502963762 09/05/2014

# PATENT ASSIGNMENT COVER SHEET

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SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

#### **CONVEYING PARTY DATA**

Name	Execution Date	
AUREL A LAZAR	09/03/2014	
YIYIN ZHOU	09/03/2014	

### **RECEIVING PARTY DATA**

Name:	THE TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF NEW YORK
Street Address:	412 LOW MEMORIAL LIBRARY
Internal Address:	535 WEST 116TH ST
City:	NEW YORK
State/Country:	NEW YORK
Postal Code:	10027

### **PROPERTY NUMBERS Total: 3**

Property Type	Number
Application Number:	13948615
Application Number:	61441203
PCT Number:	US1224413

### **CORRESPONDENCE DATA**

**Fax Number:** (212)408-2501

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

**Phone:** 212-408-2500

Email: DLNYDOCKET@BAKERBOTTS.COM

Correspondent Name: BAKER BOTTS L.L.P.

Address Line 1: 30 ROCKEFELLER PLAZA

Address Line 2: 44TH FLOOR

Address Line 4: NEW YORK, NEW YORK 10112-4498

ATTORNEY DOCKET NUMBER:	070050.4973
NAME OF SUBMITTER:	CHRISTOPHER R. PATRICK
SIGNATURE:	/Christopher R. Patrick/
DATE SIGNED:	09/05/2014

**Total Attachments: 3** 

PATENT REEL: 033675 FRAME: 0437 source=asg4973#page1.tif source=asg4973#page2.tif source=asg4973#page3.tif

> PATENT REEL: 033675 FRAME: 0438

## WORLDWIDE ASSIGNMENT

WHEREAS, Aurel A. Lazar, a citizen of Germany, having a residence address at 410 Riverside Drive, Apt. 32A, New York, New York 10025; and Yiyin Zhou, a citizen of China, having a residence address at Room 801, Building 3, Lane 899, Gumei W. Roda, Shanghai 201102, China (hereinafter "ASSIGNORS"), have made an invention entitled "Encoding And Decoding Machine With Recurrent Neural Networks" as set forth and described in U.S. Application No. 13/948,615, filed July 23, 2013; International Application No. PCT/US2012/024413, filed February 9, 2012; United States Provisional Application No. 61/441,203, filed February 9, 2011; and

WHEREAS, THE TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF NEW YORK (hereinafter "ASSIGNEE"), having its principal place of business at 412 Low Memorial Library, 535 West 116th Street, New York, NY, 10027, is desirous of acquiring our rights, title and interest in and to said application for Letters Patent, and any continuations, divisions, extensions, substitutions, reissues and reexaminations thereof;

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN, BE IT KNOWN, that WE, the said ASSIGNORS, for and in consideration of valuable considerations unto us moving from said ASSIGNEE, at or before the ensealing and delivery of these presents, the receipt and sufficiency of which is hereby acknowledged, have sold, assigned, transferred and conveyed and by these presents do sell, assign, transfer and convey, unto said ASSIGNEE, its successors and assigns, the entire right, title and interest in and to the Invention entitled "ENCODING AND DECODING MACHINE WITH RECURRENT NEURAL NETWORKS" and any and all improvements thereto, and in and to the application, and any division, continuation or continuation-in-part thereof, and in and to any Letters Patent of the United States which may be issued on any of said applications, and any reissues or re-exams thereof, and in and to any and all applications for Letters Patent filed in foreign countries for said invention or improvements, including all priority rights, and any and all Letters Patent which may be granted in foreign countries therefor, and in and to any other applications based in whole or in part on any of the foregoing," TO HAVE AND TO HOLD THE SAME to the full end of the term or terms for which any and all said Letters Patent may be granted;

AND WE, the said **ASSIGNORS**, do hereby authorize and request the Commissioner of Patents and Trademarks to issue the said Letters Patent of the United States to said **ASSIGNEE**, as the assignee of the entire right, title and interest in and to the same, for the sole use and benefit of said **ASSIGNEE**, its successors and assigns;

AND WE, the said ASSIGNORS, for the considerations aforesaid, do hereby covenant and agree to and with said ASSIGNEE, its successors and assigns, that we have full power to make this assignment, and that the rights assigned are not encumbered by any grant, license or right heretofore given, and that we, our executors or administrators, shall and will do all lawful acts and things and make, execute and deliver without further compensation, any and all other instruments in writing, further applications, papers, affidavits, powers of attorney, assignments, and other documents which, in the opinion of counsel for said ASSIGNEE, its successors and

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assigns, may be required or necessary more effectively to secure to and vest in said ASSIGNEE, its successors and assigns, the entire right, title and interest in and to said invention and improvements, applications, Letters Patent, rights, titles, benefits, privileges, and advantages hereby sold, assigned, transferred and conveyed, and that we will sign any applications for reissue which may be desired by the owner of the patent or patents which may be issued for the said invention or improvements.

IN WITNESS WH	EREOF, WE, the said ASSIGNORS have hereunto set our hand and
seal on the dates below wri	(( <sub>k</sub>
Date: 05 00   1	J 2014 (mel Bar
	Aurel A. Lazar
came, Aurel A. Laz who executed the fo	at on this3 day ofSeptemb2014, before me personally car to me known and known to me to be the person mentioned in and regoing assignment, and he acknowledged to me that he executed the and deed for the use and purposes therein mentioned.
	Hitness Signature
	Florence J. Grant Witness Name
	FLORENCE J GRANT Notary Public - State of New York NO, 01 GR4666482 Qualified in New York County My Commission Expires Mar 30, 20/2

IN WITNESS	WHEREOF, I have hereunto	set my har	d and sea	I this $\frac{3rd}{}$	_day of
Sept	, 2014.				

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Yiyin Zhou

Witness: Jones Jant [signature]

Florence J. Grant [name]

Witness: [signature]

FLORENCE J GRANT
Notary Public - State of New York
NO. 01GR466482
Qualified In New York County
My Commission Expires Mar 30, 20/8

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**RECORDED: 09/05/2014** 

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