

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

EPAS ID: PAT3020655

SUBMISSION TYPE:	CORRECTIVE ASSIGNMENT
NATURE OF CONVEYANCE:	Corrective Assignment to correct the ASSIGNEE previously recorded on Reel 033710 Frame 0570. Assignor(s) hereby confirms the FROM "MIAMI INTERNATIONAL SECURITIES EXCHANGE" TO --MIAMI INTERNATIONAL SECURITIES EXCHANGE, LLC--.
CONVEYING PARTY DATA	
Name	Execution Date
KEVIN MUELLER	07/29/2014
PAUL FOST	08/04/2014
MARC HAMPSHIRE	07/25/2014
DAVID SEIDMAN	07/25/2014
DAVID LEHMANN	07/25/2014
AMY NEILEY	07/25/2014
VINAY RAO	07/25/2014
MATTHEW ROTELLA	07/31/2014
DOUGLAS SCHAFER	07/25/2014
SRINIVAS NIMMA	08/19/2014
ROBERT STRAUB	08/04/2014
MUNA TANG	07/29/2014
GREGORY MILLER	09/03/2014
GANESH CHITTIMALLA	07/29/2014
RECEIVING PARTY DATA	
Name:	MIAMI INTERNATIONAL SECURITIES EXCHANGE, LLC
Street Address:	7 ROSZEL ROAD
City:	PRINCETON
State/Country:	NEW JERSEY
Postal Code:	08540
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	14099282
CORRESPONDENCE DATA	
Fax Number:	(646)588-1586
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	212-883-4991
PATENT	

Email: cconstantino@cozen.com
Correspondent Name: COZEN O'CONNOR
Address Line 1: 277 PARK AVENUE
Address Line 4: NEW YORK, NEW YORK 10172

ATTORNEY DOCKET NUMBER:	6122-5
NAME OF SUBMITTER:	CARL B. WISCHHUSEN
SIGNATURE:	/Carl B. Wischhusen/
DATE SIGNED:	09/12/2014

Total Attachments: 18

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PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT3016559

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

CONVEYING PARTY DATA

Name	Execution Date
KEVIN MUELLER	07/29/2014
PAUL FOST	08/04/2014
MARC HAMPSHIRE	07/25/2014
DAVID SEIDMAN	07/25/2014
DAVID LEHMANN	07/25/2014
AMY NEILEY	07/25/2014
VINAY RAO	07/25/2014
MATTHEW ROTELLA	07/31/2014
DOUGLAS SCHAFER	07/25/2014
SRINIVAS NIMMA	08/19/2014
ROBERT STRAUB	08/04/2014
MUNA TANG	07/29/2014
GREGORY MILLER	09/03/2014
GANESH CHITTIMALLA	07/29/2014

RECEIVING PARTY DATA

Name:	MIAMI INTERNATIONAL SECURITIES EXCHANGE
Street Address:	7 ROSZEL ROAD
City:	PRINCETON
State/Country:	NEW JERSEY
Postal Code:	08540

PROPERTY NUMBERS Total: 1

Property Type	Number
Application Number:	14099282

CORRESPONDENCE DATA

Fax Number: (646)588-1586
Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.
 Phone: 212-883-4991
 Email: cconstantino@cozen.com
 Correspondent Name: COZEN O'CONNOR

ASSIGNMENT

In consideration of One Dollar (\$1.00), and other good and valuable consideration, the receipt of which is hereby acknowledged, We the undersigned, **Kevin MUELLER; Paul FOST; Marc HAMPSHIRE; David SEIDMAN; David LEHMANN; Amy NEILEY; Vinay RAO; Matthew ROTELLA; Douglas SCHAFER; Srinivas NIMMA; Robert STRAUB; and Muna TANG** (hereinafter referred to as "ASSIGNOR"):

Hereby sell, assign and transfer to **Miami International Securities Exchange, LLC** (hereinafter referred to as "ASSIGNEE"), a corporation of New Jersey, having a place of business at 7 Roszel Road, Princeton, New Jersey 08540 USA, respectively, its successors, assigns and legal representatives, the entire right, title and interest for the United States and all foreign countries, in and to the invention which is disclosed in the application for United States Letters Patent, which was filed on December 6, 2013 and accorded U.S. Patent Application No. 14/099,282, and which is entitled

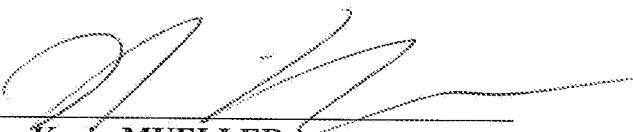
Systems And Methods For Testing A Financial Trading System

and in and to said application and all provisional, divisional, continuing, substitute, renewal, reissue, and all other applications for Letters Patent which have been or shall be filed in the United States and all foreign countries on any of said invention (including Patent Cooperation Treaty International Application No. PCT/US2013/073580, filed December 6, 2013, and all national and regional stage applications stemming therefrom); and in and to all original and reissued patents which have been or shall be issued in the United States and all foreign countries on said invention;

Agree that said Assignee may apply for and receive Letters Patent for said invention in its own name, and that, when requested, without charge to but at the expense of said Assignee, its successors, assigns and legal representatives, to carry out in good faith the intent

and purpose of this assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all said invention; execute all rightful oaths, assignments, powers of attorney and other papers, communicate to said Assignee, its successors, assigns, and representatives, all facts known to the undersigned relating to said invention and the history thereof; and generally do everything possible which said Assignee, its successors, assigns or representatives shall consider desirable for aiding in securing and maintaining proper patent protection for said invention and for vesting title to said invention and all applications for patents and all patents on said invention, in said Assignee, its successors, assigns and legal representatives; and

Covenant with said Assignee, its successors, assigns and legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.


Kevin MUELLER

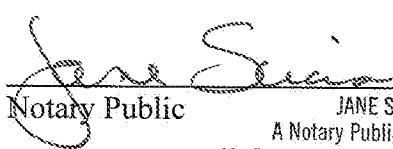
Date: 7/29/14

State of New Jersey)

)ss.

County of Mercer)

Before me this 29th day of July, 2014, personally appeared **Kevin MUELLER** to me personally known to be the person who is described in and who executed the above instrument, and acknowledged to me that he executed the same of his own free will for the purpose therein set forth.


Notary Public

JANE SCIARRA
A Notary Public of New Jersey
My Commission Expires October 27, 2014.



Paul FOST

Date: 8/4/14

State of New Jersey)

)ss.

County of Mercer)

Before me this 8th day of August, 2014, personally appeared **Paul FOST** to me personally known to be the person who is described in and who executed the above instrument, and acknowledged to me that he executed the same of his own free will for the purpose therein set forth.



Notary Public

JANE SCIARRA
A Notary Public of New Jersey
My Commission Expires October 27, 2014



Marc HAMPSHIRE

Date: July 25, 2014

State of New Jersey)

)ss.

County of Mercer)

Before me this 25th day of July, 2014, personally appeared **Marc HAMPSHIRE** to me personally known to be the person who is described in and who executed the above instrument, and acknowledged to me that he executed the same of his own free will for the purpose therein set forth.



Notary Public

JANE SCIARRA
A Notary Public of New Jersey
My Commission Expires October 27, 2014

**David SEIDMAN**

Date:

7/25/2014

State of New Jersey

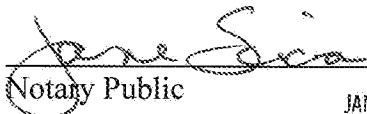
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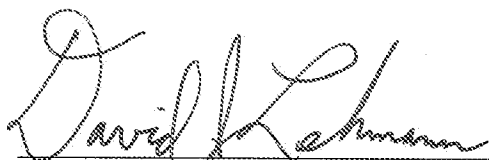
County of Mercer

)

Before me this 25th day of July, 2014, personally appeared **David SEIDMAN** to me personally known to be the person who is described in and who executed the above instrument, and acknowledged to me that he executed the same of his own free will for the purpose therein set forth.


Notary Public

JANE SCIARRA
A Notary Public of New Jersey
My Commission Expires October 27, 2014

**David LEHMANN**

Date:

7/25/2014

State of New Jersey

)

)ss.

County of Mercer

)

Before me this 25th day of July, 2014, personally appeared **David LEHMANN** to me personally known to be the person who is described in and who executed the above instrument, and acknowledged to me that he executed the same of his own free will for the purpose therein set forth.


Notary Public

JANE SCIARRA
A Notary Public of New Jersey
My Commission Expires October 27, 2014

Amy NEILEY
Amy NEILEY

Date: 7/25/14

State of New Jersey)

)ss.

County of Mercer)

Before me this 25th day of July, 2014, personally appeared Amy NEILEY to me personally known to be the person who is described in and who executed the above instrument, and acknowledged to me that he executed the same of his own free will for the purpose therein set forth.

Jane Sciarra
Notary Public

JANE SCIARRA
A Notary Public of New Jersey
My Commission Expires October 27, 2014

Vinay RAO
Vinay RAO

Date: 7/25/2014

State of New Jersey)

)ss.

County of Mercer)

Before me this 25th day of July, 2014, personally appeared Vinay RAO to me personally known to be the person who is described in and who executed the above instrument, and acknowledged to me that he executed the same of his own free will for the purpose therein set forth.

Jane Sciarra
Notary Public

JANE SCIARRA
A Notary Public of New Jersey
My Commission Expires October 27, 2014

Matthew ROTELLA
Matthew ROTELLA

Date: 7/31/14

State of New Jersey)

)ss.

County of Mercer)

Before me this 31st day of July, 2014, personally appeared **Matthew ROTELLA** to me personally known to be the person who is described in and who executed the above instrument, and acknowledged to me that he executed the same of his own free will for the purpose therein set forth.

Jane Sciarra
Notary Public
JANE SCIARRA
A Notary Public of New Jersey
My Commission Expires October 27, 2014

Douglas SCHAFER
Douglas SCHAFER

Date: 7/25/14

State of New Jersey)

)ss.

County of Mercer)

Before me this 25th day of July, 2014, personally appeared **Douglas SCHAFER** to me personally known to be the person who is described in and who executed the above instrument, and acknowledged to me that he executed the same of his own free will for the purpose therein set forth.

Jane Sciarra
Notary Public
JANE SCIARRA
A Notary Public of New Jersey
My Commission Expires October 27, 2014

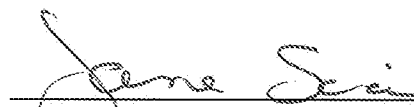
**Srinivas NIMMA**Date: 08/19/14

State of New Jersey)

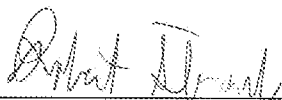
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County of Mercer)

Before me this 19th day of August, 2014, personally appeared **Srinivas NIMMA** to me personally known to be the person who is described in and who executed the above instrument, and acknowledged to me that he executed the same of his own free will for the purpose therein set forth.


Notary Public

JANE SCIARRA
A Notary Public of New Jersey
My Commission Expires October 27, 2014


**Robert STRAUB**Date: Aug 4, 2014

State of New Jersey)

)ss.

County of Mercer)

Before me this 4th day of August, 2014, personally appeared **Robert STRAUB** to me personally known to be the person who is described in and who executed the above instrument, and acknowledged to me that he executed the same of his own free will for the purpose therein set forth.


Notary Public

JANE SCIARRA
A Notary Public of New Jersey
My Commission Expires October 27, 2014



Muna TANG

Date: 7/29/2014

State of New Jersey

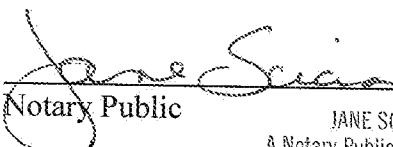
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County of Mercer

)

Before me this 29th day of July, 2014, personally appeared **Muna TANG** to me personally known to be the person who is described in and who executed the above instrument, and acknowledged to me that he executed the same of his own free will for the purpose therein set forth.



Notary Public
JANE SCIARRA
A Notary Public of New Jersey
My Commission Expires October 27, 2014

ASSIGNMENT

In consideration of One Dollar (\$1.00), and other good and valuable consideration, the receipt of which is hereby acknowledged, I the undersigned, **Gregory MILLER** (hereinafter referred to as "ASSIGNOR"):

Hereby sell, assign and transfer to **Miami International Securities Exchange, LLC** (hereinafter referred to as "ASSIGNEE"), a corporation of New Jersey, having a place of business at 7 Roszel Road, Princeton, New Jersey 08540 USA, respectively, its successors, assigns and legal representatives, the entire right, title and interest for the United States and all foreign countries, in and to the invention which is disclosed in the application for United States Letters Patent, which was filed on December 6, 2013 and accorded U.S. Patent Application No. 14/099,282, and which is entitled

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and in and to said application and all provisional, divisional, continuing, substitute, renewal, reissue, and all other applications for Letters Patent which have been or shall be filed in the United States and all foreign countries on any of said invention (including Patent Cooperation Treaty International Application No. PCT/US2013/073580, filed December 6, 2013, and all national and regional stage applications stemming therefrom); and in and to all original and reissued patents which have been or shall be issued in the United States and all foreign countries on said invention;

Agree that said Assignee may apply for and receive Letters Patent for said invention in its own name, and that, when requested, without charge to but at the expense of said Assignee, its successors, assigns and legal representatives, to carry out in good faith the intent and purpose of this assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all said invention;

execute all rightful oaths, assignments, powers of attorney and other papers, communicate to said Assignee, its successors, assigns, and representatives, all facts known to the undersigned relating to said invention and the history thereof; and generally do everything possible which said Assignee, its successors, assigns or representatives shall consider desirable for aiding in securing and maintaining proper patent protection for said invention and for vesting title to said invention and all applications for patents and all patents on said invention, in said Assignee, its successors, assigns and legal representatives; and

Covenant with said Assignee, its successors, assigns and legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

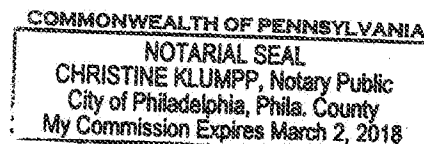
Gregory Miller
Gregory MILLER

Date: Sep 3, 2014

State of Pennsylvania)
County of Philadelphia) ss.

Before me this 3rd day of September, 2014, personally appeared **Gregory MILLER** to me personally known to be the person who is described in and who executed the above instrument, and acknowledged to me that he executed the same of his own free will for the purpose therein set forth.

Christine Klumpp
Notary Public



ASSIGNMENT

In consideration of One Dollar (\$1.00), and other good and valuable consideration, the receipt of which is hereby acknowledged, I the undersigned, **Ganesh CHITTIMALLA** (hereinafter referred to as "ASSIGNOR"):

Hereby sell, assign and transfer to **Miami International Securities Exchange, LLC** (hereinafter referred to as "ASSIGNEE"), a corporation of New Jersey, having a place of business at 7 Roszel Road, Princeton, New Jersey 08540 USA, respectively, its successors, assigns and legal representatives, the entire right, title and interest for the United States and all foreign countries, in and to the invention which is disclosed in the application for United States Letters Patent, which was filed on December 6, 2013 and accorded U.S. Patent Application No. 14/099,282, and which is entitled

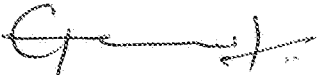
Systems And Methods For Testing A Financial Trading System

and in and to said application and all provisional, divisional, continuing, substitute, renewal, reissue, and all other applications for Letters Patent which have been or shall be filed in the United States and all foreign countries on any of said invention (including Patent Cooperation Treaty International Application No. PCT/US2013/073580, filed December 6, 2013, and all national and regional stage applications stemming therefrom); and in and to all original and reissued patents which have been or shall be issued in the United States and all foreign countries on said invention;

Agree that said Assignee may apply for and receive Letters Patent for said invention in its own name, and that, when requested, without charge to but at the expense of said Assignee, its successors, assigns and legal representatives, to carry out in good faith the intent and purpose of this assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all said invention;

execute all rightful oaths, assignments, powers of attorney and other papers, communicate to said Assignee, its successors, assigns, and representatives, all facts known to the undersigned relating to said invention and the history thereof; and generally do everything possible which said Assignee, its successors, assigns or representatives shall consider desirable for aiding in securing and maintaining proper patent protection for said invention and for vesting title to said invention and all applications for patents and all patents on said invention, in said Assignee, its successors, assigns and legal representatives; and

Covenant with said Assignee, its successors, assigns and legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.



Ganesh CHITTIMALLA

Date: 07/29/2014

State of Florida)
)ss.
County of Hillsborough)

Before me this 29th day of July, 2014, personally appeared **Ganesh CHITTIMALLA** to me personally known to be the person who is described in and who executed the above instrument, and acknowledged to me that he executed the same of his own free will for the purpose therein set forth.

Verified Driver's License
C 354-280-75-329-0



Notary Public

