

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT3011238

| | | |
|---|---------------------------------------|-----------------------|
| SUBMISSION TYPE: | NEW ASSIGNMENT | |
| NATURE OF CONVEYANCE: | ASSIGNMENT | |
| CONVEYING PARTY DATA | | |
| | Name | Execution Date |
| | JOSEPH AFERZON | 02/25/2010 |
| RECEIVING PARTY DATA | | |
| Name: | INTERNATIONAL SPINAL INNOVATIONS, LLC | |
| Street Address: | 97 BALFOUR DRIVE | |
| City: | WEST HARTFORD | |
| State/Country: | CONNECTICUT | |
| Postal Code: | 06107 | |
| PROPERTY NUMBERS Total: 1 | | |
| | Property Type | Number |
| | Application Number: | 14046519 |
| CORRESPONDENCE DATA | | |
| Fax Number: | (516)822-3582 | |
| <i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i> | | |
| Phone: | 516-822-3550 | |
| Email: | agvdocket@hbiplaw.com | |
| Correspondent Name: | HOFFMANN & BARON LLP | |
| Address Line 1: | 6900 JERICO TURNPIKE | |
| Address Line 4: | SYOSSET, NEW YORK 11791 | |
| ATTORNEY DOCKET NUMBER: | 1933-9 CON II | |
| NAME OF SUBMITTER: | ALEXANDER G. VODOVOZOV | |
| SIGNATURE: | /alexander g. vodovozov/ | |
| DATE SIGNED: | 09/05/2014 | |
| Total Attachments: 5 | | |
| source=Executed_assignment_1933-9CONII#page1.tif | | |
| source=Executed_assignment_1933-9CONII#page2.tif | | |
| source=Executed_assignment_1933-9CONII#page3.tif | | |
| source=notice_of_recordation-1933-9CONII#page1.tif | | |
| source=notice_of_recordation-1933-9CONII#page2.tif | | |

Attorney's Docket No.: 1933-9

PATENT

For: ☒ U.S. and/or ☒ Foreign Rights
For: ☒ U.S. Application;
☐ U.S. Provisional Application;
☐ U.S. Patent; or
☐ PCT Application
By: ☒ Inventors or ☐ Present Owners

ASSIGNMENT OF INVENTION

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

ASSIGNORS: (inventor(s) or person(s) or entity(ies) who own the invention)

Joseph Aferzon

Name

11 Wingate Drive

Address

Avon, Connecticut 06001

USA

Nationality

(If assignment is by person or entity to whom invention was previously assigned and this was recorded in PTO add the following)

Recorded on _____

Reel _____

Frame _____

hereby sells, assigns and transfers to

ASSIGNEE:

International Spinal Innovations, LLC

(Type or print name of ASSIGNEE)

97 Balfour Drive

Address

West Hartford, Connecticut 06107

USA

Nationality

Assignment of Invention – Page 1 of 3

PATENT

REEL: 033680 FRAME: 0180

and the successors, assigns and legal representatives of the ASSIGNEE,

(complete one of the following)

- ☒ the entire right, title and interest
☐ an undivided _____ percent (___%) interest

for the United States and its territorial possessions

- ☒ and in all foreign countries, including all rights to claim priority,

in and to any and all improvements which are disclosed in the invention entitled:

Minimally Invasive Surgical Retractor With an Expanded Field of Vision

and which is found in

(check and complete (a), (b), (c), (d), (e), (f), or (g))

- (a) ☒ U.S. patent application executed on even date herewith
(b) ☐ U.S. patent application executed on _____
(c) ☐ U.S. provisional application naming the above inventor(s) for the above-identified invention.
 ☐ Express Mail label No.: _____, mailed: _____
☐ To comply with 37 CFR 3.21 for recordal of this assignment, I, an ASSIGNOR signing below, hereby authorize and request my attorney to insert below the filing date and application number when they become known
(d) ☐ U.S. Provisional Application Serial No. _____ filed on _____.
(e) ☐ International application No. PCT/_____/_____
(f) ☐ U.S. Patent No. _____ issued _____
 ☐ A change of address to which correspondence is to be sent regarding patent maintenance fees is being sent separately.
(g) ☒ and any legal equivalent thereof in a foreign country, including the right to claim priority

and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to Letters Patent any re-issue or re-examination thereof.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

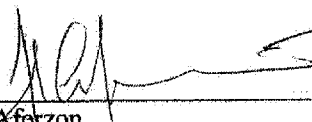
ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and

deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, I/We have hereunto set hand and seal this

WARNING: Date of signing must be the same as the date of execution of the application if item (a) was checked above.

Date: X 2/25/2010
Date of signing

X 
Joseph Aferzon

NOTE: No witnessing, notarization or legalization is necessary. If the assignment is notarized or legalized then it will only be prima facie evidence of execution 35 USC 261. Use next page if notarization is desired.

☐ Notarization or Legalization Page Added.

330072_1.DOC

D:HOFFMANN & BARON, LLP COMPANY:6900 JERICHO TURNPIKE

**UNITED STATES PATENT AND TRADEMARK OFFICE**UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

501125483A

MARCH 22, 2010

PTAS

HOFFMANN & BARON, LLP
6900 JERICHO TURNPIKE
SYOSSET, NY 11791

MAR 23 2010

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 571-272-3350. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, MAIL STOP: ASSIGNMENT SERVICES BRANCH, P.O. BOX 1450, ALEXANDRIA, VA 22313.

RECORDATION DATE: 03/22/2010

REEL/FRAME: 024113/0500

NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).
DOCKET NUMBER: 1933-9

ASSIGNOR:

AFERZON, JOSEPH

DOC DATE: 02/25/2010

ASSIGNEE:

INTERNATIONAL SPINAL INNOVATIONS,
LLC
97 BALFOUR DRIVE
WEST HARTFORD, CONNECTICUT 06107

SERIAL NUMBER: 12714921

FILING DATE: 03/01/2010

PATENT NUMBER:

ISSUE DATE:

TITLE: MINIMALLY INVASIVE SURGICAL RETRACTOR WITH AN EXPANDED FIELD OF
VISION

D:HOFFMANN & BARON, LLP COMPANY:6900 JERICO TURNPIKE

024113/0500 PAGE 2

ASSIGNMENT SERVICES BRANCH
PUBLIC RECORDS DIVISION