

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT3021485

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
MICHAEL POPLAVSKI	08/26/2014
SCOTT SCHUMACHER	08/26/2014
PRACHI SNEHAL	08/27/2014
SEAN J. WELLECK	08/26/2014
ALAN XIA	08/26/2014
YINLE ZHOU	08/27/2014
RECEIVING PARTY DATA	
Name:	INTERNATIONAL BUSINESS MACHINES CORPORATION
Street Address:	NEW ORCHARD ROAD
City:	ARMONK
State/Country:	NEW YORK
Postal Code:	10504
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	14485667
CORRESPONDENCE DATA	
Fax Number:	(407)343-7587
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	4073437586
Email:	mld@mindspring.com
Correspondent Name:	LAW OFFICE OF MARCIA L. DOUBET, P. L.
Address Line 1:	P.O. BOX 422859
Address Line 4:	KISSIMMEE, FLORIDA 34742-2859
ATTORNEY DOCKET NUMBER:	AUS920140234US2
NAME OF SUBMITTER:	MARCIA L. DOUBET
SIGNATURE:	/Marcia L. Doubet/
DATE SIGNED:	09/13/2014
This document serves as an Oath/Declaration (37 CFR 1.63).	
Total Attachments: 14	

PATENT

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RECORDATION FORM COVER SHEET
PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)

see attached

Additional name(s) of conveying party(ies) attached? ☒ Yes ☐ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) see attached

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Joint Research Agreement
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other _____

2. Name and address of receiving party(ies)

Name: International Business Machines Corporation

Internal Address: _____

Street Address: New Orchard Road

City: Armonk

State: NY

Country: US Zip: 10504

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

☒ This document serves as an Oath/Declaration (37 CFR 1.63).

A. Patent Application No.(s)

B. Patent No.(s)

14/485,667

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Law Office of Marcia L. Doubet, P. L.

Internal Address: _____

Street Address: P. O. Box 422859

City: Kissimmee

State: FL Zip: 34742-2859

Phone Number: 407-343-7586

Docket Number: AUS920140234US2

Email Address: mld@mindspring.com

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 0

- ☐ Authorized to be charged to deposit account
☐ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

Deposit Account Number _____

Authorized User Name _____

9. Signature: /Marcia L. Doubet/

September 13, 2014

Signature

Date

Marcia L. Doubet

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

14

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

Recordation Form Cover Sheet

Conveying Parties

Execution Date

(1) Michael Poplavski

August 26, 2014

(2) Scott Schumacher

August 26, 2014

(3) Prachi Snehal

August 27, 2014

(4) Sean J. Welleck

August 26, 2014

(5) Alan Xia

August 26, 2014

(6) Yinle Zhou

August 27, 2014

**DECLARATION (37 C.F.R. 1.63) FOR UTILITY PATENT APPLICATION USING AN
APPLICATION DATA SHEET (37 C.F.R. 1.76) AND ASSIGNMENT**

**Title of Invention: Generating Weights for Biometric Tokens in Probabilistic Matching
Systems**

As a below named and undersigned inventor, I hereby declare that:

This declaration is directed to the attached application, or (if following box is checked):

☐ United States application or PCT international application number _____
filed on _____.

The above-identified application was made or authorized to be made by me.

I believe that I am the original inventor or an original joint inventor of a claimed invention in the application.

I have reviewed and understand the contents of the application, including the claims.

I am aware of the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in 37 CFR Section 1.56.

Whereas, I have made certain inventions, improvements, and discoveries (herein referred to as the "Invention") disclosed in the above-identified patent application and further identified by the IBM Docket Number provided above in the header of this document;

Whereas, International Business Machines Corporation, a corporation of New York having a place of business at Armonk, New York (herein referred to as "IBM"), desires to acquire, and I desire to grant to IBM, my entire worldwide right, title, and interest in and to the Invention and in and to any and all patent applications and patents directed thereto;

Now, therefore, for good and valuable consideration, the receipt and sufficiency thereof being hereby acknowledged, I ("ASSIGNOR") hereby sell or have sold, assign or have assigned, and otherwise transfer or have transferred to IBM ("the ASSIGNEE"), its successors, legal representatives, and assigns, my entire worldwide right, title, and interest in and to the Invention, the above-identified United States patent application, and any and all other patent applications and patents for the Invention which may be applied for or granted therefor in the United States and in all foreign countries and jurisdictions, including all divisions, continuations, reissues, reexaminations, renewals, extensions, counterparts, substitutes, and extensions thereof, and all rights of priority resulting from the filing of such applications and granting of such patents. In addition, I hereby authorize and request the Director of the United States Patent and Trademark Office to issue any United States Patent, and foreign patent authorities to issue any foreign patent, granted for the Invention, to IBM, its successors, legal representatives, and assigns, my entire worldwide right, title, and interest in and to the same to be held and enjoyed by IBM, its successors, legal representatives, and assigns to the full end of the terms for which any and all such patents may be granted, as fully and entirely as would have been held and enjoyed by me had this Assignment not been made; and I agree to execute any and all documents and instruments and perform all lawful acts reasonably related to recording this Assignment or perfecting title to the Invention and all related patents and applications, in IBM, its successors, legal representatives, and assigns, whenever requested by IBM, its successors, legal

representatives, or assigns.

I acknowledge my prior and ongoing obligations to sell, assign, and transfer my rights under this Assignment to IBM and am unaware of any reason why I may not have the full and unencumbered right to sell, assign, and transfer my rights hereby sold, assigned, and transferred, and have not executed, and will not execute, any document or instrument in conflict herewith. I also hereby grant IBM, its successors, legal representatives, and assigns, the right to insert in this Assignment any further identification (including, but not limited to, patent Application Number) which may be necessary or desirable for recordation of this Assignment. This Assignment is governed by the substantive laws of the State of New York, and any disputes will be resolved in a New York state court or federal court sited in New York.

I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.

(1) Legal Name of Inventor: Michael Poplavski

Signature:  Date: 8/26/14

(2) Legal Name of Inventor: Scott Schumacher

Signature: _____ Date: _____


(3) Legal Name of Inventor: Prachi Snehal

Signature: _____ Date: _____

(4) Legal Name of Inventor: Sean J. Welleck

Signature:  Date: 8/26/14

(5) Legal Name of Inventor: Alan Xia

Signature:  Date: 8/26/14

(6) Legal Name of Inventor: Yinle Zhou

Signature: _____ Date: _____

**DECLARATION (37 C.F.R. 1.63) FOR UTILITY PATENT APPLICATION USING AN
APPLICATION DATA SHEET (37 C.F.R. 1.76) AND ASSIGNMENT**

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Systems**

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filed on _____.

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Now, therefore, for good and valuable consideration, the receipt and sufficiency thereof being hereby acknowledged, I ("ASSIGNOR") hereby sell or have sold, assign or have assigned, and otherwise transfer or have transferred to IBM ("the ASSIGNEE"), its successors, legal representatives, and assigns, my entire worldwide right, title, and interest in and to the Invention, the above-identified United States patent application, and any and all other patent applications and patents for the Invention which may be applied for or granted therefor in the United States and in all foreign countries and jurisdictions, including all divisions, continuations, reissues, reexaminations, renewals, extensions, counterparts, substitutes, and extensions thereof, and all rights of priority resulting from the filing of such applications and granting of such patents. In addition, I hereby authorize and request the Director of the United States Patent and Trademark Office to issue any United States Patent, and foreign patent authorities to issue any foreign patent, granted for the Invention, to IBM, its successors, legal representatives, and assigns, my entire worldwide right, title, and interest in and to the same to be held and enjoyed by IBM, its successors, legal representatives, and assigns to the full end of the terms for which any and all such patents may be granted, as fully and entirely as would have been held and enjoyed by me had this Assignment not been made; and I agree to execute any and all documents and instruments and perform all lawful acts reasonably related to recording this Assignment or perfecting title to the Invention and all related patents and applications, in IBM, its successors, legal representatives, and assigns, whenever requested by IBM, its successors, legal

representatives, or assigns.

I acknowledge my prior and ongoing obligations to sell, assign, and transfer my rights under this Assignment to IBM and am unaware of any reason why I may not have the full and unencumbered right to sell, assign, and transfer my rights hereby sold, assigned, and transferred, and have not executed, and will not execute, any document or instrument in conflict herewith. I also hereby grant IBM, its successors, legal representatives, and assigns, the right to insert in this Assignment any further identification (including, but not limited to, patent Application Number) which may be necessary or desirable for recordation of this Assignment. This Assignment is governed by the substantive laws of the State of New York, and any disputes will be resolved in a New York state court or federal court sited in New York.

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(1) Legal Name of Inventor: Michael Poplavski

Signature: _____ Date: _____

(2) Legal Name of Inventor: Scott Schumacher

Signature: Scott Schumacher Date: 8/26/14

(3) Legal Name of Inventor: Prachi Snehla

Signature: _____ Date: _____

(4) Legal Name of Inventor: Sean J. Welleck

Signature: _____ Date: _____

(5) Legal Name of Inventor: Alan Xia

Signature: _____ Date: _____

(6) Legal Name of Inventor: Yinle Zhou

Signature: _____ Date: _____

**DECLARATION (37 C.F.R. 1.63) FOR UTILITY PATENT APPLICATION USING AN
APPLICATION DATA SHEET (37 C.F.R. 1.76) AND ASSIGNMENT**

Title of Invention: **Generating Weights for Biometric Tokens in Probabilistic Matching
Systems**

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☐ United States application or PCT international application number _____
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Now, therefore, for good and valuable consideration, the receipt and sufficiency thereof being hereby acknowledged, I ("ASSIGNOR") hereby sell or have sold, assign or have assigned, and otherwise transfer or have transferred to IBM ("the ASSIGNEE"), its successors, legal representatives, and assigns, my entire worldwide right, title, and interest in and to the Invention, the above-identified United States patent application, and any and all other patent applications and patents for the Invention which may be applied for or granted therefor in the United States and in all foreign countries and jurisdictions, including all divisions, continuations, reissues, reexaminations, renewals, extensions, counterparts, substitutes, and extensions thereof, and all rights of priority resulting from the filing of such applications and granting of such patents. In addition, I hereby authorize and request the Director of the United States Patent and Trademark Office to issue any United States Patent, and foreign patent authorities to issue any foreign patent, granted for the Invention, to IBM, its successors, legal representatives, and assigns, my entire worldwide right, title, and interest in and to the same to be held and enjoyed by IBM, its successors, legal representatives, and assigns to the full end of the terms for which any and all such patents may be granted, as fully and entirely as would have been held and enjoyed by me had this Assignment not been made; and I agree to execute any and all documents and instruments and perform all lawful acts reasonably related to recording this Assignment or perfecting title to the Invention and all related patents and applications, in IBM, its successors, legal representatives, and assigns, whenever requested by IBM, its successors, legal

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(1) Legal Name of Inventor: Michael Poplavski

Signature: _____ Date: _____

(2) Legal Name of Inventor: Scott Schumacher

Signature: _____ Date: _____

(3) Legal Name of Inventor: Prachi Snehal

Signature: Prachi Snehal Date: 27th Aug 2014

(4) Legal Name of Inventor: Sean J. Welleck

Signature: _____ Date: _____

(5) Legal Name of Inventor: Alan Xia

Signature: _____ Date: _____

(6) Legal Name of Inventor: Yinle Zhou

Signature: _____ Date: _____

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Signature: _____ Date: _____

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Signature: _____ Date: _____

(3) Legal Name of Inventor: Prachi Snehal

Signature: _____ Date: _____

(4) Legal Name of Inventor: Sean J. Welleck

Signature: _____ Date: _____

(5) Legal Name of Inventor: Alan Xia

Signature: _____ Date: _____

(6) Legal Name of Inventor: Yinle Zhou

Signature: *Yinle Zhou* Date: 8/27/2014