

<b>PATENT ASSIGNMENT COVER SHEET</b>
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<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT
<b>CONVEYING PARTY DATA</b>	
<b>Name</b>	<b>Execution Date</b>
HIROYUKI YAMAZAKI	08/01/2014
<b>RECEIVING PARTY DATA</b>	
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<b>City:</b>	TOKYO
<b>State/Country:</b>	JAPAN
<b>PROPERTY NUMBERS Total: 1</b>	
<b>Property Type</b>	<b>Number</b>
<b>Application Number:</b>	14380716
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<b>NAME OF SUBMITTER:</b>	SEAN M. MCGINN
<b>SIGNATURE:</b>	/Sean M. McGinn/
<b>DATE SIGNED:</b>	08/28/2014
<b>Total Attachments: 2</b>	
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source=Assignment#page2.tif	

# ASSIGNMENT OF APPLICATION FOR PATENT

WHEREAS

NAME AND ADDRESS  
OF ASSIGNOR

HIROYUKI YAMAZAKI  
c/o NEC Corporation, 7-1, Shiba 5-chome, Minato-ku,  
Tokyo, Japan

(HEREINAFTER REFERRED TO AS ASSIGNOR), have  
invented and own a certain new and useful invention  
entitled:

TITLE OF INVENTION:

PLANAR LIGHTWAVE CIRCUIT AND OPTICAL RECEIVER

WHEREAS

FULL NAME AND ADDRESS  
OF ASSIGNEE

NEC Corporation  
7-1, Shiba 5-chome, Minato-ku, Tokyo, Japan

(hereinafter referred to as ASSIGNEE), is desirous of  
acquiring the entire right, title, and interest in, to and under  
said invention and the United States Letters Patent to be  
obtained therefor:

*NOW THEREFORE, TO ALL WHOM IT MAY CONCERN:* Be  
it known that in consideration of the payment of ASSIGNEE  
to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt  
of which is hereby acknowledged, and for other good and  
valuable consideration, ASSIGNOR, by these presents,  
hereby sells, assigns and transfers to ASSIGNEE the entire  
and exclusive right, title and interest to said invention and  
all Letters Patent of the United States to be obtained  
therefor on said application or any continuation, division,  
renewal, substitute or reissue thereof for the full term or  
terms for which the same may be granted.

ASSIGNOR hereby authorizes and requests the  
Commissioner of Patents and Trademarks to issue said  
Letters Patent of the  
United States Patent obtained therefor on said application or any  
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continuation, division, renewal, substitute or reissue thereof for the full term or terms to said ASSIGNEE, of the entire right, title, and interest in and to the same, for his sole use and behoof, and for the use and behoof of his legal representatives, to the full end of the term or terms for which said Letters Patent obtained therefor on said application or any continuation, division, renewal, substitute or reissue thereof for may be granted, as full and entirely as the same would have been held by ASSIGNOR had this assignment and sale not been made.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment and sale;

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said application, said invention and said Letters Patent as may be known and accessible to ASSIGNOR and will testify as to the same in any interference or litigation related thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain and enforce said application, said invention and said Letters Patent which may be necessary or desirable to carry out the purposes hereof.

DATE OF SIGNING

IN WITNESS WHEREOF, I/We have hereunto set

hand and seal this 1st day of August, 2014.

SIGNATURE

By: Hiroyuki Yamazaki  
HIROYUKI YAMAZAKI

SIGNATURE

By: \_\_\_\_\_

SIGNATURE

By: \_\_\_\_\_

SIGNATURE

By: \_\_\_\_\_

SIGNATURE

By: \_\_\_\_\_

SIGNATURE

By: \_\_\_\_\_

WITNESSES

Daijino Inami  
Mitsuru Kawabeta

(Assignment of Application for Patent -- page 2 of 2)