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S-131,887

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)

NOVOZYMES, INC.
1445 DREW AVENUE
DAVIS, CA 95688

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) 04/04/2013

- ☐ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Joint Research Agreement
☐ Government Interest Assignment
☒ Executive Order 9424, Confirmatory License
☐ Other _____

2. Name and address of receiving party(ies)

Name: United States Department of Energy

Internal Address: _____

Street Address: 1000 Independence Ave., S.W.

City: Washington

State: D.C.

Country: USA Zip: 20585

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

A. Patent Application No.(s)

13/876,788

☐ This document is being filed together with a new application.

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: James B. Potts

Internal Address: U. S. Dept. of Energy, IPLD

Street Address: 9800 S. Cass Ave

City: Argonne

State: IL Zip: 60439

Phone Number: 630-252-2166

Fax Number: 630-252-2779

Email Address: Confirmatory.License@ch.doe.gov

6. Total number of applications and patents involved: 1**7. Total fee (37 CFR 1.21(h) & 3.41) \$ _____**

- ☐ Authorized to be charged by credit card
☐ Authorized to be charged to deposit account
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☒ None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers _____
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Signature 

9/10/14
Date

James B. Potts #58,316

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

2

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 Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

PATENT**REEL: 033716 FRAME: 0486**

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CONFIRMATORY LICENSE

Title of Invention:

Variants of polypeptides having cellulolytic enhancing activity and polynucleotides encoding same

Inventor(s):

Matthew Sweeney, Mark Wogulis

Patent Application Serial No:

13/876,788

Filing Date 03-28-2013

Patent Number:

Issue Date:

Name of Contractor (i.e. Contractor, Subcontractor, Grant, or Cooperative Agreement, as applicable):

Name of Contractor: Novozymes, Inc.

DOE Contract No. (i.e. Contract, Subcontract, Grant, or Cooperative Agreement, as applicable):

DE-FC36-08GO18080

DOE Case No.: S-

Contractor Docket No.: 12034-US-PCT

The Contractor has reported the above-identified invention as a Subject Invention to DOE and has elected to retain title thereto.

Accordingly, this document is confirmatory of the nonexclusive, nontransferable, irrevocable, paid-up, worldwide license in this subject invention, patent application and any resulting patent, including any continuation, divisional, reissue, or supplemental application thereof, to practice or to have practiced for or on behalf of the United States throughout the world; and of all other rights acquired by the Government by the patent rights clause in the above-identified contract.

It is understood and agreed that this license does not preclude the Government from asserting rights under the provisions of said contract or of any other agreement between the Government and the Contractor, or from asserting any other rights of the Government with respect to the above-identified subject invention.

The Government is hereby granted an irrevocable power to inspect and make copies of the above-identified patent application.

Signed on:

April 4, 2013

Contractor Name Novozymes, Inc.

Official's Name Alan Berry

Official's Title President

Business Address 1445 Drew Avenue, Davis, CA 95688

PATENT

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