# PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2

EPAS ID: PAT3003819

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

## **CONVEYING PARTY DATA**

Name	Execution Date
FRANK E. BLONDINO	08/18/2014
ERIC S. EDWARDS	08/11/2014
EVAN T. EDWARDS	08/18/2014
GLEN L. KELLEY	08/18/2014
PAUL F. MEYERS	08/19/2014

## **RECEIVING PARTY DATA**

Name:	KALEO, INC.
Street Address:	111 VIRGINIA STREET
Internal Address:	SUITE 300
City:	RICHMOND
State/Country:	VIRGINIA
Postal Code:	23219

## **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	14335490

## **CORRESPONDENCE DATA**

Fax Number: (703)456-8100

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Phone: 7034568000

CTIPTON@COOLEY.COM, JSCOTT@COOLEY.COM Email:

**Correspondent Name: COOLEY LLP** 

Address Line 1: 1299 PENNSYLVANIA AVENUE Address Line 2: SUITE 700 ATTN: PATENT GROUP

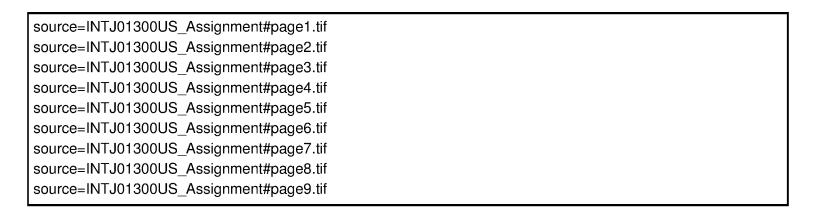
Address Line 4: WASHINGTON, D.C. 20004

ATTORNEY DOCKET NUMBER: INTJ-013/00US 306456-2208	
NAME OF SUBMITTER:	ANDERS E. FERNSTROM
SIGNATURE:	/Anders E. Fernstrom/
DATE SIGNED:	08/29/2014

**Total Attachments: 9** 

**REEL: 033641 FRAME: 0733** 502957221

PATENT



#### ASSIGNMENT

Frank E. BLONDINO, residing at 2212 Ridgefield Green Way, Henrico, VA 23233, Eric S. EDWARDS, residing at 6431 Burnt Mills Lane, Moseley, VA 23120, Evan T. EDWARDS, residing at 21 Old Farm Road, Charlottesville, VA 22903, Glen L. KELLEY, residing at 11001 New Harvard Court, Glen Allen, VA 23059, and Paul F. MEYERS, residing at 11447 Niagara Drive, Fishers, IN 46037 (each referred to as "Assignor") have made an invention(s) (the "Invention(s)") set forth in an application for patent of the United States, entitled DEVICES AND METHODS FOR DELIVERING OPIOID ANTAGONISTS INCLUDING FORMULATIONS FOR NALOXONE, and which is a:

(1)	provisional application (a) to be filed herewith; or
	(b) bearing Application No. , and filed on ;
(2)	<ul> <li>∑ non-provisional application</li> <li>(a) ☐ to be filed herewith; or</li> <li>(b) ∑ bearing Application No. 14/335,490, and filed on July 18, 2014; and/or</li> </ul>
(3)	☐ PCT application  (a) ☐ bearing Application No. , and filed on .

WHEREAS, kaleo, Inc., a corporation duly organized under and pursuant to the laws of Virginia, and having principal place of business at 111 Virginia Street, Suite 300, Richmond, VA 23219 (the "Assignee"), is desirous of acquiring the entire right, title, and interest in: the Invention(s); the application(s) for patent identified in paragraph (1), (2), and/or (3); the right to file applications for patent of the United States or other countries on the Invention(s); any application(s) for patent of the United States or other countries claiming priority to, and/or the benefit of, these applications; any provisional or other right to recover damages, including royalties, for prior infringements of these application(s); and any patent(s) of the United States or other countries that may be granted therefor or thereon.

NOW, THEREFORE, for good and sufficient consideration, the receipt of which is hereby acknowledged, and to the extent that the Assignor has not done so already via a prior agreement with the Assignee, or if the Assignor has already done so via a prior agreement with the Assignee then in confirmation of any obligation to do so in said prior agreement, the Assignor has sold, assigned, transferred, and set over, and by these presents does sell, assign, transfer, and set over, unto the Assignee, its successors, legal representatives, and assigns, the Assignor's entire right, title, and interest in:

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- (a) the Invention(s);
- (b) the application(s) for patent identified in paragraph (1), (2), and/or (3);
- (c) the right to file applications for patent of the United States or other countries on the Invention(s), including all rights under the Hague Convention, the Paris Convention for the Protection of Industrial Property, and under the Patent Cooperation Treaty, and all rights of claiming priority in any country of the world;
- (d) any application(s) for patent of the United States or other countries claiming the Invention(s);
- (e) any application(s) for patent of the United States or other countries claiming priority to, and/or the benefit of, at least one of the application(s) for patent identified in paragraph (1), (2), and/or (3) or any application(s) for patent claiming the Invention(s), including any substitute application(s), division(s), continuation(s), and continuation(s)-in-part;
- (f) any provisional or other right to recover damages, including royalties, for prior infringements of any application for patent identified in the preceding paragraphs (b)-(e); and
- (g) any patent(s) of the United States or other countries that may be granted for or on any application for patent identified in the preceding paragraphs (b)-(e), including any reissue(s), reexamination(s), revival(s), renewal(s) and extension(s) of said patent(s).

The above-granted rights, titles, and interests are to be held and enjoyed by the Assignee, for its own use and behalf and the use and behalf of its successors, legal representatives, and assigns, as fully and entirely as the same would have been held and enjoyed by the Assignor had this sale and assignment not been made.

The Assignor hereby represents to the Assignee, its successors, legal representatives, and assigns, that, at the time of execution and delivery of these presents, or if applicable, at such time said prior agreement was executed, the Assignor is a lawful owner of an undivided interest in the entire right, title, and interest in and to the Invention(s), that the Invention(s) are unencumbered, except, if applicable, by obligation to assign in accordance with said prior agreement, and that the Assignor has good and full right and lawful authority to sell and convey the same in the manner set forth herein, and that Assignor will not make or enter into any assignment, sale, agreement or encumbrance which would conflict with these presents.

The Assignor hereby covenants and agrees to and with the Assignee, its successors, legal representatives, and assigns, that the Assignor will sign all papers and

documents, take all lawful oaths, and do all acts necessary or required to be done in connection with any and all proceedings for the procurement, maintenance, enforcement and defense of the Invention(s), said application(s), and said patent(s), including interference and derivation proceedings, and any post-grant proceedings (e.g., opposition proceedings, post-grant reviews, *Inter partes* reviews, supplemental examinations, etc.) without charge to the Assignee, its successors, legal representatives, and assigns, but at the cost and expense of the Assignee, its successors, legal representatives, and assigns.

The Assignor hereby authorizes and requests the attorneys of COOLEY LLP to insert in the spaces provided above the filing date, the application number, and the attorney docket number of the application(s) identified in paragraph (1), (2) and/or (3) when known.

The Assignor hereby requests the Commissioner of Patents to issue said patents of the United States to the Assignee for the sole use and behalf of the Assignee, its successors, legal representatives, and assigns. Attorney Docket No. INTJ-013/00US 306456-2208 Application Serial No. 14/335,490 Page 4 of 9

Date: Apr 18 2014 By:
Frank E. BLONDINO
State of \\\(\alpha\) = : \(\alpha\)
State of Vicania  City 5 Communication of State of Vicania (City (
On August 18, 2014, before me, Frank Blanding SWE.
Notary Public, personally appeared Frank Blondino,
who proved to me on the basis of satisfactory evidence, to be the person(s) whose
name(s) is/are subscribed to the within instrument and acknowledged to me that
he/she/they executed the same in his/her/their authorized capacity(ies), and that by
his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of
which the person(s) acted, executed the instrument.
WITNESS my hand and official seal.  WITNESS my hand and official seal.  SHEREE NICOLE ENGLE NOTARY PUBLIC REGISTRATION # 71 82290 COMMONWEALTH OF VIRGINIA MY COMMISSION EXPIRES
Shere Engle
Signature of Notary Public Place Notary Seal Above
My Commission Expires: 7/31/2016

# Attorney Docket No. INTJ-013/00US 306456-2208 Application Serial No. 14/335,490 Page 5 of 9

Éric S. EDWARDS

State of Virainia

City
County of Rich mond

Sheree Engle

On August 11, 2014, before me, Eric Edwig

Notary Public, personally appeared Eric Edwig

who proved to me on the basis of satisfactory evidence, to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Signature of Notary Public

My Commission Expires: 7/31/2016

Date: Azyarst 11 # 2014

# Attorney Docket No. INTJ-013/00US 306456-2208 Application Serial No. 14/335,490 Page 6 of 9

Date: <u>08/18/2014</u> By:	
	Evan T. EDWARDS
State of Virginia  City  County of Richmond  State of Virginia  State of Virginia  State of Virginia  State of Virginia  Output  State of Virginia  Output	
On August 18, 2014, before me, Notary Public, personally appeared Evan	Sheree Engle,
who proved to me on the basis of satisfactory e	
name(s) is/are subscribed to the within instrum	
he/she/they executed the same in his/her/their au	nthorized capacity(ies), and that by
his/her/their signature(s) on the instrument the per	son(s), or the entity upon behalf of
which the person(s) acted, executed the instrument.	
WITNESS my hand and official seal:	SHEREE NICOLE ENGLE NOTARY PUBLIC REGISTRATION # 7182290 COMMONWEALTH OF VIRGINIA MY COMMISSION EXPIRES  131701 (4-
Shere Engle	San and Strick Street Statement of the Street Stree
Signature of Notary Public (	Place Notary Seal Above
My Commission Expires: フスルスンル	

Date: Argra- 18, 2114 By: Color En KELLEY
State of <u>Virginia</u> )  City  County of Richmond  State of <u>Virginia</u> State of <u>Virginia</u> Output  State
On August 18, 2014, before me, Shevee Engle., Notary Public, personally appeared Clen Kelley., who proved to me on the basis of satisfactory evidence, to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.
WITNESS my hand and official seal.  SHERÉE NICOLE ÉNGLE NOTARY PUBLIC REGISTRATION # 7182290 COMMONWEALTH OF VIRGINIA MY COMMISSION EXPIRES 7/3:1/2016  Place Notary Public  Place Notary Seal Above
My Commission Expires: 7 31 2016

Attorney Docket No. INTJ-013/00US 306456-2208 Application Serial No. 14/335,490 Page 8 of 9

Date: August 19/2014 By: Walf Figure Paul F. MEYERS	
State of Indiana  County of Hamilton  Sss.	
On August 19, 2014, before me, Nicholas Ledlow, Notary Public, personally appeared Paul F. Meyers	
who proved to me on the basis of satisfactory evidence, to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that	
he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of	
which the person(s) acted, executed the instrument.	
WITNESS my hand and official seal.	
Signature of Notary Public  Nicholas J. Ludlow Notary Public, State of Indiana Hamilton County Commission # 583945	
My Commission Expires:  My Commission Expires:  October 21, 2016	

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# Attorney Docket No. INTJ-013/00US 306456-2208 Application Serial No. 14/335,490 Page 9 of 9

Date: 08/18/2014	By: Fran T Edwands
	Name: Title: VP Roderd Development
	Title: UP Rodert Development
	Company: kaleo, Inc.
	on and the state of the state o
State of Vilainia	
C:44 ) ss.	
County of Richmond	
on Avaust 18 2014, be	fore me, <u>Sheree Engle</u> ,
Notary Public, personally appeared	
who proved to me on the basis of sa	tisfactory evidence, to be the person(s) whose
name(s) is/are subscribed to the with	in instrument and acknowledged to me that
he/she/they executed the same in his/	her/their authorized capacity(ies), and that by
his/her/their signature(s) on the instrum	ent the person(s), or the entity upon behalf of
which the person(s) acted, executed the i	
without and position(b) notices, officer and a	SHEREE NICOLE ENGLE
	NOTARY PUBLIC REGISTRATION # 7182290
WITNESS my hand and official seal.	COMMONWEALTH OF VIRGINIA MY COMMISSION EXPIRES
	7,31,3016
Shore Menale	
Signature of Notary Public	Place Notary Seal Above
bignature of Notary Public	Trace rectary Bear Above
My Commission Expires: 7 31 X	)1(p

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