

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT3005079

SUBMISSION TYPE:	NEW ASSIGNMENT		
NATURE OF CONVEYANCE:	CHANGE OF NAME		
CONVEYING PARTY DATA			
Name			Execution Date
NASOFORM, INC.			05/16/2013
RECEIVING PARTY DATA			
Name:	SPIROX, INC.		
Street Address:	3475-O EDISON WAY		
City:	MENLO PARK		
State/Country:	CALIFORNIA		
Postal Code:	94025		
PROPERTY NUMBERS Total: 2			
Property Type	Number		
Patent Number:	7780730		
Patent Number:	8133276		
CORRESPONDENCE DATA			
Fax Number:	(650)212-7562		
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>			
Phone:	650.212.1700		
Email:	info@shayglenn.com		
Correspondent Name:	JAMES R. SHAY		
Address Line 1:	SHAY GLENN LLP		
Address Line 2:	2755 CAMPUS DRIVE, SUITE 210		
Address Line 4:	SAN MATEO, CALIFORNIA 94403		
ATTORNEY DOCKET NUMBER:	12586-700.200/700.400		
NAME OF SUBMITTER:	JAMES R. SHAY, REG. 32062		
SIGNATURE:	/James R. Shay/		
DATE SIGNED:	09/02/2014		
Total Attachments: 3			
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**RECORDATION FORM COVER SHEET
PATENTS ONLY**

PATENT AND TRADEMARK OFFICE

TO THE HONORABLE DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE. PLEASE RECORD THE ATTACHED ORIGINAL DOCUMENTS OR COPY THEREOF.

1. Name of conveying party(ies):

NASOFORM, INC. (5/16/2013)

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: **SPIROX, INC.**
3475-O Edison Way
Menlo Park, CA 94025

3. Nature of Conveyance:

☐ Assignment ☐ Merger
☐ Security Agreement ☒ Change of Name
☐ Other

Name and address of receiving party(ies):

Name:
 Street Address:
 City: State: Zip:
 Country:
 Additional name(s) & address(es) attached?
☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s) –
 Title:

B. Patent No.(s) **7,780,730 & 8,133,276**
**"Nasal Implant Introduced Through a
 Non-Surgical Injection Technique"**

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

James R. Shay
Shay Glenn LLP
2755 Campus Drive, Suite 210
San Mateo, CA 94403

6. Total number of applications and patents involved: 27. Total fee (37 CFR 3.41): -0-

DO NOT USE THIS SPACE

8. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

James R. Shay, Reg. No. 32,062

Name of Person Signing

Signature

September 7, 2014
 Date

Total number of pages including cover sheet, attachments, and documents: 3

Delaware

PAGE 1

The First State

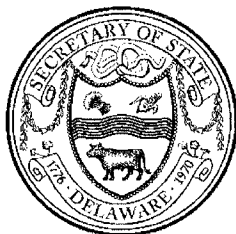
I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF AMENDMENT OF "NASOFORM, INC.", CHANGING ITS NAME FROM "NASOFORM, INC." TO "SPIROX, INC.", FILED IN THIS OFFICE ON THE SIXTEENTH DAY OF MAY, A.D. 2013, AT 3:50 O'CLOCK P.M.

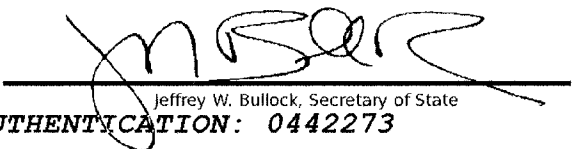
A FILED COPY OF THIS CERTIFICATE HAS BEEN FORWARDED TO THE KENT COUNTY RECORDER OF DEEDS.

5060754 8100

130596911

You may verify this certificate online
at corp.delaware.gov/authver.shtml




Jeffrey W. Bullock, Secretary of State
AUTHENTICATION: 0442273

DATE: 05-17-13

PATENT
REEL: 033650 FRAME: 0129

**CERTIFICATE OF AMENDMENT TO
AMENDED AND RESTATED
CERTIFICATE OF INCORPORATION OF
NASOFORM, INC.**

Duke Rohlen hereby certifies that:

ONE: He is the duly elected and acting Chief Executive Officer and President of Nasoform, Inc., a Delaware corporation.

TWO: The Board of Directors of the Corporation, acting in accordance with the provisions of Sections 141 and 242 of the General Corporation Law of the State of Delaware, adopted a resolution amending its Amended and Restated Certificate of Incorporation as follows:

Article I shall be amended to read in its entirety as follows:

"The name of this company is SPIROX, INC. (the "*Company*" or the "*Corporation*")."

THREE: Thereafter pursuant to a resolution of the Board of Directors, this Certificate of Amendment was submitted to the stockholders of the Corporation for their approval, and was duly adopted in accordance with the provisions of Section 242 of the General Corporation Law of the State of Delaware.

IN WITNESS WHEREOF, NASOFORM, INC. has caused this Certificate of Amendment to be signed by its Chief Executive Officer and President this 16th day of May, 2013.

NASOFORM, INC.

By: /s/ Duke Rohlen
DUKE ROHLEN,
Chief Executive Officer and President