

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT3033671

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
ADAM JONES	09/11/2013
WILLIAM A. ZORTMAN	09/11/2013
RECEIVING PARTY DATA	
Name:	SANDIA CORPORATION
Street Address:	P.O. BOX 5800, MS-0161
City:	ALBUQUERQUE
State/Country:	NEW MEXICO
Postal Code:	87185
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	14011498
CORRESPONDENCE DATA	
Fax Number:	(505)844-9955
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	505-844-7283
Email:	dcates@sandia.gov
Correspondent Name:	SANDIA NATIONAL LABORATORIES
Address Line 1:	P.O. BOX 5800, MS-0161
Address Line 4:	ALBUQUERQUE, NEW MEXICO 87185
ATTORNEY DOCKET NUMBER:	SD12207/S129379
NAME OF SUBMITTER:	DIANA CATES
SIGNATURE:	/Diana Cates/
DATE SIGNED:	09/23/2014
Total Attachments: 4	
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ASSIGNMENT

WHEREAS, **Adam Jones of Albuquerque, New Mexico**, co-inventor with **William A. Zortman of Corrales, New Mexico** has(have) made an invention relating to **METHODS AND DEVICES FOR MAINTAINING A RESONANT WAVELENGTH OF A PHOTONIC MICRORESONATOR** in the course of the work authorized by Contract DE-AC04-94AL85000 between SANDIA CORPORATION and the UNITED STATES DEPARTMENT OF ENERGY, said invention being disclosed and claimed in an application for United States patent, identified as, U.S. Serial No.: 14/011,498, filed on August 27, 2013, DOE Docket No: **S-129,379** duly executed by said inventor(s) and

WHEREAS Inventor(s), in consideration of employment by Sandia Corporation, has(have) assigned to Sandia Corporation, its successors and assigns, all of Inventor(s) rights to inventions, discoveries, or improvements made or conceived in the course of their employment; and

WHEREAS, title to the above-identified invention vests in the Government of the United States, as represented by the U.S. Department of Energy, as governed by 42 U.S.C. 5908; and

WHEREAS, the Government of the United States, as represented by the U.S. Department of Energy has granted a waiver of Government rights in the above-identified invention to Sandia Corporation; and

THEREFORE, Inventor(s) hereby sells, assigns, and transfers unto Sandia Corporation their entire right, title, and interest in and to said invention and in and to all resulting patents, wherever they may be granted, as well as reissues or extensions of said patents, to the extent that Inventor(s) right, title, and interest in and to said invention has(have) not already been sold, assigned, or transferred to Sandia Corporation by Inventor(s) in consideration of Inventor(s) employment by Sandia Corporation.

Inventor(s) covenants with Sandia Corporation that, except for the rights, if any, of the Government, his/her interest in the rights and property herein conveyed is free and clear of any encumbrance and that they have full right to convey the same as herein expressed. They agree, at the request of Sandia corporation, to make, execute, and deliver to Sandia Corporation, the Government, or other party prosecuting patent applications on this invention, any and all papers, documents, affidavits, applications, statements or other instruments relating to said invention, take all rightful oaths and do all acts as may reasonably be required of them in or incident to the prosecution of any or all applications on said invention or in the adjustment or settlement of any interferences or other actions or proceedings that said applications or patents thereon may encounter or in which they may become involved.

Signed at Albuquerque, New Mexico.

Adam Jones
Adam Jones

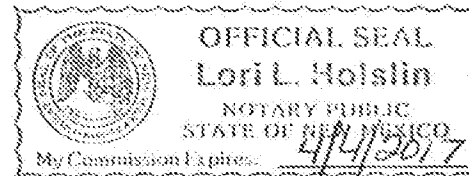
9/11/13

Date

STATE OF NEW MEXICO)
) ss:
COUNTY OF BERNALILLO)

On the above dates, personally appeared before me, Lori L. Holslin Notary Public, **Adam Jones** to me known to be the individual(s) described in and who executed the foregoing instrument, and who acknowledged to me that they executed the same.

Lori L. Holslin
Notary Public



Approved and consented by:

SANDIA CORPORATION

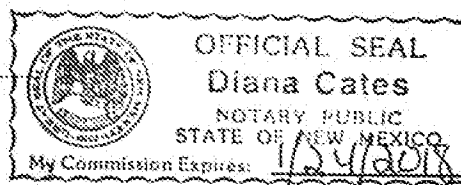
Date: 9/18 2013

Kerry Kampschmidt
Kerry Kampschmidt
Sr. Managing IP Counsel
Legal Technology Transfer Center

STATE OF NEW MEXICO)
) ss:
COUNTY OF BERNALILLO)

On the above date, personally appeared before me, Diana Cates ~~Lori L. Holslin~~ Notary Public, **Kerry Kampschmidt**, to me known to be the individual described in and who executed the foregoing instrument, and who acknowledged to me that he executed the same.

Diana Cates
Notary Public



ASSIGNMENT

WHEREAS William A. Zortman of Corrales, New Mexico, co-inventor with Adam Jones of Albuquerque, New Mexico have made an invention relating to **METHODS AND DEVICES FOR MAINTAINING A RESONANT WAVELENGTH OF A PHOTONIC MICRORESONATOR** in the course of the work authorized by Contract DE-AC04-94AL85000 between SANDIA CORPORATION and the UNITED STATES DEPARTMENT OF ENERGY, said invention being disclosed and claimed in an application for United States patent, identified as U.S. Patent Application No.: 14/011,498, filed on August 27, 2013, DOE Docket No: S-129,428 duly executed by said inventors;

WHEREAS, the Government of the United States, as represented by the U.S. Department of Energy has granted a waiver of Government rights in the above-identified invention to Sandia Corporation; and

WHEREAS, William A. Zortman, being co-inventors of said invention and employee of Sandia Staffing Alliance, LLC at the time said invention was made, and in consideration of *pro rata* share to be taken from twenty percent (20%) of royalties obtained by Sandia Corporation in any future licensing of said invention and to be paid annually to Sandia Staffing Alliance, LLC, has agreed, among other things, to assign to Sandia Corporation, its successors and assigns, all rights to inventions, discoveries, or improvements conceived or first reduced to practice in the course of his employment; and

THEREFORE, William A. Zortman hereby sells, assigns, and transfers unto Sandia Corporation his entire right, title, and interest in and to said invention and in and to all resulting patents, wherever they may be granted, as well as reissues or extensions of said patents.

The above-named Sandia Staffing Alliance, LLC Inventor further agrees, at the request of Sandia Corporation, to make, execute and deliver to Sandia Corporation, the Government, or other party prosecuting patent applications on this invention, any and all papers, documents, affidavits, applications, statements or other instruments relating to said invention, take all rightful oaths and do all acts as may reasonably be required of him in or incident to the prosecution of any or all applications on said invention or in the adjustment or settlement of any interferences or other actions or proceedings that said applications or patents thereon may encounter or in which they may be involved.

Signed at Albuquerque, New Mexico


 William A. Zortman

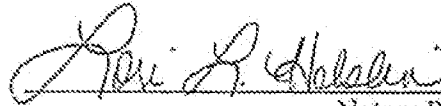
STATE OF NEW MEXICO)

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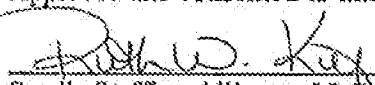
COUNTY OF BERNALILLO)



On the date listed above, personally appeared before me, Lori L. Holslin, Notary Public, William A. Zortman, to me known to be the individual described in and who executed the foregoing instrument, and who acknowledged to me that he executed the same.



Notary Public

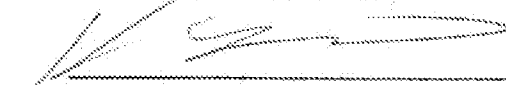
Approved and consented to this 10th day of September 2014.

 Sandia Staffing Alliance, LLC
9/10/2014

Date

Approved and consented by:

Date: 9/10

SANDIA CORPORATION


 Kerry Kampschmidt
 Sr. Managing IP Counsel
 Legal Technology Transfer Center

STATE OF NEW MEXICO)

) SS:

COUNTY OF BERNALILLO)

Diana Cates

On the above date, personally appeared before me, ~~Lori L. Holslin~~ Notary Public, Kerry Kampschmidt, to me known to be the individual described in and who executed the foregoing instrument, and who acknowledged to me that he executed the same.


 Notary Public
