

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT3037157

SUBMISSION TYPE:	NEW ASSIGNMENT	
NATURE OF CONVEYANCE:	MERGER	
EFFECTIVE DATE:	12/31/2011	
CONVEYING PARTY DATA		
	Name	Execution Date
	ALTERIAN, LLC	12/26/2011
RECEIVING PARTY DATA		
Name:	ALTERIAN, INC.	
Street Address:	201 EDGEWATER DRIVE SUITE 225	
City:	WAKEFIELD	
State/Country:	MASSACHUSETTS	
Postal Code:	01880	
PROPERTY NUMBERS Total: 2		
	Property Type	Number
	Application Number:	13734830
	Patent Number:	7865394
CORRESPONDENCE DATA		
Fax Number:	(650)812-3444	
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>		
Phone:	650-812-3400	
Email:	lmolera@carrferrell.com, mshabalin@carrferrell.com, agonsalves@carrferrell.com, patdocket@carrferrell.com	
Correspondent Name:	CARR & FERRELL LLP	
Address Line 1:	120 CONSTITUTION DRIVE	
Address Line 4:	MENLO PARK, CALIFORNIA 94025	
ATTORNEY DOCKET NUMBER:	PA6033REI AND PA6033US	
NAME OF SUBMITTER:	LEAH MOLERA	
SIGNATURE:	/Leah Molera/	
DATE SIGNED:	09/24/2014	
Total Attachments: 7		
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REEL: 033813 FRAME: 0695



State of California Secretary of State

OSE MERG

200613310115

FILED
In the office of the Secretary of State
of the State of California

QW/SGM

Certificate of Merger

(California Corporations Code sections

1113(g), 6019.1, 6019.1, 6640, 12540.1, 15911.14, 10915(b) and 17552)

DEC 30 2011 EFFECTIVE
DATE

DEC 31 2011

IMPORTANT — Read all instructions before completing this form.

This Space For Filing Use Only

1. NAME OF SURVIVING ENTITY Alterian, Inc.	2. TYPE OF ENTITY Corporation	3. CA SECRETARY OF STATE FILE NUMBER n/a	4. JURISDICTION Delaware												
5. NAME OF DISAPPEARING ENTITY Alterian, LLC	6. TYPE OF ENTITY Limited Liability Company	7. CA SECRETARY OF STATE FILE NUMBER 200613310115	8. JURISDICTION California												
9. THE PRINCIPAL TERMS OF THE AGREEMENT OF MERGER WERE APPROVED BY A VOTE OF THE NUMBER OF INTERESTS OR SHARES OF EACH CLASS THAT EQUALED OR EXCEEDED THE VOTE REQUIRED. IF A VOTE WAS REQUIRED, SPECIFY THE CLASS AND THE NUMBER OF OUTSTANDING INTERESTS OF EACH CLASS ENTITLED TO VOTE ON THE MERGER AND THE PERCENTAGE VOTE REQUIRED OF EACH CLASS. ATTACH ADDITIONAL PAGES, IF NECESSARY. <table border="1"> <thead> <tr> <th colspan="2">SURVIVING ENTITY</th> <th colspan="2">DISAPPEARING ENTITY</th> </tr> <tr> <th>CLASS AND NUMBER</th> <th>PERCENTAGE VOTE REQUIRED</th> <th>CLASS AND NUMBER</th> <th>PERCENTAGE VOTE REQUIRED</th> </tr> </thead> <tbody> <tr> <td>Common 1,000</td> <td>51%</td> <td>Membership interests 100%</td> <td>100%</td> </tr> </tbody> </table>				SURVIVING ENTITY		DISAPPEARING ENTITY		CLASS AND NUMBER	PERCENTAGE VOTE REQUIRED	CLASS AND NUMBER	PERCENTAGE VOTE REQUIRED	Common 1,000	51%	Membership interests 100%	100%
SURVIVING ENTITY		DISAPPEARING ENTITY													
CLASS AND NUMBER	PERCENTAGE VOTE REQUIRED	CLASS AND NUMBER	PERCENTAGE VOTE REQUIRED												
Common 1,000	51%	Membership interests 100%	100%												
10. IF EQUITY SECURITIES OF A PARENT PARTY ARE TO BE ISSUED IN THE MERGER, CHECK THE APPLICABLE STATEMENT. ☐ No vote of the shareholders of the parent party was required. ☒ The required vote of the shareholders of the parent party was obtained.															
11. IF THE SURVIVING ENTITY IS A DOMESTIC LIMITED LIABILITY COMPANY, LIMITED PARTNERSHIP, OR PARTNERSHIP, PROVIDE THE REQUISITE CHANGES (IF ANY) TO THE INFORMATION SET FORTH IN THE SURVIVING ENTITY'S ARTICLES OF ORGANIZATION, CERTIFICATE OF LIMITED PARTNERSHIP, OR STATEMENT OF PARTNERSHIP AUTHORITY RESULTING FROM THE MERGER. ATTACH ADDITIONAL PAGES, IF NECESSARY. n/a															
12. IF A DISAPPEARING ENTITY IS A DOMESTIC LIMITED LIABILITY COMPANY, LIMITED PARTNERSHIP, OR PARTNERSHIP, AND THE SURVIVING ENTITY IS NOT A DOMESTIC ENTITY OF THE SAME TYPE, ENTER THE PRINCIPAL ADDRESS OF THE SURVIVING ENTITY. PRINCIPAL ADDRESS OF SURVIVING ENTITY: 1530 Blake Street, Suite 250 CITY AND STATE: Denver, Colorado ZIP CODE: 80202															
13. OTHER INFORMATION REQUIRED TO BE STATED IN THE CERTIFICATE OF MERGER BY THE LAWS UNDER WHICH EACH CONSTITUENT OTHER BUSINESS ENTITY IS ORGANIZED. ATTACH ADDITIONAL PAGES, IF NECESSARY.															
14. STATUTORY OR OTHER BASIS UNDER WHICH A FOREIGN OTHER BUSINESS ENTITY IS AUTHORIZED TO EFFECT THE MERGER. DE Chapter 1 General Corporation Law, Subchapter IX		15. FUTURE EFFECTIVE DATE, IF ANY 12/31/2011 (Month) (Day) (Year)													
16. ADDITIONAL INFORMATION SET FORTH ON ATTACHED PAGES, IF ANY, IS INCORPORATED HEREIN BY THIS REFERENCE AND MADE PART OF THIS CERTIFICATE.															
17. I CERTIFY UNDER PENALTY OF PERJURY UNDER THE LAWS OF THE STATE OF CALIFORNIA THAT THE FOREGOING IS TRUE AND CORRECT OF MY OWN KNOWLEDGE. I DECLARE I AM THE PERSON WHO EXECUTED THIS INSTRUMENT, WHICH EXECUTION IS MY ACT AND DEED.															
SIGNATURE OF AUTHORIZED PERSON FOR THE SURVIVING ENTITY: <i>Robert Hale</i>		DATE: 12/31/11 TYPE OR PRINT NAME AND TITLE OF AUTHORIZED PERSON: Robert Hale, President and Treasurer													
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SIGNATURE OF AUTHORIZED PERSON FOR THE DISAPPEARING ENTITY: <i>Robert Hale</i>		DATE: 12/31/11 TYPE OR PRINT NAME AND TITLE OF AUTHORIZED PERSON: Robert Hale, Manager													
SIGNATURE OF AUTHORIZED PERSON FOR THE DISAPPEARING ENTITY: <i>Robert Hale</i>		DATE: 12/31/11 TYPE OR PRINT NAME AND TITLE OF AUTHORIZED PERSON: Robert Hale, Manager													
For an entity that is a business trust, real estate investment trust or an unincorporated association, set forth the provision of law or other basis for the authority of the person signing.															
OSE MERG-1 (REV 01/2010)		APPROVED BY SECRETARY OF STATE													

PATENT

REEL: 033813 FRAME: 0696

ALTERIAN, LLC WITH AND INTO ALTERIAN, INC.

AGREEMENT AND PLAN OF MERGER

(Pursuant to Section 264 of the
Delaware General Corporation Law and
Section 17552 of the California Corporations Code)

THIS AGREEMENT AND PLAN OF MERGER (the "Plan of Merger") is made and entered into as of December 28, 2011 by and between Alterian, LLC, a California limited liability company (hereinafter referred to as the "Merging Company") and Alterian, Inc., a Delaware corporation (hereinafter referred to as the "Surviving Corporation"). The Merging Company and the Surviving Corporation are sometimes hereinafter referred to collectively as the "Constituent Companies."

WHEREAS, the Board of Directors and stockholders of the Surviving Corporation and the sole Member of the Merging Company deem it advisable and in the best interest of each of their respective Constituent Companies that the Merging Company merge with and into the Surviving Corporation (the "Merger"), and have approved such Merger and this Plan of Merger, each by unanimous written consent and in accordance with the applicable provisions of the Delaware General Corporation Law (the "Act") and the California Corporations Code;

NOW THEREFORE, in consideration of the premises and the mutual representations, warranties, covenants and agreements contained in this Plan of Merger, and other good and valuable consideration, the receipt, adequacy and sufficiency of which are hereby acknowledged, each of the Constituent Companies agrees to the following terms and provisions in furtherance of effecting the Merger more fully discussed herein.

ARTICLE I

THE MERGER

1.01 Merger. At the Effective Time (as that term is defined in Section 1.03 hereof), subject to the terms and conditions of this Plan of Merger, the Merging Company shall be merged into the Surviving Corporation, with the Surviving Corporation being the survivor, all in accordance with the applicable provisions of the Act.

1.02 Effects of the Merger. At the Effective Time, the Merging Company shall be merged into the Surviving Corporation and the separate existence of the Merging Company shall thereupon cease and the Surviving Corporation shall possess all the rights, privileges, powers, immunities, purposes and franchises, both public and private of the Merging Company. The Merger shall in all respects have the effect provided for in the applicable provisions of the Act, including, without limitation, the following:

- (i) All property, real, personal and mixed, and all debts due on whatever account, including subscriptions to shares, and all other choses in action, all and every other interest, of or belonging to or due to the Merging Company shall be taken and considered transferred to and vested in the Surviving Corporation without further act or deed, and the title to any real estate, or any interest therein, vested in any of such corporation shall not revert or be in any way impaired by reason of such Merger; and

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(ii) The Surviving Corporation shall be liable for all the obligations and liabilities of the Merging Company; and any claim existing or action or proceeding pending by or against the Merging Company may be enforced as if such Merger had not taken place and the Surviving Corporation may be substituted in its place. Neither the rights of creditors nor any liens, upon, or security interests in, the property of any of the Constituent Companies shall be impaired by the Merger.

Prior to, and from and after the Effective Time, the Merging Company and the Surviving Corporation hereby agree to take all such action or actions as shall be deemed necessary or appropriate in order to duly effectuate the Merger. In case at any time after the Effective Time, the Surviving Corporation shall consider or be advised that any further assignments, conveyances or assurances in law are necessary or desirable to carry out the provisions hereof, the proper officers of the Constituent Companies are hereby granted the due and proper authority to execute and deliver any and all proper deeds, assignments, and assurances in law and to do all things necessary or proper to carry out the provisions of this Plan of Merger.

1.03 Actions to Be Taken to Effect the Merger. The Members of the Merging Company and the Board of Directors and the officers of the Surviving Corporation have been duly authorized, empowered and directed to take any and all actions and to make, execute, deliver, file and record any and all instruments, papers and documents that shall be or become necessary, proper or convenient, including but not limited to the Certificate of Merger in the form prescribed and called for under the Act (hereinafter sometimes referred to as the "Merger Certificate"), to carry out or put into effect the Merger or any of the provisions of this Plan of Merger. The Merger shall be effective at 11:59 p.m. Eastern Standard Time on December 31, 2011 (the "Effective Time").

1.04 Plan of Reorganization. For United States federal income tax purposes it is intended by the parties that the Merger qualify as a "reorganization" within the meaning of Section 368(a) of the Internal Revenue Code of 1986, as amended (the "Code"). This Plan of Merger is hereby adopted as a "plan of reorganization" for purposes of Section 354 and Section 361 of the Code.

ARTICLE II

THE SURVIVING CORPORATION

2.01 Certificate of Incorporation. The Certificate of Incorporation of the Surviving Corporation in effect immediately prior to the Effective Time, shall remain in full force and effect as the Certificate of Incorporation of the Surviving Corporation until amended as provided therein or as otherwise provided by law.

2.02 By-Laws. The By-Laws of the Surviving Corporation in effect immediately prior to the Effective Time, shall remain in full force and effect as the By-Laws of the Surviving Corporation until amended as provided therein.

2.03 Directors. Each of the members of the Board of Directors of the Surviving Corporation, as in effect immediately prior to the Effective Time, shall remain members of the Board of Directors of the Surviving Corporation until their respective successors are duly elected and qualified or until their earlier death, resignation or removal, or as otherwise provided by law.

2.04 Officers. The officers of the Surviving Corporation, as in effect immediately prior to the Effective Time, shall remain the officers of the Surviving Corporation until their respective successors are

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duly elected and qualified or until their earlier death, resignation or removal, or as otherwise provided by law.

2.05. Corporate Name. The name of the Surviving Corporation is, and after the Effective Time, shall be "Alterian, Inc."

2.06. Principal Place of Business. The principal place of business of the Surviving Corporation shall be located at 1530 Blake Street, Suite 250, Denver, Colorado 80202.

2.07. Service of Process. The Surviving Corporation may be, after the Effective Date and pursuant to California Corporations Code 17555(g)(1), served in the State of California in any proceeding for the enforcement of an obligation of any Constituent Company and in any proceeding to enforce the rights of any holder of a dissenting interest in the Merging Company.

2.08. Appointment of Agent. The Surviving Corporation, pursuant to California Corporations Code 17555(g)(2), hereby irrevocable appoints the California Secretary of State as its agent for service of process under Section 2.07 hereof. Service of Process may be forward to the address set forth in Section 2.06 hereof.

2.09. Payment of Dissenting Interests. The Surviving Corporation will, after the Effective Date and pursuant to California Corporations Code 17555(g)(3), promptly pay the holder of any dissenting interest in the Merging Company any amount to which that person is entitled under California law.

ARTICLE III

SURVIVING CORPORATION SHARES AND CANCELLATION OF MEMBERSHIP INTEREST

3.01. Effect of Merger on Surviving Corporation Shares. As of the Effective Time, each of the issued and outstanding shares of capital stock of the Surviving Corporation shall remain issued and outstanding, and shall be duly authorized, validly issued, fully paid and non-assessable shares of the Surviving Corporation.

3.02. Effect of Merger on Merging Company's Membership Interests. As of the Effective Time, each membership interest of the Merging Company issued and outstanding immediately prior to the Effective Time (all of which are held by the Surviving Corporation) shall be automatically canceled and extinguished by virtue of the Merger without any action by the holder thereof, and no cash or securities or other property shall be payable in respect thereof.

ARTICLE IV

MISCELLANEOUS

4.01. Amendment. Prior to the filing of the Merger Certificates, this Plan of Merger may be amended, modified or supplemented, as agreed in writing by the Constituent Companies, any time before or after approval or adoption of this Plan of Merger by the sole Member of the Merging Company and the Board of Directors of the Surviving Corporation.

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4.02. Termination. Notwithstanding anything contained herein to the contrary, this Plan of Merger may be terminated and the proposed Merger may be abandoned by the sole Member of the Merging Company and the Board of Directors of the Surviving Corporation at any time prior to the filing of the Merger Certificate.

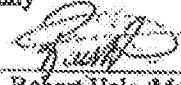
[Signatures Follow]

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IN WITNESS WHEREOF, each of the undersigned, pursuant to the authority and approval duly granted by resolutions approved and adopted by each of the Constituent Companies' respective Members, shareholders and Board of Directors, as applicable, has caused this Plan of Merger to be executed as of December 28, 2011.

THE MERGING COMPANY:

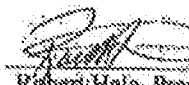
Alterian, LLC, a California limited liability company

By: 

Robert Hale, Manager of Alterian, LLC
and
President of Alterian Holdings, Inc.,
the Sole Member of Alterian, LLC

THE SURVIVING CORPORATION:

Alterian, Inc., a Delaware corporation

By: 

Robert Hale, President and Treasurer

ALTERIAN, INC.

AGREEMENT AND PLAN OF MERGER

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I hereby certify that the foregoing
manuscript of _____ page(s)
is a full, true and correct copy of the
original record in the custody of the
California Secretary of State's office

SEP 05 2014 mms

Date: _____


DEBRA BOWEN, Secretary of State