

PATENT ASSIGNMENT COVER SHEET

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Stylesheet Version v1.2

EPAS ID: PAT3045044

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
JOHN J. ELLIS-MONAGHAN	09/30/2014
WILLIAM M.J. GREEN	09/30/2014
JENS HOFRICHTER	09/29/2014
MARWAN H. KHATER	09/30/2014
JESSIE C. ROSENBERG	09/29/2014
STEVEN M. SHANK	09/29/2014
RECEIVING PARTY DATA	
Name:	INTERNATIONAL BUSINESS MACHINES CORPORATION
Street Address:	NEW ORCHARD ROAD
City:	ARMONK
State/Country:	NEW YORK
Postal Code:	10504
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	14501720
CORRESPONDENCE DATA	
Fax Number:	(410)630-1656
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	410-705-6400
Email:	baumann@gibbiplaw.com
Correspondent Name:	FREDERICK W. GIBB, III GIBB & RILEY, LLC
Address Line 1:	844 WEST STREET
Address Line 2:	SUITE 100
Address Line 4:	ANNAPOLIS, MARYLAND 21401
ATTORNEY DOCKET NUMBER:	BUR920140053US1
NAME OF SUBMITTER:	PAMELA M. RILEY
SIGNATURE:	/Pamela M. Riley/
DATE SIGNED:	09/30/2014
This document serves as an Oath/Declaration (37 CFR 1.63).	

PATENT

Total Attachments: 12

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source=BUR920140053US1_Assignment-Declaration#page10.tif
source=BUR920140053US1_Assignment-Declaration#page11.tif
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DECLARATION (37 C.F.R. 1.63) FOR UTILITY PATENT APPLICATION USING AN APPLICATION DATA SHEET (37 C.F.R. 1.76) AND ASSIGNMENT

Title of Invention: **SILICON PHOTONICS ALIGNMENT TOLERANT VERTICAL GRATING COUPLERS**

As a below named and undersigned inventor, I hereby declare that:

This declaration is directed to the attached application, or (if following box is checked):

United States application or PCT international application number _____ filed on _____.

The above-identified application was made or authorized to be made by me.

I believe that I am the original inventor or an original joint inventor of a claimed invention in the application.

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I am aware of the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in 37 CFR Section 1.56.

Whereas, I (“ASSIGNOR”) have made certain inventions, improvements, and discoveries (herein referred to as the “Invention”) disclosed in the above-identified patent application and further identified by the IBM Docket Number provided above in the header of this document;

Whereas, International Business Machines Corporation (herein referred to as the “ASSIGNEE”), a corporation of New York having a place of business at Armonk, New York, desires to acquire, and I desire to grant to the ASSIGNEE, my entire worldwide right, title, and interest in and to the Invention and in and to any and all patent applications and patents directed thereto;

Now, therefore, for good and valuable consideration, the receipt and sufficiency thereof being hereby acknowledged, I hereby sell or have sold, assign or have assigned, and otherwise transfer or have transferred to the ASSIGNEE, its successors, legal representatives, and assigns, my entire worldwide right, title, and interest in and to the Invention, the above-identified United States patent application, and any and all other patent applications and patents for the Invention which may be applied for or granted therefore in the United States and in all foreign countries and jurisdictions, including all divisions, continuations, reissues, reexaminations, renewals, extensions, counterparts, substitutes, and extensions thereof, and all rights of priority resulting from the filing of such applications and granting of such patents. In addition, I hereby authorize and request the Director of the United States Patent and Trademark Office to issue any United States Patent, and foreign patent authorities to issue any foreign patent, granted for the Invention, to the ASSIGNEE, its successors, legal representatives, and assigns, my entire worldwide right, title, and interest in and to the same to be held and enjoyed by the ASSIGNEE, its successors, legal representatives, and assigns to the full end of the terms for which any and all such patents may be granted, as fully and entirely as would have been held and enjoyed by me had this Assignment not been made; and I agree to execute any and all documents and instruments and perform all lawful acts reasonably related to recording this Assignment or perfecting title to the Invention and all related patents and applications, in the ASSIGNEE, its successors, legal representatives, and assigns, whenever requested by the ASSIGNEE, its successors, legal representatives, or assigns.

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I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.

Legal Name of Inventor: **John J. Ellis-Monaghan**

Signature:  Date: 9/30/14

DECLARATION (37 C.F.R. 1.63) FOR UTILITY PATENT APPLICATION USING AN APPLICATION DATA SHEET (37 C.F.R. 1.76) AND ASSIGNMENT

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I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.

Legal Name of Inventor: **William M. J. Green**

Signature:  Date: 09/30/2014

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Legal Name of Inventor: **Jens Hofrichter**

Signature: J. Hofrichter Date: 05/29/14

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Legal Name of Inventor: **Marwan H. Khater**

Signature: Marwan Khater Date: 9/30/2014

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Legal Name of Inventor: **Jessie C. Rosenberg**

Signature:  Date: 9/29/14

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Legal Name of Inventor: **Steven M. Shank**

Signature: Steven M. Shank Date: 9/29/14