

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT3037781

SUBMISSION TYPE:	NEW ASSIGNMENT	
NATURE OF CONVEYANCE:	ASSIGNMENT	
CONVEYING PARTY DATA		
	Name	Execution Date
	YIEU CHYAN	09/10/2014
RECEIVING PARTY DATA		
Name:	UNI-PIXEL DISPLAYS, INC.	
Street Address:	8708 TECHNOLOGY FOREST PLACE, SUITE 100	
City:	THE WOODLANDS	
State/Country:	TEXAS	
Postal Code:	77381	
PROPERTY NUMBERS Total: 1		
	Property Type	Number
	Application Number:	14496007
CORRESPONDENCE DATA		
Fax Number:		
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>		
Phone:	281-783-9095	
Email:	docketing@mikeskaangelo.com	
Correspondent Name:	BASIL M. ANGELO	
Address Line 1:	21 WATERWAY AVENUE, SUITE 300	
Address Line 2:	MIKESKA ANGELO PLLC	
Address Line 4:	THE WOODLANDS, TEXAS 77380	
ATTORNEY DOCKET NUMBER:	01006-000049	
NAME OF SUBMITTER:	BASIL M. ANGELO	
SIGNATURE:	/Basil M. Angelo/	
DATE SIGNED:	09/25/2014	
Total Attachments: 5		
source=pto1595#page1.tif		
source=pto1595#page2.tif		
source=pto1595#page3.tif		
source=assignment-YC#page1.tif		
source=assignment-YC#page2.tif		

RECORDATION FORM COVER SHEET
PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)

Yieu Chyan

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) 2014-09-10

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Joint Research Agreement
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other _____

2. Name and address of receiving party(ies)

Name: Uni-Pixel Displays, Inc.

Internal Address: _____

Street Address: 8708 Technology Forest Place, Suite 100

City: The Woodlands

State: Texas

Country: USA Zip: 77381

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

☐ This document serves as an Oath/Declaration (37 CFR 1.63).

A. Patent Application No.(s)

B. Patent No.(s)

14/496,007

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Basil M. Angelo

Internal Address: MIKESKA ANGELO PLLC

Street Address: 21 Waterway Avenue, Suite 300

City: The Woodlands

State: Texas Zip: 77380

Phone Number: (281) 783-9095

Docket Number: 01006-000049

Email Address: basil@mikeskaangelo.com

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 0.00

- ☐ Authorized to be charged to deposit account
☒ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

Deposit Account Number _____

Authorized User Name _____

9. Signature: /Basil M. Angelo/

2014-09-25

Signature

Date

Basil M. Angelo

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

5

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

PATENT

REEL: 033815 FRAME: 0979

Guidelines for Completing Patents Cover Sheets (PTO-1595)

Cover Sheet information must be submitted with each document to be recorded. If the document to be recorded concerns both patents and trademarks separate patent and trademark cover sheets, including any attached pages for continuing information, must accompany the document. All pages of the cover sheet should be numbered consecutively, for example, if both a patent and trademark cover sheet is used, and information is continued on one additional page for both patents and trademarks, the pages of the cover sheet would be numbered from 1 to 4.

Item 1. Name of Conveying Party(ies).

Enter the full name of the party(ies) conveying the interest. If there is insufficient space, enter a check mark in the "Yes" box to indicate that additional information is attached. The name of the additional conveying party(ies) should be placed on an attached page clearly identified as a continuation of the information Item 1. Enter a check mark in the "No" box, if no information is contained on an attached page. If the document to be recorded is a joint research agreement, enter the name(s) of the party(ies) other than the owner of the patent or patent application as the conveying party(ies).

Item 2. Name and Address of Receiving Party(ies).

Enter the name and full address of the first party receiving the interest. If there is more than one party receiving the interest, enter a check mark in the "Yes" box to indicate that additional information is attached. Enter a check mark in the "No" box, if no information is contained on an attached page. If the document to be recorded is a joint research agreement, enter the name(s) of the patent or patent application owner(s) as the receiving party.

Item 3. Nature of Conveyance/Execution Date(s).

Enter the execution date(s) of the document. It is preferable to use the name of the month, or an abbreviation of that name, in order that confusion over dates is minimized. Place a check mark in the appropriate box describing the nature of the conveying document. If the "Other" box is checked, specify the nature of the conveyance.

Item 4. Application Number(s) or Patent Number(s).

Indicate the application number(s), and/or patent number(s) against which the document is to be recorded. National application numbers must include both the series code and a six-digit number (e.g., 07/123,456), and international application numbers must be complete (e.g., PCT/US91/12345).

Enter a check mark in the appropriate box: "Yes" or "No" if additional numbers appear on attached pages. Be sure to identify numbers included on attached pages as the continuation of Item 4. Also enter a check mark if this Assignment is being filed as an Oath/Declaration (37 CFR 1.63).

Item 5. Name and Address of Party to whom correspondence concerning the document should be mailed.

Item 6. Total Applications and Patents involved.

Enter the total number of applications and patents identified for recordation. Be sure to include all applications and patents identified on the cover sheet and on additional pages.

Block 7. Total Fee Enclosed.

Enter the total fee enclosed or authorized to be charged. A fee is required for each application and patent against which the document is recorded.

Item 8. Payment Information.

Enter the deposit account number and authorized user name to authorize charges.

Item 9. Signature.

Enter the name of the person submitting the document. The submitter must sign and date the cover sheet. Enter the total number of pages including the cover sheet, attachments, and document.

This collection of information is required by 35 USC 261 and 262 and 15 USC 1057 and 1060. The information is used by the public to submit (and by the USPTO to process) patent and trademark assignment requests. After the USPTO records the information, the records for patent and trademarks, assignments, and other associated documents can be inspected by the public. To view documents recorded under secrecy orders or documents recorded due to the interest of the federal government, a written authorization must be submitted. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the form to the USPTO. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Manager of the Assignment Division, USPTO, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, VA 22313-1450.

Privacy Act Statement for Patent Assignment Recordation Form Cover Sheet

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with the above request for information. This collection of information is authorized by 35 U.S.C. 1, 2, 261 and E.O. 9424. This information will primarily be used by the USPTO for the recordation of assignments related to patents and patent applications. Submission of this information is voluntary but is required in order for the USPTO to record the requested assignment. If you do not provide the information required on the cover sheet, the assignment will not be recorded, and all documents will be returned to you.

After the information is recorded, the records and associated documents can be inspected by the public and are not confidential, except for documents that are sealed under secrecy orders or related to unpublished patent applications. Assignment records relating to unpublished patent applications are maintained in confidence in accordance with 35 U.S.C. 122. Records open to the public are searched by users for the purpose of determining ownership for other property rights with respect to patents and trademarks.

Routine uses of the information you provide may also include disclosure to appropriate Federal, state, local, or foreign agencies in support of their enforcement duties and statutory or regulatory missions, including investigating potential violations of law or contract and awarding contracts or other benefits; to a court, magistrate, or administrative tribunal in the course of presenting evidence; to members of Congress responding to requests for assistance from their constituents; to the Office of Management and Budget in connection with the review of private relief legislation; to the Department of Justice in connection with a Freedom of Information Act request; to a contractor in the performance of their duties; to the Office of Personnel Management for personnel studies; and to the General Services Administration (GSA) as part of their records management responsibilities under the authority of 44 U.S.C. 2904 and 2906. Such disclosure to GSA shall not be used to make determinations about individuals.

ASSIGNMENT

This Assignment is made by and between Yieu Chyan (hereinafter the "Assignor"), an individual having an address at 3600 College Park Drive, Apartment 1301, Conroe, Texas 77384 and Uni-Pixel Displays, Inc. (hereinafter the "Assignee"), a Texas corporation having an address at 8708 Technology Forest Place, Suite 100, The Woodlands, Texas 77381.

Whereas Assignor is the inventor and/or owner of an invention entitled "METHOD OF DESIGNING A CONDUCTIVE PATTERN WITH REDUCED CHANNEL BREAK VISIBILITY" that is/or is intended to be the subject of a United States patent application and/or PCT international application (hereinafter the "Patent Application");

Whereas Assignor has received confidential and proprietary information of the Assignee relating to the invention that is the subject of the Patent Application;

Whereas Assignor is or was an employee or agent of Assignee at the time the invention that is the subject of the Patent Application was conceived;

Whereas Assignor is desirous of assigning, transferring, and conveying the entire right, title, and interest worldwide in the Patent Application and any patent that issues therefrom to Assignee; and

Whereas Assignee is desirous of acquiring the entire right, title, and interest worldwide in the Patent Application and any patent that issues therefrom from Assignor;

Therefore, for good and valuable consideration, the receipt of which is hereby acknowledged, Assignor assigns, transfers, and conveys the entire right, title, and interest worldwide in the Patent Application and any patent that issues therefrom (including the entire right, title, and interest in any divisional patent application(s), continuation patent application(s), continuation-in-part patent application(s), reissue patent(s), foreign patent application(s) including PCT international application(s), and/or foreign patent(s) that issues from, claims priority to, or claims the benefit of the filing date of, the Patent Application) to Assignee, its successors, and assigns for the entire term of any patent, domestic or foreign, that issues from, claims priority to, or claims the benefit of the filing date of, the Patent Application as the same would be enjoyed by Assignor if this assignment had not been made.

Assignor authorizes and requests that the Commissioner of Patents and the United States Patent and Trademark Office issue any patent resulting from the Patent Application to Assignee. The entire right, title, and interest conveyed to Assignee is to be held and enjoyed by Assignee, its successors, and assigns, as fully and exclusively as it would have been held and enjoyed by Assignor had this Assignment not been made.

Assignor shall cooperate with Assignee in the prosecution and protection of patent rights, domestic or foreign, relating to the Patent Application, any patent that issues therefrom, any other patent application(s), domestic or foreign, and any patent that issues from, claims priority to, or claims the benefit of the filing date of, the Patent Application.

Assignor shall execute, verify, acknowledge, and deliver all such further papers, including patent applications and instruments of transfer and perform such other acts as Assignee lawfully may request to

obtain or maintain the Patent Application and any patent that issues therefrom in the United States or foreign jurisdictions.

Assignor shall execute, verify, acknowledge, and deliver all such further papers, including patent applications and instruments of transfer and perform such other acts as Assignee lawfully may request to obtain or maintain any other patent application(s), domestic or foreign, and any patent that issues therefrom, that issues from, claims priority to, or claims the benefit of the filing date of, the Patent Application in the United States or foreign jurisdictions.

Assignor grants the firm of Mikeska Angelo PLLC the right to insert on this assignment additional identification information, including a United States Patent Application Serial Number, as may be needed to comply with the rules relating to recordation of this assignment.

IN WITNESS WHEREOF, this assignment has been executed by the Assignor on the date set forth below.

9/10/2014
Date

[Signature]
Signature of Assignor

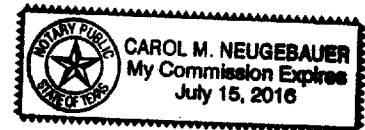
Yieu Chyan
Printed Name of Assignor

THIS ASSIGNMENT MAY BE SIGNED BEFORE A NOTARY OR TWO WITNESSES

On this 10th day of September, 2014, before me, the undersigned Notary Public, personally appeared Yieu Chyan, Assignor, who proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same. WITNESS my hand and official seal on the date set forth below.

September 10, 2014
Date

[Signature]
Signature of Notary Public



Seal

Date

Signature 1st Witness

Printed Name of 1st Witness

Date

Signature 2nd Witness

Printed Name of 2nd Witness

The following information will be added after execution of this assignment:

<i>United States Patent Application Serial Number:</i>	14496007
<i>Filed On:</i>	September 25, 2014