# PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT3027188

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

#### **CONVEYING PARTY DATA**

Name	Execution Date
DAVID ROBINSON	09/03/2014
MARK FRETZ	08/29/2014
DAVID THOMAS	09/04/2014
JOHN L. PARKER	09/04/2014

### **RECEIVING PARTY DATA**

Name:	SALUDA MEDICAL PTY LIMITED
Street Address:	407 PACIFIC HIGHWAY
City:	ARTARMON, NSW
State/Country:	AUSTRALIA
Postal Code:	2064

#### **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	14360553

# **CORRESPONDENCE DATA**

**Fax Number:** (714)427-7799

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

**Phone:** 714-427-7000

Email: ipocdocket@swlaw.com

Correspondent Name: SNELL & WILMER LLP (OC)

Address Line 1: 600 ANTON BOULEVARD

Address Line 2: SUITE 1400

Address Line 4: COSTA MESA, CALIFORNIA 92626

ATTORNEY DOCKET NUMBER:	19576-05900
NAME OF SUBMITTER:	ALBIN H. GESS
SIGNATURE:	/Albin H. Gess/
DATE SIGNED:	09/17/2014
	This document serves as an Oath/Declaration (37 CFR 1.63).

**Total Attachments: 6** 

source=19576-05900-DECL-ASSIGN#page1.tif

PATENT REEL: 033761 FRAME: 0761

source=19576-05900-DECL-ASSIGN#page2.tif source=19576-05900-DECL-ASSIGN#page3.tif source=19576-05900-DECL-ASSIGN#page4.tif source=19576-05900-DECL-ASSIGN#page5.tif source=19576-05900-DECL-ASSIGN#page6.tif

> PATENT REEL: 033761 FRAME: 0762

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

# COMBINED DECLARATION AND ASSIGNMENT FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET

Inventor(s):

David Robinson

Docket No.: 19576.05900

Mark Fretz David Thomas John Luis Parker

Serial No.:

14/360,553

Filing Date: May 23, 2014

Title:

COATINGS FOR ACTIVE IMPLANTABLE

MEDICAL DEVICES

Pursuant to 35 U.S.C. § 115(e), Applicant(s) herewith submit for recordation a combined declaration and assignment.

#### Declaration

As the below named inventors, we hereby declare that:

The captioned application was made or authorized to be made by us.

We believe that we are the original joint inventors of a claimed invention in the application.

We hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than (5) years, or both.

We acknowledge that a person may not execute an oath or declaration for an application unless that person has reviewed and understands the contents of the application, including the claims, and is aware of the duty to disclose to the U.S. Patent and Trademark Office all information known to the person to be material to patentability as defined in 37 CFR 1.56.

#### Assignment

WHEREAS, the undersigned inventors of the addresses indicated below ("ASSIGNOR") has invented subject matter disclosed and/or claimed in the captioned patent application;

AND WHEREAS, Saluda Medical Pty Limited, an entity organized and existing under and by virtue of the laws of Australia, having a place of business and mailing address at 407 Pacific Highway, Artarmon, New South Wales, 2064 Australia, hereinafter, "ASSIGNEE", is desirous of obtaining an interest or memorializing its interest therein;

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, ASSIGNOR by these presents does sell, assign and transfer unto ASSIGNEE, its successors, assigns and legal representatives, any and all of ASSIGNOR'S

1

rights, title, and interest to the subject matter and inventions, as described in the aforesaid application, including patent, copyright and any other intellectual property rights, any provisional, non-provisional, continuation, continuation in part, divisional, reissue, reexamination, foreign, PCT or other patent application or like document, or any other application which claims priority to said application, including the rights to sue for all past and future causes of action related to said inventions and subject matter, in the United States and all foreign countries, together with the right of priority under the International Convention for the Protection of Industrial Property, Inter-American Convention Relating to Patents, Designs and Industrial Models, and any other international agreements to which the United States adheres, and hereby authorizes and requests all countries to issue said patents to ASSIGNEE, including all renewals, extensions, and reissue and reexamination certificates for the sole use and benefit of ASSIGNEE, its successors, assigns and legal representatives;

AND HEREBY AGREES, without further remuneration, to transfer to ASSIGNEE, its successors, assigns and legal representatives a like interest in and to any improvements, related new matter, and derivative works, and applications based thereon, growing out of or relating to the inventions or subject matter, and to provide all reasonable assistance and execute any papers, if accurate, desired by ASSIGNEE its successors, assigns and legal representatives, to preserve, acquire and/or perfect ASSIGNEE'S full rights, title and interest in and to the invention(s) and subject matter and any improvements and to file any of the aforementioned applications, or to further memorialize ASSIGNEE'S prior agreement to do so; ASSIGNORS authorizes ASSIGNEE and its appointed patent counsel to insert on this document any further identification that may be necessary or desirable by any patent office.

**EXECUTED** as of the date(s) written below by DECLARANT/ASSIGNOR:

Full name of inventor:	David Robinson	
Inventor's signature: _	D136	Date: 3/9/14
	A	1 / '
Residence:		New South Wales, Australia
	City	State/Country
Post Office Address:_c	c/- of 407 Pacific Highwa	ay, Artarmon, New South Wales, 2064 Australia
	·	
Full name of inventor:	Mark Fretz	
Inventor's signature: _		Date:
Residence:	Artarmon	New South Wales, Australia
	City	State/Country
Post Office Address: c		

Full name of inventor:	David Thomas	
Inventor's signature:	hus Hhimm	Date: 4/9/2014
Residence:	Artarmon	New South Wales, Australia
	City	State/Country
Post Office Address: <u>c</u>	/- of 407 Pacific Highway	, Artarmon, New South Wales, 2064 Australia
	•	
	<b>A</b>	
Full name of inventor:	John Euis Parker	
Inventor's signature:	Soll	
Character and the second		77
Residence:	Artarmon	New South Wales, Australia
	City	State/Country
Pact Office Address: cl	- of 407 Pacific Highway	Artarmon New South Wales 2064 Australia

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

# COMBINED DECLARATION AND ASSIGNMENT FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET

Inventor(s):

David Robinson

Docket No.: 19576.05900

Mark Fretz David Thomas

John Luis Parker

Serial No.:

14/360,553

Filing Date: May 23, 2014

Title:

COATINGS FOR ACTIVE IMPLANTABLE

MEDICAL DEVICES

Pursuant to 35 U.S.C. § 115(e), Applicant(s) herewith submit for recordation a combined declaration and assignment.

# **Declaration**

As the below named inventors, we hereby declare that:

The captioned application was made or authorized to be made by us.

We believe that we are the original joint inventors of a claimed invention in the application.

We hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than (5) years, or both.

We acknowledge that a person may not execute an oath or declaration for an application unless that person has reviewed and understands the contents of the application, including the claims, and is aware of the duty to disclose to the U.S. Patent and Trademark Office all information known to the person to be material to patentability as defined in 37 CFR 1.56.

# **Assignment**

WHEREAS, the undersigned inventors of the addresses indicated below ("ASSIGNOR") has invented subject matter disclosed and/or claimed in the captioned patent application;

**AND WHEREAS**, Saluda Medical Pty Limited, an entity organized and existing under and by virtue of the laws of Australia, having a place of business and mailing address at 407 Pacific Highway, Artarmon, New South Wales, 2064 Australia, hereinafter, "ASSIGNEE", is desirous of obtaining an interest or memorializing its interest therein;

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, ASSIGNOR by these presents does sell, assign and transfer unto ASSIGNEE, its successors, assigns and legal representatives, any and all of ASSIGNOR'S

rights, title, and interest to the subject matter and inventions, as described in the aforesaid application, including patent, copyright and any other intellectual property rights, any provisional, non-provisional, continuation, continuation in part, divisional, reissue, reexamination, foreign, PCT or other patent application or like document, or any other application which claims priority to said application, including the rights to sue for all past and future causes of action related to said inventions and subject matter, in the United States and all foreign countries, together with the right of priority under the International Convention for the Protection of Industrial Property, Inter-American Convention Relating to Patents, Designs and Industrial Models, and any other international agreements to which the United States adheres, and hereby authorizes and requests all countries to issue said patents to ASSIGNEE, including all renewals, extensions, and reissue and reexamination certificates for the sole use and benefit of ASSIGNEE, its successors, assigns and legal representatives;

AND HEREBY AGREES, without further remuneration, to transfer to ASSIGNEE, its successors, assigns and legal representatives a like interest in and to any improvements, related new matter, and derivative works, and applications based thereon, growing out of or relating to the inventions or subject matter, and to provide all reasonable assistance and execute any papers, if accurate, desired by ASSIGNEE its successors, assigns and legal representatives, to preserve, acquire and/or perfect ASSIGNEE'S full rights, title and interest in and to the invention(s) and subject matter and any improvements and to file any of the aforementioned applications, or to further memorialize ASSIGNEE'S prior agreement to do so; ASSIGNORS authorizes ASSIGNEE and its appointed patent counsel to insert on this document any further identification that may be necessary or desirable by any patent office.

EXECUTED as of the date(s) written below by DECLARANT/ASSIGNOR:

Inventor's signature: _	*	Date:
Residence:	Artarmon	New South Wales, Australia
	City	State/Country
Post Office Address:	c/- of 407 Pacific Highway	, Artarmon, New South Wales, 2064 Australia
Post Office Address:_	c/- of 407 Pacific Highway	Artarmon, New South Wales, 2064 Australia
Post Office Address:_	c/- of 407 Pacific Highway	, Artarmon, New South Wales, 2064 Australia
Post Office Address:		, Artarmon, New South Wales, 2064 Australia
	Mark Fretz	Artarmon, New South Wales, 2064 Australia  Date: 29/08/2014
Full name of inventor:	Mark Fretz M.	

2

Inventor's signature: _		Date:
Residence:	Artarmon	New South Wales, Australia
	City	State/Country
Post Office Address: of	c/- of 407 Pacific Highwa	ay, Artarmon, New South Wales, 2064 Australia
Full name of inventor:	John Luis Parker	
Full name of inventor: Inventor's signature:	John Luis Parker	Date:

3

**RECORDED: 09/17/2014**