

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

EPAS ID: PAT3013772

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	CHANGE OF NAME
CONVEYING PARTY DATA	
Name	Execution Date
UTC POWER CORPORATION	02/25/2013
RECEIVING PARTY DATA	
Name:	CLEAREDGE POWER CORPORATION
Street Address:	195 GOVERNOR'S HIGHWAY
City:	SOUTH WINDSOR
State/Country:	CONNECTICUT
Postal Code:	06074
PROPERTY NUMBERS Total: 39	
Property Type	Number
PCT Number:	US1267333
PCT Number:	US1261194
PCT Number:	US1242884
PCT Number:	US1271391
PCT Number:	US1271358
PCT Number:	US1261286
PCT Number:	US1254102
PCT Number:	US1320606
PCT Number:	US1262057
PCT Number:	US1271405
PCT Number:	US1267574
PCT Number:	US1321240
PCT Number:	US1253086
PCT Number:	US1270287
PCT Number:	US1239232
PCT Number:	US1253827
PCT Number:	US1252589
PCT Number:	US1326671
Application Number:	13567162
Application Number:	13735237
Application Number:	13589281

PATENT

Property Type	Number
Application Number:	13613188
Application Number:	13705448
Application Number:	13588066
Application Number:	61767384
Application Number:	13805073
Application Number:	13783820
Application Number:	13261523
Application Number:	13697879
Application Number:	13635425
Application Number:	13635423
Application Number:	13818873
Application Number:	13641723
Application Number:	13641132
Application Number:	13261510
Application Number:	13820790
Application Number:	13818366
Application Number:	13806152
Application Number:	13592937

CORRESPONDENCE DATA

Fax Number: (203)865-0297
Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Phone: 203-865-0297
Email: docket@bachlap.com
Correspondent Name: BACHMAN & LAPOINTE, P.C.
Address Line 1: 900 CHAPEL STREET
Address Line 2: SUITE 1201
Address Line 4: NEW HAVEN, CONNECTICUT 06510-2802

ATTORNEY DOCKET NUMBER:	CHANGE_OF_NAME
NAME OF SUBMITTER:	GEORGE A. COURY
SIGNATURE:	/george a. coury/
DATE SIGNED:	09/09/2014

Total Attachments: 12
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BACHMAN & LaPOINTE, P.C.
REGISTERED PATENT ATTORNEYS

SUITE 1201
900 CHAPEL STREET
NEW HAVEN, CONNECTICUT 06510-2802

GREGORY P. LAPOINTE
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ANDREW D. GATHY

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(203) 789-0582

September 9, 2014

U.S. Patent and Trademark Office
Assignment Recordation Branch
P.O. Box 1450
Alexandria, VA 22313

Re: Response to Notice of Non-recordation
Document ID No. 700516121

Dear Sir:

In response to the Notice of Non-Recordation mailed July 9, 2014, copy enclosed, we are resubmitting herewith the Change of Name for recordal.

The submission of June 25, 2014 was not acceptable because the cover sheet was not signed or dated. Also, the submission was by facsimile and there was no recording fee submitted with the document. Applicant submits the Notice of Non-recordation along with the change of name previously submitted on June 25, 2014 in order to maintain the original date of filing.

It is believed that no fee is due in connection with this paper due to the electronic filing. If any such fee is due please charge same to Deposit Account No. 02-0184.

Respectfully submitted,

By /george a. coury/
George A. Coury
Attorney for Applicant
Reg. No.: 34,309

Tel: 203-777-6628
Fax: 203-865-0297

Date: September 9, 2014



UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

July 09, 2014

PTAS

GEORGE A. COURY
SUITE 1201
900 CHAPEL STREET
NEW HAVEN, CONNECTICUT 06510-2802



700516121

United States Patent and Trademark Office
Notice of Non-Recordation of an Assignment Document

The enclosed document has been examined and found non-recordable by the Assignment Recordation Branch of the U.S. Patent and Trademark Office. The reason(s) for non-recordation are stated below:

1. A recording fee was not submitted with this document. Authorization to charge was not granted.
2. The cover sheet submitted for recording is not acceptable. The person submitting the document must sign and date the document.
3. Only online filing is free for recording.

Documents being resubmitted for recordation must reflect the corrected information to be recorded, the Document ID number referenced above and all pages from this submitted document. The original date of filing of this assignment document will be maintained if resubmitted with the appropriate correction(s) by **Tuesday, September 9, 2014**, as outlined under 37 CFR 3.51. The resubmitted document must include a stamp with the official date of receipt under 37 CFR 3. Applicants may use the certified procedures under 37 CFR 1.8 or 1.10 for resubmission of the returned papers if they desire to have the benefit of the date of deposit in the United States Postal Service.

Send documents to: U.S. Patent and Trademark Office, Mail Stop: Assignment Recordation Branch, P.O. BOX 1450, Alexandria, VA 22313. If you have any questions regarding this notice, you may contact the Assignment Recordation Branch at 571-272-3350.

TONYA LEE
ASSIGNMENT RECORDATION BRANCH
PUBLIC RECORDS DIVISION

06/25/14 10:46 FAX 203 789 0582

~~700516121~~ ~~06/25/2014~~

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06/25/2014
700516121

Form PTO-1596 (Rev. 06-12)
OMB No. 0651-0027 (exp. 04/30/2015)

U.S. DEPARTMENT OF COMMERCE
United States Patent and Trademark Office

**RECORDATION FORM COVER SHEET
PATENTS ONLY**

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies) UTC Power Corporation Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		2. Name and address of receiving party(ies) Name: <u>ClearEdge Power Corporation</u> Internal Address: _____ Street Address: <u>195 Governor's Highway</u> City: <u>South Windsor</u> State: <u>Connecticut</u> Country: <u>U.S.</u> Zip: <u>06074</u> Additional name(s) & address(es) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
3. Nature of conveyance/Execution Date(s): Execution Date(s) <u>February 25, 2013</u> <input type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input checked="" type="checkbox"/> Change of Name <input type="checkbox"/> Joint Research Agreement <input type="checkbox"/> Government Interest Assignment <input type="checkbox"/> Executive Order 9424, Confirmatory License <input type="checkbox"/> Other		4. Application or patent number(s): <input type="checkbox"/> This document serves as an Oath/Declaration (37 CFR 1.63). A. Patent Application No.(s) See attached B. Patent No.(s) See attached Additional numbers attached? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
5. Name and address to whom correspondence concerning document should be mailed: Name: <u>George A. Coury</u> Internal Address: <u>Suite 1201</u> Street Address: <u>900 Chapel Street</u> City: <u>New Haven</u> State: <u>Connecticut</u> Zip: <u>06510-2802</u> Phone Number: <u>203-777-6628</u> Docket Number: _____ Email Address: <u>docket@bachlap.com</u>		6. Total number of applications and patents involved: <u>29</u> 7. Total fee (37 CFR 1.21(h) & 3.41) \$ _____ <input type="checkbox"/> Authorized to be charged to deposit account <input type="checkbox"/> Enclosed <input checked="" type="checkbox"/> None required (government interest not affecting title)	
9. Signature: _____ Signature George A. Coury Name of Person Signing		8. Payment Information Deposit Account Number _____ Authorized User Name _____ June 25, 2014 Date Total number of pages including cover sheet, attachments, and documents: 7	

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to: Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1480, Alexandria, V.A. 22313-1480

06/25/14 10:46 FAX 203 789 0582

BACHMAN LAPOINTE

007

FILE #	COUNTRY	APPLICATION #	STATUS
PA-0023517-WO	PCT	PCT/US12/87333	Pending
PA-0012784-WO	PCT	PCT/US12/81194	Pending
PA-0016358-WO	PCT	PCT/US12/42884	Pending
PA-0017780-WO	PCT	PCT/US12/71391	Pending
PA-0017786-WO	PCT	PCT/US12/71358	Pending
PA-0018177-WO	PCT	PCT/US12/61286	Pending
PA-0018861-WO	PCT	PCT/US12/54102	Pending
PA-0019757-WO	PCT	PCT/US13/20606	Pending
PA-0021915-WO	PCT	PCT/US12/62057	Pending
PA-0022315-WO	PCT	PCT/US12/71405	Pending
PA-0023488-WO	PCT	PCT/US12/87574	Pending
PA-0008146-WO	PCT	PCT/US2013/021240	Pending
PA-0017742-WO	PCT	PCT/US12/53086	Pending
PA-0018690-WO	PCT	PCT/US12/70287	Pending
PA-0019457-WO	PCT	PCT/US12/39232	Pending
PA-0019565-WO	PCT	PCT/US12/53827	Pending
PA-0021389-WO	PCT	PCT/US12/52589	Pending
PA-0021895-WO	PCT	PCT/US13/26671	Pending

06/25/14 10:46 FAX 203 789 0582

BACHMAN LAPOINTE

008

FILE #	COUNTRY	APPLICATION #	STATUS
PA-0019213-US	United States of America	13/567,162	Pending
PA-0018980-US	United States of America	13/735,237	Pending
PA-0015101-US	United States of America	13/589,281	Pending
PA-0019561-US	United States of America	13/613,188	Pending
PA-0018188-US	United States of America	13/705,448	Pending
PA-0021919-US	United States of America	13/588,066	Pending
PA-0009792-US	United States of America	61/787,384	Pending
PA-0005171-US	United States of America	13/805,073	Pending
PA-0006457-US-AA	United States of America	13/783,820	Pending
PA-0007296-US	United States of America	13/261,523	Pending
PA-0007893-US	United States of America	13/697,879	Pending
PA-0008148-US	United States of America	13/635,425	Pending
PA-0008290-US	United States of America	13/635,423	Pending
PA-0008400-US	United States of America	13/818,873	Pending
PA-0009239-US	United States of America	13/641,723	Pending
PA-0009975-US	United States of America	13/641,132	Pending
PA-0011103-US	United States of America	13/261,510	Pending
PA-0013494-US	United States of America	13/820,790	Pending
PA-0014117-US	United States of America	13/818,366	Pending
PA-062.05712-US	United States of America	13/806,152	Pending
PA-079.02952-US-H	United States of America	13/592,937	Pending

Delaware

PAGE 1

The First State

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE DO HEREBY CERTIFY THAT THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF CONVERSION OF A DELAWARE CORPORATION UNDER THE NAME OF "CLEAREEDGE POWER CORPORATION" TO A DELAWARE LIMITED LIABILITY COMPANY, CHANGING ITS NAME FROM "CLEAREEDGE POWER CORPORATION" TO "CLEAREEDGE POWER, LLC", FILED IN THIS OFFICE ON THE TWENTY-SECOND DAY OF JANUARY, A.D. 2014, AT 12:30 O'CLOCK P.M.

2888666 8100V

140073531

You may verify this certificate online
at corp.delaware.gov/authver.shtml




Jeffrey W. Bullock, Secretary of State
AUTHENTICATION: 1096805

DATE: 01-29-14


PATENT
REEL: 033695 FRAME: 0357

STATE OF DELAWARE
CERTIFICATE OF CONVERSION
FROM A CORPORATION TO A
LIMITED LIABILITY COMPANY PURSUANT TO
SECTION 18-214 OF THE LIMITED LIABILITY ACT

Pursuant to Section 266 of the Delaware General Corporation Law and Section 18-214 of the Delaware Limited Liability Company Act, the undersigned does hereby certify on this 21st day of January, 2014, as follows:

- 1.) The jurisdiction where the Corporation first formed is the **State of Delaware**.
- 2.) The jurisdiction immediately prior to filing this Certificate is the **State of Delaware**.
- 3.) The date the corporation first formed is December 21, 2006.
- 4.) The name of the Corporation immediately prior to filing this Certificate is **ClearEdge Power Corporation**.
- 5.) The name of the Limited Liability Company as set forth in the Certificate of Formation is **ClearEdge Power, LLC**.

IN WITNESS WHEREOF, the undersigned has executed this Certificate of Conversion as of the date first written above.

By: 
Name: Gloria Fan
Title: Authorized Signatory

State of Delaware
Secretary of State
Division of Corporations
Delivered 12:21 PM 01/23/2014
FILED 12:30 PM 01/22/2014
SRV 140073531 - 2888666 FILE

STATE OF DELAWARE

WAIVER OF REQUIREMENT
FOR AFFIDAVIT OF EXTRAORDINARY CONDITION

It appears to the Secretary of State that an earlier effort to deliver this instrument and tender such taxes and fees was made in good faith on the file date stamped hereto. The Secretary of State has determined that an extraordinary condition (as reflected in the records of the Secretary of State) existed at such date and time and that such earlier effort was unsuccessful as a result of the existence of such extraordinary condition, and that such actual delivery and tender were made within a reasonable period (not to exceed two business days) after the cessation of such extraordinary condition and establishes such date and time as the filing date of such instrument.

Jeffrey W. Bullock
Jeffrey W. Bullock
Secretary of State

Delaware

PAGE 2

The First State

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE DO HEREBY CERTIFY THAT THE ATTACHED IS A TRUE AND CORRECT COPY OF CERTIFICATE OF FORMATION OF "CLEAREDDGE POWER, LLC" FILED IN THIS OFFICE ON THE TWENTY-SECOND DAY OF JANUARY, A.D. 2014, AT 12:30 O'CLOCK P.M.



2888666 8100V

140073531

You may verify this certificate online
at corp.delaware.gov/authver.shtml


Jeffrey W. Bullock, Secretary of State
AUTHENTICATION: 1096805

DATE: 01-29-14

PATENT
REEL: 033695 FRAME: 0360

State of Delaware
Secretary of State
Division of Corporations
Delivered 12:21 PM 01/23/2014
FILED 12:30 PM 01/22/2014
SRV 140073531 - 2888666 FILE

CERTIFICATE OF FORMATION

OF

ClearEdge Power, LLC

This Certificate of Formation of ClearEdge Power, LLC (the "Company") is being duly executed and filed by Gloria Fan, as an authorized person, to form a limited liability company pursuant to the provisions of the Delaware Limited Liability Company Act (6 Del. C. §18-201, *et seq.*).

FIRST: The name of the limited liability company formed hereby is ClearEdge Power, LLC.

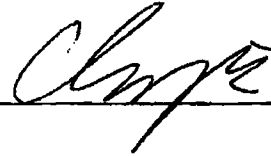
SECOND: The address of the registered office of the Company in the State of Delaware is c/o The Corporation Trust Company, Corporation Trust Center, 1209 Orange Street, City of Wilmington, County of New Castle, Delaware 19801.

THIRD: The name and address of the registered agent for service of process on the Company in the State of Delaware is The Corporation Trust Company, Corporation Trust Center, 1209 Orange Street, City of Wilmington, County of New Castle, Delaware 19801.

IN WITNESS WHEREOF, the undersigned has executed this Certificate
of Formation this 21st day of January, 2014.

Gloria Fan

By: _____

A handwritten signature in cursive script, appearing to read 'Gloria Fan', is written over a horizontal line.

State of Delaware
Secretary of State
Division of Corporations
Delivered 12:21 PM 01/23/2014
FILED 12:30 PM 01/22/2014
SRV 140073531 - 2888666 FILE

STATE OF DELAWARE

WAIVER OF REQUIREMENT
FOR AFFIDAVIT OF EXTRAORDINARY CONDITION

It appears to the Secretary of State that an earlier effort to deliver this instrument and tender such taxes and fees was made in good faith on the file date stamped hereto. The Secretary of State has determined that an extraordinary condition (as reflected in the records of the Secretary of State) existed at such date and time and that such earlier effort was unsuccessful as a result of the existence of such extraordinary condition, and that such actual delivery and tender were made within a reasonable period (not to exceed two business days) after the cessation of such extraordinary condition and establishes such date and time as the filing date of such instrument.

Jeffrey W. Bullock
Jeffrey W. Bullock
Secretary of State