LLP REFERENCE NO.	COUNTRY	APPLICATION NUMBER	FILING DATE
6583843	GB	0817207.4	19/09/2008
6573976	US	61/098,271	19/09/2008
6646145	WO	PCT/GB2009/002221	18/09/2009
6752794	EP	09785121.6	18/09/2009
6752802	US	13/063,956	18/09/2009
6752810	CA		18/09/2009
6752828	JP		18/09/2009
6752836	IN		18/09/2009

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	in the presence of: <u>Witness</u>	) ) ) ) Signature:
-	Signature:	
	Name:	
-	Address:	
-		

in the presence of:	) Signature: 4.51
Signature: May Land Address: Maddress: Maddress Land	loughout, Queen Sa. Loudon we in 17.4.

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# - Page 9 of 11 -

SIGNED by RAYMOND FISHER at (city, country): on (date): in the presence of: Witness Signature: Name: Address:	)))))Signature:)
SIGNED by MATTHEW JOHN TOZER at (city, country): on (date): in the presence of: Witness Signature: Name: Address:	) ) ) ) Signature:
SIGNED by  JUHA ANDREW CLASE at (city, country): on (date): in the presence of: Witness Signature: Name: Address:	Signature:
SIGNED by  ANDREW SMITH  at (city, country):  on (date):  in the presence of:  Witness Signature:  Name:  Address:	Signature:

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SIGNED by ANDREW ROBERT TUFFNELL at (city, country): on (date): in the presence of: Witness Signature: Name: Address:	) ) ) ) Signature:
SIGNED by  ROBERT JURGEN VAN 'T HOF  at (city, country):  on (date):  in the presence of:  Witness Signature: Name: Address:	Signaturė:
SIGNED for and on behalf of THE UNIVERSITY COURT OF THE UNIVERSITY OF ABERDEEN at (city, country): on (date): in the presence of: Witness Signature: Name: Address:	Signature: Name: Elizabeth Rattray Position: Deputy Director Research and Innovation
SIGNED for and on behalf of THE UNIVERSITY COURT OF THE UNIVERSITY OF EDINBURGH at (city, country): on (date): in the presence of: Witness Signature: Name: Address:	Signature: Name: Nora Kellock Position: Head of Legal

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# - Page 11 of 11 -

SIGNED for and on behalf of  MODERN BIOSCIENCES PLC at (city, country): on (date): in the presence of: Witness Signature: Name: Address:	)))))))	Signature: Name: Samuel Williams Position: Director
SIGNED for and on behalf of PEAKDALE MOLECULAR LTD. at (city, country): on (date): in the presence of: Witness Signature: Name: Address:	)	
SIGNED for and on behalf of PIMCO 2664 LIMITED at (city, country): on (date): in the presence of: Witness Signature: Name: Address:		Signature: Name: Samuel Williams Position: Director



# ASSIGNMENT OF INVENTION AND RELATED INTELLECTUAL PROPERTY RIGHTS

#### Parties:

#### 1 'The Inventors'

#### IAIN ROBERT GREIG

of: Institute of Medical Sciences, University of Aberdeen, Medical School, Foresterhill, Aberdeen, Aberdeenshire, AB25 2ZD, United Kingdom

#### **ROSE MARY SHERIDAN**

of: Joint UCLH/UCL Biomedical Research & Development (R&D) Unit, Suite 1A, Maple House, Ground Floor, Rosenheim Wing, 25 Grafton Way, London, WC1E 6DB, United Kingdom

#### RAYMOND FISHER

of: Peakdale Molecular Ltd., Peakdale Science Park, Sheffield Road, Chapel-en-le-Frith, High Peak, SK23 0PG, United Kingdom

#### MATTHEW JOHN TOZER

of: Peakdale Molecular Ltd., Peakdale Science Park, Sheffield Road, Chapel-en-le-Frith, High Peak, SK23 0PG, United Kingdom

#### JUHA ANDREW CLASE

of: Peakdale Molecular Ltd., Peakdale Science Park, Sheffield Road, Chapel-en-le-Frith, High Peak, SK23 0PG, United Kingdom

#### **ANDREW SMITH**

of: Peakdale Molecular Ltd., Peakdale Science Park, Sheffield Road, Chapel-en-le-Frith, High Peak, SK23 0PG, United Kingdom

#### ANDREW ROBERT TUFFNELL

of: Peakdale Molecular Ltd., Peakdale Science Park, Sheffield Road, Chapel-en-le-Frith, High Peak, SK23 0PG, United Kingdom

### ROBERT JURGEN VAN 'T HOF

of: Bone Group, Molecular Medicine Centre, Western General Hospital, Edinburgh, Lothian, EH4 2XU, United Kingdom

#### 2 'Aberdeen'

THE UNIVERSITY COURT OF THE UNIVERSITY OF ABERDEEN of: Regent Walk, Aberdeen, Aberdeenshire, AB24 3FX, United Kingdom

#### 3 'Edinburgh'

THE UNIVERSITY COURT OF THE UNIVERSITY OF EDINBURGH a charitable body registered in Scotland under registration number SC005336 incorporated under the Universities (Scotland) Acts and having its principal offices at: Old College, South Bridge, Edinburgh, EH8 9YL, United Kingdom

#### 4 'Modern'

#### MODERN BIOSCIENCES PLC

of: 24 Cornhill, London, EC3V 3ND, United Kingdom

(Company No 05414023)

#### 5 'Peakdale'

#### PEAKDALE MOLECULAR LTD.

of: Peakdale Science Park, Sheffield Road, Chapel-en-le-Frith, High Peak, SK23 0PG, United Kingdom (Company No 02345676)

#### 6 'The Assignee'

#### PIMCO 2664 LIMITED

of: 24 Cornhill, London, EC3V 3ND, United Kingdom (Company No 06282953)

#### Recitals:

- (A) Inventors GREIG, SHERIDAN, FISHER, TOZER, CLASE, SMITH, and TUFFNELL are the joint inventors of the invention or inventions (hereinafter referred to as "The Priority Invention") entitled Aryl-Phenyl-Sulfonamide-Cycloalkyl Compounds and Their Use, the specification of which was filed on 19 September 2008 as United States provisional patent application number 61/098,271 (hereinafter referred to as "The US Priority Application") and entitled Therapeutic APSAC Compounds and Their Use, the specification of which was filed on 19 September 2008 as United Kingdom patent application number 0817207.4 (hereinafter referred to as "The GB Priority Application").
- (B) The US Priority Application was filed in the name of Inventors GREIG, SHERIDAN, FISHER, TOZER, CLASE, SMITH, and TUFFNELL, as required by US law.
- (C) Inventors GREIG, SHERIDAN, FISHER, TOZER, CLASE, SMITH, and TUFFNELL, Aberdeen, Modern, Peakdale, and The Assignee agreed before The US Priority Application and The GB Priority Application were filed that The Assignee would be the sole owner of The Priority Invention; The US Priority Application; The GB Priority Application; and any and all patent applications linked by priority to The US Priority Application and/or The GB Priority Application.
- (D) The Inventors are the joint inventors of the invention or inventions (hereinafter referred to as "The PCT Invention") entitled *Aryl-Phenyl-Sulfonamide-Cycloalkyl Compounds and Their Use*, the specification of which was filed on 18 September 2009 as international patent application number PCT/GB2009/002221 (hereinafter referred to as "The PCT Application") which claims priority benefit of The US Priority Application and The GB Priority Application.
- (E) The Inventors, Aberdeen, Edinburgh, Modern, Peakdale, and The Assignee agreed before The PCT Application was filed that The Assignee would be the sole owner of The PCT Invention; and The PCT Application, including any and all national and regional phase applications arising therefrom.
- (F) In pursuance of those agreements, The Inventors, Aberdeen, Edinburgh, Modern, Peakdale, and The Assignee have agreed to execute this Assignment in order to confirm and ensure that all rights, title and interest worldwide in, to, under and arising from The Priority Invention; The US Priority Application; The GB Priority Applications linked by priority to The US Priority Application and/or The GB Priority Application; The PCT Invention; and The PCT Application, including any and all national and regional phase applications arising therefrom; belong to The Assignee.

US 61/098,271, GB 0817207.4 & PCT/GB2009/002221

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- (G) Inventor GREIG was at all material times employed by Aberdeen to carry out the research from which his contribution to The Priority Invention; The US Priority Application; The GB Priority Application; The PCT Invention; and The PCT Application arose.
- (H) Inventor GREIG has agreed to execute this Assignment in order to confirm and ensure that, as between him and his employer (Aberdeen), his contribution to The Priority Invention and The PCT Invention, and all rights, title and interest in, to, under and arising from The Priority Invention; The US Priority Application; The GB Priority Application; any and all patent applications linked by priority to The US Priority Application and/or The GB Priority Application; The PCT Invention; and The PCT Application, including any and all national and regional phase applications arising therefrom; belong to his employer.
- (I) Inventor VAN 'T HOF was at all material times employed by Edinburghto carry out the research from which his contribution to The Priority Invention (if any); The US Priority Application (if any); The GB Priority Application (if any); The PCT Invention; and The PCT Application arose.
- (J) Inventor VAN 'T HOF has agreed to execute this Assignment in order to confirm and ensure that, as between him and his employer (Edinburgh), his contribution (if any) to The Priority Invention and the PCT Invention and all rights, title and interest in, to, under and arising from (if any) The Priority Invention; The US Priority Application; The GB Priority Application; any and all patent applications linked by priority to The US Priority Application and/or The GB Priority Application, The PCT Invention; and The PCT Application, including any and all national and regional phase applications arising therefrom; belong to his employer.
- (K) Aberdeen has agreed to execute this Assignment in order to confirm and ensure that, as between them and The Assignee, all their rights, title and interest in, to, under and arising from The Priority Invention; The Priority US Application; The Priority US Application; any and all patent applications linked by priority to The Priority US Application and/or The Priority GB Application; The PCT Invention; and The PCT Application, including any and all national and regional phase applications arising therefrom; belong to The Assignee.
- (L) Edinburgh has agreed to execute this Assignment in order to confirm and ensure that, as between them and The Assignee, all their rights, title and interest in, to, under and arising from (if any) The Priority Invention; The Priority US Application; The Priority GB Application; any and all patent applications linked by priority to The Priority US Application and/or The Priority GB Application; The PCT Invention; and The PCT Application, including any and all national and regional phase applications arising therefrom; belong to The Assignee.
- (M) Inventor SHERIDAN was at all material times employed by Modern to carry out the research from which her contribution to The Priority Invention; The US Priority Application; The GB Priority Application; The PCT Invention; and The PCT Application arose.

- (N) Inventor SHERIDAN has agreed to execute this Assignment in order to confirm and ensure that, as between her and her employer (Modern), her contribution to The Priority Invention and The PCT Invention, and all rights, title and interest in, to, under and arising from The Priority Invention; The US Priority Application; The GB Priority Application; any and all patent applications linked by priority to The US Priority Application and/or The GB Priority Application; The PCT Invention; and The PCT Application, including any and all national and regional phase applications arising therefrom; belong to her employer.
- (O) Modern has agreed to execute this Assignment in order to confirm and ensure that, as between them and The Assignee, all their rights, title and interest in, to, under and arising from The Priority Invention; The US Priority Application; The GB Priority Application; any and all patent applications linked by priority to The Priority US Application and/or The Priority GB Application; The PCT Invention; and The PCT Application, including any and all national and regional phase applications arising therefrom; belong to The Assignee.
- (P) Inventors FISHER, TOZER, CLASE, SMITH, and TUFFNELL were at all material times employed by Peakdale to carry out the research from which their contributions to The Priority Invention; The US Priority Application; The GB Priority Application; The PCT Invention; and The PCT Application arose.
- (Q) Inventors FISHER, TOZER, CLASE, SMITH, and TUFFNELL have agreed to execute this Assignment in order to confirm and ensure that, as between them and their employer (Peakdale), their contributions to The Priority Invention and The PCT Invention, and all rights, title and interest in, to, under and arising from The Priority Invention; The US Priority Application; The GB Priority Applications linked by priority to The US Priority Application and/or The GB Priority Application; The PCT Invention; and The PCT Application, including any and all national and regional phase applications arising therefrom; belong to their employer.
- (R) Peakdale has agreed to execute this Assignment in order to confirm and ensure that, as between them and The Assignee, all their rights, title and interest in, to, under and arising from The Priority Invention; The US Priority Application; The GB Priority Application; any and all patent applications linked by priority to The Priority US Application and/or The GB Priority Application; The PCT Invention; and The PCT Application, including any and all national and regional phase applications arising therefrom; belong to The Assignee.

#### Operative provisions:

In consideration for the payment of £1.00 by Aberdeen to inventor GREIG (the receipt and adequacy of which are hereby acknowledged), that Inventor hereby sells and assigns to Aberdeen absolutely and free from incumbrances all his rights title and interest in, to, under, and arising from The Priority Invention; The US Priority Application; any and all patent applications linked by priority to The US Priority Application and/or The GB Priority Application, including the right to claim priority from The US Priority Application and/or The GB Priority Application in any and all applications for protection for The Priority Invention and/or the PCT Invention; The PCT Invention; The PCT Application, including any and all national and regional phase applications arising therefrom; and including those rights set out in clause 9 below.

- In consideration for the payment of £1.00 by The Assignee to Aberdeen (the receipt and adequacy of which are hereby acknowledged), Aberdeen hereby sells and assigns to The Assignee absolutely and free from incumbrances all their rights title and interest in, to, under, and arising from The Priority Invention; The US Priority Application; The GB Priority Application; any and all patent applications linked by priority to The US Priority Application and/or The GB Priority Application in any and all applications for protection for The Priority Invention and/or the PCT Invention; The PCT Invention; The PCT Application, including any and all national and regional phase applications arising therefrom; and including those rights set out in clause 9 below.
- In consideration for the payment of £1.00 by Edinburgh to inventor VAN 'T HOF (the receipt and adequacy of which are hereby acknowledged), that Inventor hereby sells and assigns to Edinburgh absolutely and free from incumbrances all his rights title and interest in, to, under, and arising from (if any) The Priority Invention; The US Priority Application, The GB Priority Application; and any and all patent applications linked by priority to The US Priority Application and/or The GB Priority Application in cluding the right to claim priority from The US Priority Application and/or The GB Priority Application in any and all applications for protection for The Priority Invention and/or the PCT Invention; The PCT Invention; The PCT Application, including any and all national and regional phase applications arising therefrom; and including those rights set out in clause 9 below.
- In consideration for the payment of £1.00 by The Assignee to Edinburgh (the receipt and adequacy of which are hereby acknowledged), Edinburgh hereby sells and assigns to The Assignee absolutely and free from incumbrances all their rights title and interest in, to, under, and arising from The Priority Invention; The US Priority Application; The GB Priority Application; any and all patent applications linked by priority to The US Priority Application and/or The GB Priority Application in any and all applications for protection for The Priority Invention and/or the PCT Invention; The PCT Invention; The PCT Application, including any and all national and regional phase applications arising therefrom; and including those rights set out in clause 9 below.
- In consideration for the payment of £1.00 by Modern to inventor SHERIDAN (the receipt and adequacy of which are hereby acknowledged), that Inventor hereby sells and assigns to Modern absolutely and free from incumbrances all her rights title and interest in, to, under, and arising from The Priority Invention; The US Priority Application; The GB Priority Application; any and all patent applications linked by priority to The US Priority Application and/or The GB Priority Application, including the right to claim priority from The US Priority Application and/or The GB Priority Application in any and all applications for protection for The Priority Invention and/or the PCT Invention; The PCT Invention; The PCT Application, including any and all national and regional phase applications arising therefrom; and including those rights set out in clause 9 below.
- In consideration for the payment of £1.00 by The Assignee to Modern (the receipt and adequacy of which are hereby acknowledged), Modern hereby sells and assigns to The Assignee absolutely and free from incumbrances all their rights title and interest in, to, under, and arising from The Priority Invention; The US Priority Application; The GB Priority Application; any and all patent applications linked by priority to The US Priority Application and/or The GB Priority Application, including the right to claim priority from The US Priority Application and/or The GB Priority Application in any and all applications for protection for The Priority Invention and/or the PCT Invention; The PCT Invention; The PCT Application, including any and all national and regional phase applications arising therefrom; and including those rights set out in clause 9 below.

- In consideration for the payment of £1.00 by Peakdale to inventors FISHER, TOZER, CLASE, SMITH, and TUFFNELL (the receipt and adequacy of which are hereby acknowledged), those Inventors hereby sell and assign to Peakdale absolutely and free from incumbrances all their rights title and interest in, to, under, and arising from The Priority Invention; The US Priority Application; The GB Priority Applications linked by priority to The US Priority Application and/or The GB Priority Application, including the right to claim priority from The US Priority Application and/or The GB Priority Application in any and all applications for protection for The Priority Invention and/or the PCT Invention; The PCT Invention; The PCT Application, including any and all national and regional phase applications arising therefrom; and including those rights set out in clause 9 below.
- In consideration for the payment of £1.00 by The Assignee to Peakdale (the receipt and adequacy of which are hereby acknowledged), Peakdale hereby sells and assigns to The Assignee absolutely and free from incumbrances all their rights title and interest in, to, under, and arising from The Priority Invention; The US Priority Application; The GB Priority Application; any and all patent applications linked by priority to The US Priority Application and/or The GB Priority Application in any and all applications for protection for The Priority Invention and/or the PCT Invention; The PCT Invention; The PCT Application, including any and all national and regional phase applications arising therefrom; and including those rights set out in clause 9 below.
- The rights sold and assigned in clauses 1 through 8 above are all rights title and interest in, to, under, and arising from The Priority Invention; The US Priority Application; The GB Priority Application; any and all patent applications linked by priority to The US Priority Application and/or The GB Priority Application, including the right to claim priority from The US Priority Application and/or The GB Priority Application in any and all applications for protection for The Priority Invention and/or the PCT Invention; The PCT Invention; The PCT Application, including any and all national and regional phase applications arising therefrom; including:
  - grown The Priority Invention; The US Priority Application; The GB Priority Application; The PCT Invention; The PCT Application, including any and all national and regional phase applications arising therefrom; and all patent applications derived therefrom and any and all applications linked by priority thereto and any and all divisionals, continuations and continuations-in-part of any of the aforesaid applications and any and all patents that may be granted pursuant thereto for the full period thereof including any re-issues, re-examinations, renewals, extensions and Supplementary Protection Certificates and including all rights and powers arising or accrued therefrom including the right to sue for damages and other remedies in respect of any infringement of any such rights before on or after the date hereof;
  - 9.2 all such rights to apply for prosecute and obtain patents and other intellectual property protection anywhere in the world in respect of The Priority Invention and/or The PCT Invention to the intent that the grant of any patents or other intellectual property protection for The Priority Invention and/or The PCT Invention shall be in the name of and shall vest in The Assignee or its successors or assigns; and
  - 9.3 all such rights to claim priority from The US Priority Application and/or The GB Priority Application in any and all applications for protection for The Priority Invention and/or the PCT Invention, such rights to be enjoyed by The Assignee

with effect from the date of filing of The US Priority Application and The GB Priority Application respectively;

to the intent that the Assignee shall have and hold the rights title and interest hereby assigned as fully and entirely as the same would have been held and enjoyed by The Inventors, Aberdeen, Edinburgh, Modern, and Peakdale, had this Assignment not been made.

- The Inventors, Aberdeen, Edinburgh, Modern, Peakdale, and The Assignee hereby GRANT the firm of Mewburn Ellis LLP the power to insert in the Schedule to this Assignment any further identification of any future patent applications in Canada or the United States or any other countries or regions of the world (including any international (PCT) patent applications) which fall within the scope of clauses 1 to 8 above, as may be necessary or desirable in order to comply with the rules of the United States Patent Office or the Canadian Patent Office or any other intellectual property office for recordation of this document.
- 11 The Inventors, Aberdeen, Edinburgh, Modern, and Peakdale hereby UNDERTAKE that at the request and cost of the Assignee or its successors or assigns they will at all times hereafter promptly do all such acts and execute all such documents (including but not limited to documents required to be signed by or on behalf of inventors in the course of any and all Canadian and United States patent applications which relate to The Priority Invention and/or the PCT Invention and any and all divisionals, continuations and continuations-in-part of any such applications) as may be reasonably necessary or desirable to secure the vesting in the Assignee or its successors or assigns of all rights title and interest assigned to the Assignee hereunder and to confirm the title of the Assignee or its successors or assigns to all such rights title and interest and to assist in the resolution of any question concerning The Priority Invention and/or the PCT Invention or any application for patent or other intellectual property protection granted pursuant to any such application.
- The Inventors, Aberdeen, Edinburgh, Modern, and Peakdale hereby request the relevant authorities in all countries of the world to issue any patents granted for The Priority Invention and/or the PCT Invention in the name of the Assignee or its successors or assigns in accordance with this Assignment.
- This Assignment and undertaking shall be binding upon the heirs, executors, administrators, successors and/or assigns of each of The Inventors, Aberdeen, Edinburgh, Modern, and Peakdale, and shall enure to the benefit of any heirs, executors, administrators, successors and/or assigns of The Assignee.
- This agreement shall be governed by and interpreted in accordance with the laws of England, and the courts of England shall have exclusive jurisdiction to settle any disputes which may arise in connection with this agreement.

IN WITNESS WHEREOF the parties hereto have executed this Assignment and undertaking

# SCHEDULE

Mewburn Ellis LLP REFERENCE NO.	COUNTRY	APPLICATION NUMBER	FILING DATE
6583843	GB	0817207.4	19/09/2008
6573976	US	61/098,271	19/09/2008
6646145	WO	PCT/GB2009/002221	18/09/2009
6752794	EP	09785121.6	18/09/2009
6752802	US	13/063,956	18/09/2009
6752810	CA		18/09/2009
6752828	JР		18/09/2009
6752836	IN		18/09/2009
	A 1 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4		

SIGNED by IAIN ROBERT GREIG at (city, country): on (date): in the presence of: Witness Signature: Name: Address:	Signature:
SIGNED by  ROSE MARY SHERIDAN at (city, country): on (date): in the presence of: Witness Signature: Name: Address:	Signature:

SIGNED by  RAYMOND FISHER  at (city, country): Chapel-en-le-Fith UK  on (date): 7th Apelic 2011 Signature: in the presence of:  Witness Signature: CTAOAC  Name: JANE E THORPE  Address: 7, SHIFT BANK, SIMMONDLEY GLOSSOP, DERBYSHIRE I SKI3 6QH
SIGNED by  MATTHEW JOHN TOZER  at (city, country): Chapelen Le Tith, UK.)  on (date): 7 Hon L 20 (1 ) Signature: MS   CS    in the presence of: )  Witness Signature: ACT MATAL  Name: JANK & THORDE  Address: 7 SHIFT. BANK, SIMMONDLY  (LOSSOP, DEROYSMIRE, SKI3 LOCH
SIGNED by  JUHA ANDREW CLASE at (city, country): Chapeter (e-Freath, ok) on (date): 13 Agest 2011 Signature: 1 Signature:
SIGNED by  ANDREW SMITH  at (city, country): Supplies to the state of the presence of:  In the presence of:  Witness  Signature: THORPE  Address: 7, SHIFT BANK, SIMMONDLEY  BLOSSOF DERBUSHIRE, SIKIS 60H

SIGNED by  ANDREW ROBERT TUFFNELL  at (city, country): CAMPEL: CALL TRATE LIKE on (date): 7. April 2011 in the presence of:  Witness Signature: 1100 PC Name: JANE E THORPE Address: 7., SUIFT BANK, SIMMONI GLOSSOP, DER GYSMIRE, SKI3 6	over
SIGNED by ROBERT JURGEN VAN 'T HOF at (city, country): on (date): in the presence of: Witness Signature: Name: Address:	) ) ) Signature:
SIGNED for and on behalf of THE UNIVERSITY COURT OF THE UNIVERSITY OF ABERDEEN at (city, country): on (date): in the presence of: Witness Signature: Name: Address:	· ·
SIGNED for and on behalf of THE UNIVERSITY COURT OF THE UNIVERSITY OF EDINBURGH at (city, country): on (date): in the presence of: Witness Signature: Name: Address:	) ) Signature: ) Name: Nora Kellock ) Position: Head of Legal

# - Page 11 of 11 -

	4
SIGNED for and on behalf of  MODERN BIOSCIENCES PLC  at (city, country): LONDON, DE.  on (date): LH. April. 2011  in the presence of:  Witness  Signature: April.  Name: L. FATEL  Address: 2H. CORNITILL, LONDON, ECS	Signature: Name: Samuel Williams Position: Director
SIGNED for and on behalf of PEAKDALE MOLECULAR LTD.  at (city, country): Charelen Le Faith, UK) on (date): 7. April 20.1. )  in the presence of:  Witness Signature: ACTUANCE Name: JANE: E. THORPE Address: 7. SCHET BANK, SIMMONDIES GLOSSOP, DERBYSHIRE, SKI3 6QH	Signature:
SIGNED for and on behalf of PIMCO 2664 LIMITED  at (city, country): LONDON, UK  on (date): LH, April 2011  in the presence of:  Witness Signature: XFOLOL  Name: L. PATCL  Address: 24. CORNITILL, LONDON EC	Signature:

# ASSIGNMENT OF INVENTION AND RELATED INTELLECTUAL PROPERTY RIGHTS



#### Parties:

#### 1 'The Inventors'

#### IAIN ROBERT GREIG

of: Institute of Medical Sciences, University of Aberdeen, Medical School, Foresterhill, Aberdeen, Aberdeenshire, AB25 2ZD, United Kingdom

### **ROSE MARY SHERIDAN**

of: Joint UCLH/UCL Biomedical Research & Development (R&D) Unit, Suite 1A, Maple House, Ground Floor, Rosenheim Wing, 25 Grafton Way, London, WC1E 6DB, United Kingdom

#### RAYMOND FISHER

of: Peakdale Molecular Ltd., Peakdale Science Park, Sheffield Road, Chapel-en-le-Frith, High Peak, SK23 0PG, United Kingdom

#### MATTHEW JOHN TOZER

of: Peakdale Molecular Ltd., Peakdale Science Park, Sheffield Road, Chapel-en-le-Frith, High Peak, SK23 0PG, United Kingdom

#### JUHA ANDREW CLASE

of: Peakdale Molecular Ltd., Peakdale Science Park, Sheffield Road, Chapel-en-le-Frith, High Peak, SK23 0PG, United Kingdom

#### **ANDREW SMITH**

of: Peakdale Molecular Ltd., Peakdale Science Park, Sheffield Road, Chapel-en-le-Frith, High Peak, SK23 0PG, United Kingdom

# ANDREW ROBERT TUFFNELL

of: Peakdale Molecular Ltd., Peakdale Science Park, Sheffield Road, Chapel-en-le-Frith, High Peak, SK23 0PG, United Kingdom

# ROBERT JURGEN VAN 'T HOF

of: Bone Group, Molecular Medicine Centre, Western General Hospital, Edinburgh, Lothian, EH4 2XU, United Kingdom

#### 2 'Aberdeen'

# THE UNIVERSITY COURT OF THE UNIVERSITY OF ABERDEEN

of: Regent Walk, Aberdeen, Aberdeenshire, AB24 3FX, United Kingdom

#### 3 'Edinburgh'

# THE UNIVERSITY COURT OF THE UNIVERSITY OF EDINBURGH

a charitable body registered in Scotland under registration number SC005336 incorporated under the Universities (Scotland) Acts and having its principal offices at: Old College, South Bridge, Edinburgh, EH8 9YL, United Kingdom

#### 4 'Modern'

#### MODERN BIOSCIENCES PLC

of: 24 Cornhill, London, EC3V 3ND, United Kingdom

Assignment WJW/LP6646145 - Greig-5

US 61/098,271, GB 0817207.4 & PCT/GB2009/002221

PATENT REEL: 033597 FRAME: 0260 (Company No 05414023)

#### 5 'Peakdale'

#### PEAKDALE MOLECULAR LTD.

of: Peakdale Science Park, Sheffield Road, Chapel-en-le-Frith, High Peak, SK23 0PG, United Kingdom (Company No 02345676)

### 6 'The Assignee'

#### PIMCO 2664 LIMITED

of: 24 Cornhill, London, EC3V 3ND, United Kingdom (Company No 06282953)

#### Recitals:

- (A) Inventors GREIG, SHERIDAN, FISHER, TOZER, CLASE, SMITH, and TUFFNELL are the joint inventors of the invention or inventions (hereinafter referred to as "The Priority Invention") entitled Aryl-Phenyl-Sulfonamide-Cycloalkyl Compounds and Their Use, the specification of which was filed on 19 September 2008 as United States provisional patent application number 61/098,271 (hereinafter referred to as "The US Priority Application") and entitled Therapeutic APSAC Compounds and Their Use, the specification of which was filed on 19 September 2008 as United Kingdom patent application number 0817207.4 (hereinafter referred to as "The GB Priority Application").
- (B) The US Priority Application was filed in the name of Inventors GREIG, SHERIDAN, FISHER, TOZER, CLASE, SMITH, and TUFFNELL, as required by US law.
- (C) Inventors GREIG, SHERIDAN, FISHER, TOZER, CLASE, SMITH, and TUFFNELL, Aberdeen, Modern, Peakdale, and The Assignee agreed before The US Priority Application and The GB Priority Application were filed that The Assignee would be the sole owner of The Priority Invention; The US Priority Application; The GB Priority Application; and any and all patent applications linked by priority to The US Priority Application and/or The GB Priority Application.
- (D) The Inventors are the joint inventors of the invention or inventions (hereinafter referred to as "The PCT Invention") entitled Aryl-Phenyl-Sulfonamide-Cycloalkyl Compounds and Their Use, the specification of which was filed on 18 September 2009 as international patent application number PCT/GB2009/002221 (hereinafter referred to as "The PCT Application") which claims priority benefit of The US Priority Application and The GB Priority Application.
- (E) The Inventors, Aberdeen, Edinburgh, Modern, Peakdale, and The Assignee agreed before The PCT Application was filed that The Assignee would be the sole owner of The PCT Invention; and The PCT Application, including any and all national and regional phase applications arising therefrom.
- (F) In pursuance of those agreements, The Inventors, Aberdeen, Edinburgh, Modern, Peakdale, and The Assignee have agreed to execute this Assignment in order to confirm and ensure that all rights, title and interest worldwide in, to, under and arising from The Priority Invention; The US Priority Application; The GB Priority Application; any and all patent applications linked by priority to The US Priority Application and/or The GB Priority Application; The PCT Invention; and The PCT Application, including any and all national and regional phase applications arising therefrom; belong to The Assignee.

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- (G) Inventor GREIG was at all material times employed by Aberdeen to carry out the research from which his contribution to The Priority Invention; The US Priority Application; The GB Priority Application; The PCT Invention; and The PCT Application arose.
- (H) Inventor GREIG has agreed to execute this Assignment in order to confirm and ensure that, as between him and his employer (Aberdeen), his contribution to The Priority Invention and The PCT Invention, and all rights, title and interest in, to, under and arising from The Priority Invention; The US Priority Application; The GB Priority Application; any and all patent applications linked by priority to The US Priority Application and/or The GB Priority Application; The PCT Invention; and The PCT Application, including any and all national and regional phase applications arising therefrom; belong to his employer.
- (I) Inventor VAN 'T HOF was at all material times employed by Edinburghto carry out the research from which his contribution to The Priority Invention (if any); The US Priority Application (if any); The GB Priority Application (if any); The PCT Invention; and The PCT Application arose.
- (J) Inventor VAN 'T HOF has agreed to execute this Assignment in order to confirm and ensure that, as between him and his employer (Edinburgh), his contribution (if any) to The Priority Invention and the PCT Invention and all rights, title and interest in, to, under and arising from (if any) The Priority Invention; The US Priority Application; The US Priority Application; any and all patent applications linked by priority to The US Priority Application and/or The GB Priority Application, The PCT Invention; and The PCT Application, including any and all national and regional phase applications arising therefrom; belong to his employer.
- (K) Aberdeen has agreed to execute this Assignment in order to confirm and ensure that, as between them and The Assignee, all their rights, title and interest in, to, under and arising from The Priority Invention; The Priority US Application; The Priority US Application; any and all patent applications linked by priority to The Priority US Application and/or The Priority GB Application; The PCT Invention; and The PCT Application, including any and all national and regional phase applications arising therefrom; belong to The Assignee.
- (L) Edinburgh has agreed to execute this Assignment in order to confirm and ensure that, as between them and The Assignee, all their rights, title and interest in, to, under and arising from (if any) The Priority Invention; The Priority US Application; The Priority US Application; any and all patent applications linked by priority to The Priority US Application and/or The Priority GB Application; The PCT Invention; and The PCT Application, including any and all national and regional phase applications arising therefrom; belong to The Assignee.
- (M) Inventor SHERIDAN was at all material times employed by Modern to carry out the research from which her contribution to The Priority Invention; The US Priority Application; The GB Priority Application; The PCT Invention; and The PCT Application arose.

- (N) Inventor SHERIDAN has agreed to execute this Assignment in order to confirm and ensure that, as between her and her employer (Modern), her contribution to The Priority Invention and The PCT Invention, and all rights, title and interest in, to, under and arising from The Priority Invention; The US Priority Application; The GB Priority Application; any and all patent applications linked by priority to The US Priority Application and/or The GB Priority Application; The PCT Invention; and The PCT Application, including any and all national and regional phase applications arising therefrom; belong to her employer.
- (O) Modern has agreed to execute this Assignment in order to confirm and ensure that, as between them and The Assignee, all their rights, title and interest in, to, under and arising from The Priority Invention; The US Priority Application; The GB Priority Application; any and all patent applications linked by priority to The Priority US Application and/or The Priority GB Application; The PCT Invention; and The PCT Application, including any and all national and regional phase applications arising therefrom; belong to The Assignee.
- (P) Inventors FISHER, TOZER, CLASE, SMITH, and TUFFNELL were at all material times employed by Peakdale to carry out the research from which their contributions to The Priority Invention; The US Priority Application; The GB Priority Application; The PCT Invention; and The PCT Application arose.
- (Q) Inventors FISHER, TOZER, CLASE, SMITH, and TUFFNELL have agreed to execute this Assignment in order to confirm and ensure that, as between them and their employer (Peakdale), their contributions to The Priority Invention and The PCT Invention, and all rights, title and interest in, to, under and arising from The Priority Invention; The US Priority Application; The GB Priority Application; any and all patent applications linked by priority to The US Priority Application and/or The GB Priority Application; The PCT Invention; and The PCT Application, including any and all national and regional phase applications arising therefrom; belong to their employer.
- (R) Peakdale has agreed to execute this Assignment in order to confirm and ensure that, as between them and The Assignee, all their rights, title and interest in, to, under and arising from The Priority Invention; The US Priority Application; The GB Priority Application; any and all patent applications linked by priority to The Priority US Application and/or The GB Priority Application; The PCT Invention; and The PCT Application, including any and all national and regional phase applications arising therefrom; belong to The Assignee.

#### Operative provisions:

In consideration for the payment of £1.00 by Aberdeen to inventor GREIG (the receipt and adequacy of which are hereby acknowledged), that Inventor hereby sells and assigns to Aberdeen absolutely and free from incumbrances all his rights title and interest in, to, under, and arising from The Priority Invention; The US Priority Application; any and all patent applications linked by priority to The US Priority Application and/or The GB Priority Application, including the right to claim priority from The US Priority Application and/or The GB Priority Application in any and all applications for protection for The Priority Invention and/or the PCT Invention; The PCT Invention; The PCT Application, including any and all national and regional phase applications arising therefrom; and including those rights set out in clause 9 below.

Assignment WJW/LP6646145 - Greig-5

- In consideration for the payment of £1.00 by The Assignee to Aberdeen (the receipt and adequacy of which are hereby acknowledged), Aberdeen hereby sells and assigns to The Assignee absolutely and free from incumbrances all their rights title and interest in, to, under, and arising from The Priority Invention; The US Priority Application; The GB Priority Application; any and all patent applications linked by priority to The US Priority Application and/or The GB Priority Application, including the right to claim priority from The US Priority Application and/or The GB Priority Application in any and all applications for protection for The Priority Invention and/or the PCT Invention; The PCT Invention; The PCT Application, including any and all national and regional phase applications arising therefrom; and including those rights set out in clause 9 below.
- In consideration for the payment of £1.00 by Edinburgh to inventor VAN 'T HOF (the receipt and adequacy of which are hereby acknowledged), that Inventor hereby sells and assigns to Edinburgh absolutely and free from incumbrances all his rights title and interest in, to, under, and arising from (if any) The Priority Invention; The US Priority Application, The GB Priority Application; and any and all patent applications linked by priority to The US Priority Application and/or The GB Priority Application in cluding the right to claim priority from The US Priority Application and/or The GB Priority Application in any and all applications for protection for The Priority Invention and/or the PCT Invention; The PCT Invention; The PCT Application, including any and all national and regional phase applications arising therefrom; and including those rights set out in clause 9 below.
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- In consideration for the payment of £1.00 by Modern to inventor SHERIDAN (the receipt and adequacy of which are hereby acknowledged), that Inventor hereby sells and assigns to Modern absolutely and free from incumbrances all her rights title and interest in, to, under, and arising from The Priority Invention; The US Priority Application; The GB Priority Application; any and all patent applications linked by priority to The US Priority Application and/or The GB Priority Application, including the right to claim priority from The US Priority Application and/or The GB Priority Application in any and all applications for protection for The Priority Invention and/or the PCT Invention; The PCT Invention; The PCT Application, including any and all national and regional phase applications arising therefrom; and including those rights set out in clause 9 below.
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- In consideration for the payment of £1.00 by Peakdale to inventors FISHER, TOZER, CLASE, SMITH, and TUFFNELL (the receipt and adequacy of which are hereby acknowledged), those Inventors hereby sell and assign to Peakdale absolutely and free from incumbrances all their rights title and interest in, to, under, and arising from The Priority Invention; The US Priority Application; The GB Priority Application; any and all patent applications linked by priority to The US Priority Application and/or The GB Priority Application, including the right to claim priority from The US Priority Application and/or The GB Priority Application in any and all applications for protection for The Priority Invention and/or the PCT Invention; The PCT Invention; The PCT Application, including any and all national and regional phase applications arising therefrom; and including those rights set out in clause 9 below.
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  - all rights title and interest in all countries of the world in, to, under, and arising from The Priority Invention; The US Priority Application; The GB Priority Application; The PCT Invention; The PCT Application, including any and all national and regional phase applications arising therefrom; and all patent applications derived therefrom and any and all applications linked by priority thereto and any and all divisionals, continuations and continuations-in-part of any of the aforesaid applications and any and all patents that may be granted pursuant thereto for the full period thereof including any re-issues, re-examinations, renewals, extensions and Supplementary Protection Certificates and including all rights and powers arising or accrued therefrom including the right to sue for damages and other remedies in respect of any infringement of any such rights before on or after the date hereof;
  - 9.2 all such rights to apply for prosecute and obtain patents and other intellectual property protection anywhere in the world in respect of The Priority Invention and/or The PCT Invention to the intent that the grant of any patents or other intellectual property protection for The Priority Invention and/or The PCT Invention shall be in the name of and shall vest in The Assignee or its successors or assigns; and
  - 9.3 all such rights to claim priority from The US Priority Application and/or The GB Priority Application in any and all applications for protection for The Priority Invention and/or the PCT Invention, such rights to be enjoyed by The Assignee

with effect from the date of filing of The US Priority Application and The GB Priority Application respectively;

to the intent that the Assignee shall have and hold the rights title and interest hereby assigned as fully and entirely as the same would have been held and enjoyed by The Inventors, Aberdeen, Edinburgh, Modern, and Peakdale, had this Assignment not been made.

- The Inventors, Aberdeen, Edinburgh, Modern, Peakdale, and The Assignee hereby GRANT the firm of Mewburn Ellis LLP the power to insert in the Schedule to this Assignment any further identification of any future patent applications in Canada or the United States or any other countries or regions of the world (including any international (PCT) patent applications) which fall within the scope of clauses 1 to 8 above, as may be necessary or desirable in order to comply with the rules of the United States Patent Office or the Canadian Patent Office or any other intellectual property office for recordation of this document.
- The Inventors, Aberdeen, Edinburgh, Modern, and Peakdale hereby UNDERTAKE that at the request and cost of the Assignee or its successors or assigns they will at all times hereafter promptly do all such acts and execute all such documents (including but not limited to documents required to be signed by or on behalf of inventors in the course of any and all Canadian and United States patent applications which relate to The Priority Invention and/or the PCT Invention and any and all divisionals, continuations and continuations-in-part of any such applications) as may be reasonably necessary or desirable to secure the vesting in the Assignee or its successors or assigns of all rights title and interest assigned to the Assignee hereunder and to confirm the title of the Assignee or its successors or assigns to all such rights title and interest and to assist in the resolution of any question concerning The Priority Invention and/or the PCT Invention or any application for patent or other intellectual property protection granted pursuant to any such application.
- The Inventors, Aberdeen, Edinburgh, Modern, and Peakdale hereby request the relevant authorities in all countries of the world to issue any patents granted for The Priority Invention and/or the PCT Invention in the name of the Assignee or its successors or assigns in accordance with this Assignment.
- This Assignment and undertaking shall be binding upon the heirs, executors, administrators, successors and/or assigns of each of The Inventors, Aberdeen, Edinburgh, Modern, and Peakdale, and shall enure to the benefit of any heirs, executors, administrators, successors and/or assigns of The Assignee.
- This agreement shall be governed by and interpreted in accordance with the laws of England, and the courts of England shall have exclusive jurisdiction to settle any disputes which may arise in connection with this agreement.

IN WITNESS WHEREOF the parties hereto have executed this Assignment and undertaking

Assignment WJW/LP6646145 - Greig-5

## SCHEDULE

Mewburn Ellis LLP REFERENCE NO.	COUNTRY	APPLICATION NUMBER	FILING DATE
6583843	GB	0817207.4	19/09/2008
6573976	US	61/098,271	19/09/2008
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6752810	CA		18/09/2009
6752828	JP		18/09/2009
6752836	IN		18/09/2009

SIGNED by IAIN ROBERT GREIG at (city, country): on (date): in the presence of: Witness	) ) ) ) Signature:
Signature: Name: Address:	
SIGNED by  ROSE MARY SHERIDAN  at (city, country): on (date): in the presence of: Witness Signature: Name: Address:	) ) ) Signature:

Assignment WJW/LP6646145 - Greig-5

SIGNED by  RAYMOND FISHER at (city, country): on (date): in the presence of: Witness Signature: Name: Address:	) ) ) ) Signature:
SIGNED by  MATTHEW JOHN TOZER  at (city, country): on (date): in the presence of: Witness Signature: Name: Address:	) ) ) ) Signature:
SIGNED by JUHA ANDREW CLASE at (city, country): on (date): in the presence of: Witness Signature: Name: Address:	) ) ) ) Signature:)
SIGNED by ANDREW SMITH at (city, country): on (date): in the presence of: Witness Signature: Name: Address:	) ) ) ) Signature:

SIGNED by  ANDREW ROBERT TUFFNELL  at (city, country): on (date): in the presence of: Witness Signature: Name: Address:	Signature:
SIGNED by  ROBERT JURGEN VAN 'T HOF  at (city, country): Dain bury () on (date): .08/.04/.2011 () in the presence of: () Witness Signature: () Name: BELINDA STEPHEN Address: 26 DECHARD ORIVE EDINBURGH	Signature: 270~ / //
SIGNED for and on behalf of THE UNIVERSITY COURT OF THE UNIVERSITY OF ABERDEEN at (city, country): on (date): in the presence of: Witness Signature: Name: Address:	<b>√</b>
SIGNED for and on behalf of ) THE UNIVERSITY COURT OF ) THE UNIVERSITY OF EDINBURGH at (city, country): ) on (date): ) in the presence of: ) Witness Signature: Name: Address:	Signature: Name: Nora Kellock Position: Head of Legal

# - Page 11 of 11 -

SIGNED for and on behalf of  MODERN BIOSCIENCES PLC at (city, country): on (date): in the presence of: Witness Signature: Name: Address:	Signature: Name: Samuel Williams Position: Director
SIGNED for and on behalf of PEAKDALE MOLECULAR LTD. at (city, country): on (date): in the presence of: Witness Signature: Name: Address:	
SIGNED for and on behalf of PIMCO 2664 LIMITED at (city, country): on (date): in the presence of: Witness Signature: Name: Address:	

# ASSIGNMENT OF INVENTION AND RELATED INTELLECTUAL PROPERTY RIGHTS



#### Parties:

#### 1 'The Inventors'

#### IAIN ROBERT GREIG

of: Institute of Medical Sciences, University of Aberdeen, Medical School, Foresterhill, Aberdeen, Aberdeenshire, AB25 2ZD, United Kingdom

#### **ROSE MARY SHERIDAN**

of: Joint UCLH/UCL Biomedical Research & Development (R&D) Unit, Suite 1A, Maple House, Ground Floor, Rosenheim Wing, 25 Grafton Way, London, WC1E 6DB, United Kingdom

#### RAYMOND FISHER

of: Peakdale Molecular Ltd., Peakdale Science Park, Sheffield Road, Chapel-en-le-Frith, High Peak, SK23 0PG, United Kingdom

#### **MATTHEW JOHN TOZER**

of: Peakdale Molecular Ltd., Peakdale Science Park, Sheffield Road, Chapel-en-le-Frith, High Peak, SK23 0PG, United Kingdom

#### JUHA ANDREW CLASE

of: Peakdale Molecular Ltd., Peakdale Science Park, Sheffield Road, Chapel-en-le-Frith, High Peak, SK23 0PG, United Kingdom

#### **ANDREW SMITH**

of: Peakdale Molecular Ltd., Peakdale Science Park, Sheffield Road, Chapel-en-le-Frith, High Peak, SK23 0PG, United Kingdom

#### ANDREW ROBERT TUFFNELL

of: Peakdale Molecular Ltd., Peakdale Science Park, Sheffield Road, Chapel-en-le-Frith, High Peak, SK23 0PG, United Kingdom

#### ROBERT JURGEN VAN 'T HOF

of: Bone Group, Molecular Medicine Centre, Western General Hospital, Edinburgh, Lothian, EH4 2XU, United Kingdom

#### 2 'Aberdeen'

# THE UNIVERSITY COURT OF THE UNIVERSITY OF ABERDEEN

of: Regent Walk, Aberdeen, Aberdeenshire, AB24 3FX, United Kingdom

## 3 'Edinburgh'

#### THE UNIVERSITY COURT OF THE UNIVERSITY OF EDINBURGH

a charitable body registered in Scotland under registration number SC005336 incorporated under the Universities (Scotland) Acts and having its principal offices at: Old College, South Bridge, Edinburgh, EH8 9YL, United Kingdom

#### 4 'Modern'

## MODERN BIOSCIENCES PLC

of: 24 Cornhill, London, EC3V 3ND, United Kingdom

Assignment WJW/LP6646145 - Greig-5 US 61/098,271, GB 0817207.4 & PCT/GB2009/002221

PATENT REEL: 033597 FRAME: 0271 (Company No 05414023)

#### 5 'Peakdale'

#### PEAKDALE MOLECULAR LTD.

of: Peakdale Science Park, Sheffield Road, Chapel-en-le-Frith, High Peak, SK23 0PG, United Kingdom (Company No 02345676)

#### 6 'The Assignee'

#### PIMCO 2664 LIMITED

of: 24 Cornhill, London, EC3V 3ND, United Kingdom (Company No 06282953)

#### Recitals:

- (A) Inventors GREIG, SHERIDAN, FISHER, TOZER, CLASE, SMITH, and TUFFNELL are the joint inventors of the invention or inventions (hereinafter referred to as "The Priority Invention") entitled Aryl-Phenyl-Sulfonamide-Cycloalkyl Compounds and Their Use, the specification of which was filed on 19 September 2008 as United States provisional patent application number 61/098,271 (hereinafter referred to as "The US Priority Application") and entitled Therapeutic APSAC Compounds and Their Use, the specification of which was filed on 19 September 2008 as United Kingdom patent application number 0817207.4 (hereinafter referred to as "The GB Priority Application").
- (B) The US Priority Application was filed in the name of Inventors GREIG, SHERIDAN, FISHER, TOZER, CLASE, SMITH, and TUFFNELL, as required by US law.
- (C) Inventors GREIG, SHERIDAN, FISHER, TOZER, CLASE, SMITH, and TUFFNELL, Aberdeen, Modern, Peakdale, and The Assignee agreed before The US Priority Application and The GB Priority Application were filed that The Assignee would be the sole owner of The Priority Invention; The US Priority Application; The GB Priority Application; and any and all patent applications linked by priority to The US Priority Application and/or The GB Priority Application.
- (D) The Inventors are the joint inventors of the invention or inventions (hereinafter referred to as "The PCT Invention") entitled Aryl-Phenyl-Sulfonamide-Cycloalkyl Compounds and Their Use, the specification of which was filed on 18 September 2009 as international patent application number PCT/GB2009/002221 (hereinafter referred to as "The PCT Application") which claims priority benefit of The US Priority Application and The GB Priority Application.
- (E) The Inventors, Aberdeen, Edinburgh, Modern, Peakdale, and The Assignee agreed before The PCT Application was filed that The Assignee would be the sole owner of The PCT Invention; and The PCT Application, including any and all national and regional phase applications arising therefrom.
- (F) In pursuance of those agreements, The Inventors, Aberdeen, Edinburgh, Modern, Peakdale, and The Assignee have agreed to execute this Assignment in order to confirm and ensure that all rights, title and interest worldwide in, to, under and arising from The Priority Invention; The US Priority Application; The GB Priority Application; any and all patent applications linked by priority to The US Priority Application and/or The GB Priority Application; The PCT Invention; and The PCT Application, including any and all national and regional phase applications arising therefrom; belong to The Assignee.

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- (G) Inventor GREIG was at all material times employed by Aberdeen to carry out the research from which his contribution to The Priority Invention; The US Priority Application; The GB Priority Application; The PCT Invention; and The PCT Application arose.
- (H) Inventor GREIG has agreed to execute this Assignment in order to confirm and ensure that, as between him and his employer (Aberdeen), his contribution to The Priority Invention and The PCT Invention, and all rights, title and interest in, to, under and arising from The Priority Invention; The US Priority Application; The GB Priority Application; any and all patent applications linked by priority to The US Priority Application and/or The GB Priority Application; The PCT Invention; and The PCT Application, including any and all national and regional phase applications arising therefrom; belong to his employer.
- (I) Inventor VAN 'T HOF was at all material times employed by Edinburghto carry out the research from which his contribution to The Priority Invention (if any); The US Priority Application (if any); The GB Priority Application (if any); The PCT Invention; and The PCT Application arose.
- (J) Inventor VAN 'T HOF has agreed to execute this Assignment in order to confirm and ensure that, as between him and his employer (Edinburgh), his contribution (if any) to The Priority Invention and the PCT Invention and all rights, title and interest in, to, under and arising from (if any) The Priority Invention; The US Priority Application; The US Priority Application; any and all patent applications linked by priority to The US Priority Application and/or The GB Priority Application, The PCT Invention; and The PCT Application, including any and all national and regional phase applications arising therefrom; belong to his employer.
- (K) Aberdeen has agreed to execute this Assignment in order to confirm and ensure that, as between them and The Assignee, all their rights, title and interest in, to, under and arising from The Priority Invention; The Priority US Application; The Priority GB Application; any and all patent applications linked by priority to The Priority US Application and/or The Priority GB Application; The PCT Invention; and The PCT Application, including any and all national and regional phase applications arising therefrom; belong to The Assignee.
- (L) Edinburgh has agreed to execute this Assignment in order to confirm and ensure that, as between them and The Assignee, all their rights, title and interest in, to, under and arising from (if any) The Priority Invention; The Priority US Application; The Priority GB Application; any and all patent applications linked by priority to The Priority US Application and/or The Priority GB Application; The PCT Invention; and The PCT Application, including any and all national and regional phase applications arising therefrom; belong to The Assignee.
- (M) Inventor SHERIDAN was at all material times employed by Modern to carry out the research from which her contribution to The Priority Invention; The US Priority Application; The GB Priority Application; The PCT Invention; and The PCT Application arose.

- (N) Inventor SHERIDAN has agreed to execute this Assignment in order to confirm and ensure that, as between her and her employer (Modern), her contribution to The Priority Invention and The PCT Invention, and all rights, title and interest in, to, under and arising from The Priority Invention; The US Priority Application; The GB Priority Application; any and all patent applications linked by priority to The US Priority Application and/or The GB Priority Application; The PCT Invention; and The PCT Application, including any and all national and regional phase applications arising therefrom; belong to her employer.
- (O) Modern has agreed to execute this Assignment in order to confirm and ensure that, as between them and The Assignee, all their rights, title and interest in, to, under and arising from The Priority Invention; The US Priority Application; The GB Priority Application; any and all patent applications linked by priority to The Priority US Application and/or The Priority GB Application; The PCT Invention; and The PCT Application, including any and all national and regional phase applications arising therefrom; belong to The Assignee.
- (P) Inventors FISHER, TOZER, CLASE, SMITH, and TUFFNELL were at all material times employed by Peakdale to carry out the research from which their contributions to The Priority Invention; The US Priority Application; The GB Priority Application; The PCT Invention; and The PCT Application arose.
- (Q) Inventors FISHER, TOZER, CLASE, SMITH, and TUFFNELL have agreed to execute this Assignment in order to confirm and ensure that, as between them and their employer (Peakdale), their contributions to The Priority Invention and The PCT Invention, and all rights, title and interest in, to, under and arising from The Priority Invention; The US Priority Application; The GB Priority Application; any and all patent applications linked by priority to The US Priority Application and/or The GB Priority Application; The PCT Invention; and The PCT Application, including any and all national and regional phase applications arising therefrom; belong to their employer.
- (R) Peakdale has agreed to execute this Assignment in order to confirm and ensure that, as between them and The Assignee, all their rights, title and interest in, to, under and arising from The Priority Invention; The US Priority Application; The GB Priority Application; any and all patent applications linked by priority to The Priority US Application and/or The GB Priority Application; The PCT Invention; and The PCT Application, including any and all national and regional phase applications arising therefrom; belong to The Assignee.

#### Operative provisions:

In consideration for the payment of £1.00 by Aberdeen to inventor GREIG (the receipt and adequacy of which are hereby acknowledged), that Inventor hereby sells and assigns to Aberdeen absolutely and free from incumbrances all his rights title and interest in, to, under, and arising from The Priority Invention; The US Priority Application; any and all patent applications linked by priority to The US Priority Application and/or The GB Priority Application, including the right to claim priority from The US Priority Application and/or The GB Priority Application in any and all applications for protection for The Priority Invention and/or the PCT Invention; The PCT Invention; The PCT Application, including any and all national and regional phase applications arising therefrom; and including those rights set out in clause 9 below.

- In consideration for the payment of £1.00 by The Assignee to Aberdeen (the receipt and adequacy of which are hereby acknowledged), Aberdeen hereby sells and assigns to The Assignee absolutely and free from incumbrances all their rights title and interest in, to, under, and arising from The Priority Invention; The US Priority Application; The US Priority Application; any and all patent applications linked by priority to The US Priority Application and/or The GB Priority Application, including the right to claim priority from The US Priority Application and/or The GB Priority Application in any and all applications for protection for The Priority Invention and/or the PCT Invention; The PCT Invention; The PCT Application, including any and all national and regional phase applications arising therefrom; and including those rights set out in clause 9 below.
- In consideration for the payment of £1.00 by Edinburgh to inventor VAN 'T HOF (the receipt and adequacy of which are hereby acknowledged), that Inventor hereby sells and assigns to Edinburgh absolutely and free from incumbrances all his rights title and interest in, to, under, and arising from (if any) The Priority Invention; The US Priority Application, The GB Priority Application; and any and all patent applications linked by priority to The US Priority Application and/or The GB Priority Application in cluding the right to claim priority from The US Priority Application and/or The GB Priority Application in any and all applications for protection for The Priority Invention and/or the PCT Invention; The PCT Invention; The PCT Application, including any and all national and regional phase applications arising therefrom; and including those rights set out in clause 9 below.
- In consideration for the payment of £1.00 by The Assignee to Edinburgh (the receipt and adequacy of which are hereby acknowledged), Edinburgh hereby sells and assigns to The Assignee absolutely and free from incumbrances all their rights title and interest in, to, under, and arising from The Priority Invention; The US Priority Application; The GB Priority Application; any and all patent applications linked by priority to The US Priority Application and/or The GB Priority Application, including the right to claim priority from The US Priority Application and/or The GB Priority Application in any and all applications for protection for The Priority Invention and/or the PCT Invention; The PCT Application, including any and all national and regional phase applications arising therefrom; and including those rights set out in clause 9 below.
- In consideration for the payment of £1.00 by Modern to inventor SHERIDAN (the receipt and adequacy of which are hereby acknowledged), that Inventor hereby sells and assigns to Modern absolutely and free from incumbrances all her rights title and interest in, to, under, and arising from The Priority Invention; The US Priority Application; The GB Priority Application; any and all patent applications linked by priority to The US Priority Application and/or The GB Priority Application, including the right to claim priority from The US Priority Application and/or The GB Priority Application in any and all applications for protection for The Priority Invention and/or the PCT Invention; The PCT Invention; The PCT Application, including any and all national and regional phase applications arising therefrom; and including those rights set out in clause 9 below.
- In consideration for the payment of £1.00 by The Assignee to Modern (the receipt and adequacy of which are hereby acknowledged), Modern hereby sells and assigns to The Assignee absolutely and free from incumbrances all their rights title and interest in, to, under, and arising from The Priority Invention; The US Priority Application; The GB Priority Application; any and all patent applications linked by priority to The US Priority Application and/or The GB Priority Application, including the right to claim priority from The US Priority Application and/or The GB Priority Application in any and all applications for protection for The Priority Invention and/or the PCT Invention; The PCT Invention; The PCT Application, including any and all national and regional phase applications arising therefrom; and including those rights set out in clause 9 below.

- In consideration for the payment of £1.00 by Peakdale to inventors FISHER, TOZER, CLASE, SMITH, and TUFFNELL (the receipt and adequacy of which are hereby acknowledged), those Inventors hereby sell and assign to Peakdale absolutely and free from incumbrances all their rights title and interest in, to, under, and arising from The Priority Invention; The US Priority Application; The GB Priority Application; any and all patent applications linked by priority to The US Priority Application and/or The GB Priority Application, including the right to claim priority from The US Priority Application and/or The GB Priority Application in any and all applications for protection for The Priority Invention and/or the PCT Invention; The PCT Invention; The PCT Application, including any and all national and regional phase applications arising therefrom; and including those rights set out in clause 9 below.
- In consideration for the payment of £1.00 by The Assignee to Peakdale (the receipt and adequacy of which are hereby acknowledged), Peakdale hereby sells and assigns to The Assignee absolutely and free from incumbrances all their rights title and interest in, to, under, and arising from The Priority Invention; The US Priority Application; The US Priority Application; any and all patent applications linked by priority to The US Priority Application and/or The GB Priority Application in any and all applications for protection for The Priority Invention and/or the PCT Invention; The PCT Invention; The PCT Application, including any and all national and regional phase applications arising therefrom; and including those rights set out in clause 9 below.
- The rights sold and assigned in clauses 1 through 8 above are all rights title and interest in, to, under, and arising from The Priority Invention; The US Priority Application; The GB Priority Application; any and all patent applications linked by priority to The US Priority Application and/or The GB Priority Application, including the right to claim priority from The US Priority Application and/or The GB Priority Application in any and all applications for protection for The Priority Invention and/or the PCT Invention; The PCT Invention; The PCT Application, including any and all national and regional phase applications arising therefrom; including:
  - 9.1 all rights title and interest in all countries of the world in, to, under, and arising from The Priority Invention; The US Priority Application; The GB Priority Application; The PCT Invention; The PCT Application, including any and all national and regional phase applications arising therefrom; and all patent applications derived therefrom and any and all applications linked by priority thereto and any and all divisionals, continuations and continuations-in-part of any of the aforesaid applications and any and all patents that may be granted pursuant thereto for the full period thereof including any re-issues, re-examinations, renewals, extensions and Supplementary Protection Certificates and including all rights and powers arising or accrued therefrom including the right to sue for damages and other remedies in respect of any infringement of any such rights before on or after the date hereof;
  - 9.2 all such rights to apply for prosecute and obtain patents and other intellectual property protection anywhere in the world in respect of The Priority Invention and/or The PCT Invention to the intent that the grant of any patents or other intellectual property protection for The Priority Invention and/or The PCT Invention shall be in the name of and shall vest in The Assignee or its successors or assigns; and
  - 9.3 all such rights to claim priority from The US Priority Application and/or The GB Priority Application in any and all applications for protection for The Priority Invention and/or the PCT Invention, such rights to be enjoyed by The Assignee

with effect from the date of filing of The US Priority Application and The GB Priority Application respectively;

to the intent that the Assignee shall have and hold the rights title and interest hereby assigned as fully and entirely as the same would have been held and enjoyed by The Inventors, Aberdeen, Edinburgh, Modern, and Peakdale, had this Assignment not been made.

- The Inventors, Aberdeen, Edinburgh, Modern, Peakdale, and The Assignee hereby GRANT the firm of Mewburn Ellis LLP the power to insert in the Schedule to this Assignment any further identification of any future patent applications in Canada or the United States or any other countries or regions of the world (including any international (PCT) patent applications) which fall within the scope of clauses 1 to 8 above, as may be necessary or desirable in order to comply with the rules of the United States Patent Office or the Canadian Patent Office or any other intellectual property office for recordation of this document.
- The Inventors, Aberdeen, Edinburgh, Modern, and Peakdale hereby UNDERTAKE that at the request and cost of the Assignee or its successors or assigns they will at all times hereafter promptly do all such acts and execute all such documents (including but not limited to documents required to be signed by or on behalf of inventors in the course of any and all Canadian and United States patent applications which relate to The Priority Invention and/or the PCT Invention and any and all divisionals, continuations and continuations-in-part of any such applications) as may be reasonably necessary or desirable to secure the vesting in the Assignee or its successors or assigns of all rights title and interest assigned to the Assignee hereunder and to confirm the title of the Assignee or its successors or assigns to all such rights title and interest and to assist in the resolution of any question concerning The Priority Invention and/or the PCT Invention or any application for patent or other intellectual property protection granted pursuant to any such application.
- The Inventors, Aberdeen, Edinburgh, Modern, and Peakdale hereby request the relevant authorities in all countries of the world to issue any patents granted for The Priority Invention and/or the PCT Invention in the name of the Assignee or its successors or assigns in accordance with this Assignment.
- This Assignment and undertaking shall be binding upon the heirs, executors, administrators, successors and/or assigns of each of The Inventors, Aberdeen, Edinburgh, Modern, and Peakdale, and shall enure to the benefit of any heirs, executors, administrators, successors and/or assigns of The Assignee.
- This agreement shall be governed by and interpreted in accordance with the laws of England, and the courts of England shall have exclusive jurisdiction to settle any disputes which may arise in connection with this agreement.

IN WITNESS WHEREOF the parties hereto have executed this Assignment and undertaking

Assignment WJW/LP6646145 - Greig-5

## **SCHEDULE**

		I	
Mewburn Ellis LLP REFERENCE NO.	COUNTRY	APPLICATION NUMBER	FILING DATE
6583843	GB	0817207.4	19/09/2008
6573976	US	61/098,271	19/09/2008
6646145	WO	PCT/GB2009/002221	18/09/2009
6752794	EP	09785121.6	18/09/2009
6752802	US	13/063,956	18/09/2009
6752810	CA		18/09/2009
6752828	JP		18/09/2009
6752836	IN		18/09/2009
	***************************************		

SIGNED by IAIN ROBERT GREIG at (city, country): on (date): in the presence of: Witness Signature: Name: Address:	) ) ) Signature: )
SIGNED by  ROSE MARY SHERIDAN at (city, country): on (date): in the presence of: Witness Signature: Name: Address:	) ) ) ) Signature:

Assignment WJW/LP6646145 - Greig-5

= PANANA	
SIGNED by RAYMOND FISHER at (city, country): on (date): in the presence of: Witness Signature: Name: Address:	) ) ) Signature:
SIGNED by  MATTHEW JOHN TOZER  at (city, country): on (date): in the presence of: Witness Signature: Name: Address:	) ) ) Signature:)
SIGNED by JUHA ANDREW CLASE at (city, country): on (date): in the presence of: Witness Signature: Name: Address:	) ) ) Signature:
SIGNED by ANDREW SMITH at (city, country): on (date): in the presence of: Witness Signature: Name: Address:	) ) ) Signature:

SIGNED by  ANDREW ROBERT TUFFNELL  at (city, country): on (date): in the presence of: Witness Signature: Name: Address:	Signature:
SIGNED by  ROBERT JURGEN VAN 'T HOF  at (city, country):  on (date):  in the presence of:  Witness  Signature:  Name:  Address:	Signature:
SIGNED for and on behalf of THE UNIVERSITY COURT OF THE UNIVERSITY OF ABERDEEN at (city, country): on (date): in the presence of: Witness Signature: Name: Address:	· 1
SIGNED for and on behalf of THE UNIVERSITY COURT OF THE UNIVERSITY OF EDINBURGH at (city, country): EDINBURGH on (date): E GINBURGH ) in the presence of: Witness Signature: THE GAILLE Address:	Signature:

# - Page 11 of 11 -

SIGNED for and on behalf of  MODERN BIOSCIENCES PLC at (city, country): on (date): in the presence of: Witness Signature: Name: Address:		Signature:
SIGNED for and on behalf of PEAKDALE MOLECULAR LTD. at (city, country): on (date): in the presence of: Witness Signature: Name: Address:	) ) ) ) )	Signature: Name: Matthew John Tozer Position: Head of Medicinal Chemistry Services
SIGNED for and on behalf of PIMCO 2664 LIMITED at (city, country): on (date): in the presence of: Witness Signature: Name: Address:		Signature: Name: Samuel Williams Position: Director

Assignment WJW/LP6646145 - Greig-5

**RECORDED: 08/24/2014**