10/06/2014 503006512

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT3053112

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

CONVEYING PARTY DATA

Name	Execution Date
WOLFGANG MARTERER	07/12/2012
BERTRAND SUTTER	07/12/2012
JEAN-CLAUDE BIANCHI	07/12/2012
MASSIMO PIGNONE	07/12/2012
DORIS STINGELIN	07/12/2012
ECKART BUERGER	07/12/2012
MAHAVIR PRASHAD	08/22/2012
EDWIN BERNARD VILLHAUER	08/22/2012
LILADHAR MURLIDHAR WAYKOLE	08/22/2012
JAMES ANTHONY VIVELO	08/22/2012
RAEANN WU	08/22/2012
DENIS HAR	08/22/2012
PIOTR H. KARPINSKI	08/22/2012

RECEIVING PARTY DATA

Name:	NOVARTIS AG
Street Address:	LICHTSTRASSE 35
City:	BASEL
State/Country:	SWITZERLAND
Postal Code:	4056

PROPERTY NUMBERS Total: 1

Property Type	Number
Application Number:	14232717

CORRESPONDENCE DATA

Fax Number: (202)621-1873

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Phone: 2026211872

Email: conny.verret@strainpllc.com **Correspondent Name:** STRAIN & STRAIN PLLC

Address Line 1: 1455 PENNSYLVANIA AVE NW

PATENT

REEL: 033891 FRAME: 0633 503006512

Address Line 2: SUITE 400
Address Line 4: WASHINGTON, D.C. 20004

ATTORNEY DOCKET NUMBER: 093286-0215

ATTORNEY DOCKET NUMBER:	093286-0215
NAME OF SUBMITTER:	PAUL D. STRAIN
SIGNATURE:	/Paul D. Strain/
DATE SIGNED:	10/06/2014

Total Attachments: 27

source=093286-0215 ExecutedAssignment#page1.tif source=093286-0215 ExecutedAssignment#page2.tif source=093286-0215 ExecutedAssignment#page3.tif source=093286-0215 ExecutedAssignment#page4.tif source=093286-0215 ExecutedAssignment#page5.tif source=093286-0215_ExecutedAssignment#page6.tif source=093286-0215 ExecutedAssignment#page7.tif source=093286-0215_ExecutedAssignment#page8.tif source=093286-0215 ExecutedAssignment#page9.tif source=093286-0215 ExecutedAssignment#page10.tif source=093286-0215_ExecutedAssignment#page11.tif source=093286-0215_ExecutedAssignment#page12.tif source=093286-0215 ExecutedAssignment#page13.tif source=093286-0215 ExecutedAssignment#page14.tif source=093286-0215_ExecutedAssignment#page15.tif source=093286-0215_ExecutedAssignment#page16.tif source=093286-0215 ExecutedAssignment#page17.tif source=093286-0215 ExecutedAssignment#page18.tif source=093286-0215 ExecutedAssignment#page19.tif source=093286-0215 ExecutedAssignment#page20.tif source=093286-0215 ExecutedAssignment#page21.tif source=093286-0215 ExecutedAssignment#page22.tif source=093286-0215 ExecutedAssignment#page23.tif source=093286-0215 ExecutedAssignment#page24.tif source=093286-0215 ExecutedAssignment#page25.tif source=093286-0215_ExecutedAssignment#page26.tif source=093286-0215 ExecutedAssignment#page27.tif

ASSIGNMENT COVER LETTER

U.S. Application No. 14/232,717, filed March 28, 2014 Title: SALTS OF AZA-BICYCLIC DI-ARYL ETHERS AND METHODS TO MAKE THEM OR THEIR PRECURSORS

Assignment fro	m:
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Wolfgang MARTERER	To:	NOVARTIS PHARMA AG	Executed: 06/19/2012
Bertrand SUTTER	To:	NOVARTIS PHARMA AG	Executed: 06/26/2012
Jean-Claude BIANCHI	To:	NOVARTIS PHARMA AG	Executed: 07/13/2012
Massimo PIGNONE	To:	NOVARTIS PHARMA AG	Executed: 06/26/2012
Doris STINGELIN	To:	NOVARTIS PHARMA AG	Executed: 06/26/2012
Eckart BUERGER	To:	NOVARTIS PHARMA AG	Executed: 07/13/2012

Assignment from:

NOVARTIS PHARMA AG To: NOVARTIS AG Executed: 07/12/2012

Assignment from:

Mahavir PRASHAD	To:	NOVARTIS PHARMACEUTICALS CORPORATION	Executed: 08/14/2012
Edwin Bernard VILLHAUER	To:	NOVARTIS PHARMACEUTICALS CORPORATION	Executed: 08/14/2012
Liladhar Murlidhar WAYKOLE	To:	NOVARTIS PHARMACEUTICALS CORPORATION	Executed: 08/20/2012
James Anthony VIVELO	То:	NOVARTIS PHARMACEUTICALS CORPORATION	Executed: 08/21/2012
Raeann WU	To:	NOVARTIS PHARMACEUTICALS CORPORATION	Executed: 08/14/2012
Denis HAR	To:	NOVARTIS PHARMACEUTICALS CORPORATION	Executed: 08/20/2012
Piotr H. KARPINSKI	To:	NOVARTIS PHARMACEUTICALS CORPORATION	Executed: 08/14/2012

Assignment from:

NOVARTIS PHARMACEUTICALS

CORPORATION To: NOVARTIS AG Executed: 08/22/2012

ASSIGNMENT

This Assignment Agreement is entered into by and between

Wolfgang MARTERER	citizen of Germany	Ferdinand-Kopf-Str. 6A	
		79117 Freiburg i.B.	
		DE	
Bertrand SUTTER	citizen of France	6 Rue des Pins	
		68220 Hesingue	
		FR	
Jean-Claude BIANCHI	citizen of France	Rue Kellergraben	
		68730 Blotzheim	
		FR	
Massimo PIGNONE	citizen of Italy	Novartis Pharma AG	
		Postfach	
		4002 Basel	
		Switzerland	
Doris STINGELIN	citizen of Switzerland	Novartis Pharma AG	******
		Postfach	
		4002 Basel	
		Switzerland	
Eckart BUERGER	citizen of Switzerland	Hubelweg 12	
	4dsmany	3098 Köniz	
		Switzerland	

(hereinafter "Inventor(s)"), and NOVARTIS PHARMA AG, Lichtstrasse 35,4056 Basel, Switzerland, a company organized under the laws of Switzerland.

For good and valuable consideration, the receipt and adequacy of which are hereby acknowledged, I/We the Inventor(s) do hereby confirm and agree that, by operation of law and/or contract, and/or by the fulfillment of any and all legal requirements regarding the transfer of employee inventions in my/our country of employment, NOVARTIS PHARMA AG is the true and lawful owner of all right, title and interest in all inventions, discoveries, patents/utility models, applications, and rights described in paragraphs (1) through (8) below.

If, however, under the laws of any country, I/We the Inventor(s) nevertheless have any ownership right, title or interest in any of the items described herein (which I/We do not believe to be the case and make no claim in or to), for good and valuable consideration, the receipt and adequacy of which is hereby acknowledged, I/We the Inventor(s) do hereby sell, assign and

transfer to NOVARTIS PHARMA AG and its successors, assigns and legal representatives (hereinafter referred to collectively as the "ASSIGNEE"), all of our right, title and interest for all countries of the world in and to

(1) all of our inventions and discoveries described in the provisional or non-provisional patent, utility model, or other application(s) entitled

"SALTS OF AZA-BICYCLIC DI-ARYL ETHERS AND METHODS TO MAKE THEM OR THEIR PRECURSORS" [Patent Case 54620]

and filed in the		Office on	, 20	and accorded
Application Number		and/or filed as a PC	T Internat	ional Application
on	, 20	and accorded International Patent	Application	on Number
PCT/	;	and/or filed in the United States P	atent and 3	Frademark Office
on 15 July, 2011 and	accorded	Application Number 61/508,147;		

- (2) the application(s) identified in paragraph (1), and all applications claiming priority from such application(s), directly or indirectly, including all national stages of any international patent application(s);
- (3) the right to file patent, utility model, or other applications on any invention or discovery disclosed in any of the applications identified in paragraphs (1) and (2), including the right to file such applications on said inventions and discoveries in the names of ASSIGNEE or their designees or in our/my name, at ASSIGNEE's election and in accordance with applicable law in all countries and regions; and the right to file all patent, utility model, or other applications in all countries and regions claiming the priority of any of the provisional or non-provisional application(s) identified in paragraphs (1) or (2):
- (4) all rights to claim priority from any of the applications referred to in paragraphs (1), (2), and (3) or from any application from which any of the applications referred to in paragraphs (1), (2) and (3) claim priority in all countries and regions under the Paris Convention for the Protection of Industrial Property, the WTO GATT TRIPS Agreement, the Inter-American Convention relating to Inventions, Patents, Designs, and Industrial Models and all other international agreements to which the United States now is or hereafter becomes a signatory and, if the United States patent application is a provisional patent application, under 35 USC 119(e);
- (5) all continuations, continuations-in-part, and divisionals of any United States patent application(s) or international patent application(s) designating the United States, any national stages of any international application(s), and any other patent application(s) described in paragraphs (1) through (4) hereof, including further continuations, continuations-in-part, and divisionals such as, but not limited to, continuations of continuations and continuations of divisionals;
- (6) all patents, utility models, or other grants that issue from any of the applications referred to herein and all rights and remedies associated therewith including the right to sue for

and recover past damages and to recover under 35 U.S.C. § 154 (d) or any other law permitting remedies for infringement prior to issuance of the patent;

- (7) all registrations and confirmations of, and importation certificates based upon, one or more of said patents, utility models, or other grants, and applications for such registrations, confirmations and importation certificates and;
- (8) all reissues, renewals and extensions of said patents, utility models, registrations, confirmations and importation certificates, reexamination certificates issued for said patents and supplementary protection certificates based upon said patents and applications for such reissues, renewals, extensions, reexamination certificates and supplementary protection certificates, the same to be held and enjoyed by said ASSIGNEE to the full ends of the terms for which said patents, utility models, registrations, confirmations, importation certificates, reexamination certificates, supplementary protection certificates, reissues, renewals and extensions may be granted, as fully and entirely as the same would have been held and enjoyed by me/us if this sale, assignment and transfer had not been made.

I/We the Inventor(s) hereby authorize ASSIGNEE and their representatives to insert in paragraph (1) of this Assignment the filing date(s) and Application Number(s) of said patent, utility model, or other application(s) when notified thereof.

I/We the Inventor(s) hereby covenant and agree that I/We will, at any time, (i) upon the request, but at the expense, of ASSIGNEE, execute and deliver all documents that may be necessary or desirable to perfect the title to the foregoing inventions and discoveries, applications, patents, utility models, registrations, confirmations, importation certificates, reissues, renewals, extensions, reexamination certificates, supplementary protection certificates, and applications within the scope of (7) and (8), in ASSIGNEE, including the execution and procurement of all further documents evidencing this sale, assignment and transfer as may be necessary or desirable for recording the same in the patent office or other intellectual property office, agency or the like of any country or region, (ii) upon the request, but at the expense, of ASSIGNEE execute all additional applications within the scope of paragraphs (1) through (6) and all applications within the scope of (7) or (8), and (iii) make all rightful oaths and declarations and do all lawful acts requisite for procuring the same or for aiding therein, without further compensation, but at the expense of ASSIGNEE.

Should any provision of this Assignment be deemed invalid or unenforceable by reason of any law, statute, regulation or judgment, existing now or in the future in any jurisdiction, such provision shall be modified in such jurisdiction so as to nearly approximate the intent of the Parties. If this cannot be done, such invalid or unenforceable provision shall be divisible and be deleted in any such jurisdiction, and all other provisions shall remain in full force and effect. The modification or deletion of any provision in one jurisdiction shall have no effect on this Assignment in any other jurisdiction.

This Assignment is effective as from the earliest priority date as stated above.

Executed this, 20 .	
L.S.	
Wolfgang Marterer	
Executed this day of, 20 .	
1.8	
Bertrand Sutter L.S.	
Executed this day of, 20 .	
L.S. Jean-Claude Bianchi	
Executed this day of, 20 .	
Massimo Pignone L.S.	
Executed this, 20.	
Doris Stingelin L.S.	
Executed this day of, 20/2	
Eckart Buerger L.S.	
Eckart Buerger	
Executed this 15th day of 12th, 2012	
NOVARTIS PHARMA AG	
BYL.	S
Sabine Zeller	•
Authorized signatory	
NOVARTIS BUARMA AG	
BY & VILLOUM L	S

Reto Halbeisen Authorized signatory

Patent Case 54620

ASSIGNMENT

This Assignment Agreement is entered into by and between

Wolfgang MARTERER	citizen of Germany	Novartis Pharma AG
		Postfach
		4002 Basel
		Switzerland
Bertrand SUTTER	citizen of France	Novartis Pharma AG
		Postfach
		4002 Basel
		Switzerland
Jean-Claude BIANCHI	citizen of France	Novartis Pharma AG
		Postfach
		4002 Basel
		Switzerland
Massimo PIGNONE	citizen of Italy	Novartis Pharma AG
		Postfach
		4002 Basel
		Switzerland
Doris STINGELIN	citizen of Switzerland	Novartis Pharma AG
		Postfach
		4002 Basel
		Switzerland
Eckart BUERGER	citizen of Switzerland	Hubelweg 12
	48000004	3098 Köníz
	\sim	Switzerland

(hereinafter "Inventor(s)"), and NOVARTIS PHARMA AG, Lichtstrasse 35,4056 Basel, Switzerland, a company organized under the laws of Switzerland.

For good and valuable consideration, the receipt and adequacy of which are hereby acknowledged, I/We the Inventor(s) do hereby confirm and agree that, by operation of law and/or contract, and/or by the fulfillment of any and all legal requirements regarding the transfer of employee inventions in my/our country of employment, NOVARTIS PHARMA AG is the true and lawful owner of all right, title and interest in all inventions, discoveries, patents/utility models, applications, and rights described in paragraphs (1) through (8) below.

If, however, under the laws of any country, I/We the Inventor(s) nevertheless have any ownership right, title or interest in any of the items described herein (which I/We do not believe to be the case and make no claim in or to), for good and valuable consideration, the receipt and adequacy of which is hereby acknowledged, I/We the Inventor(s) do hereby sell, assign and transfer to NOVARTIS PHARMA AG and its successors, assigns and legal representatives (hereinafter referred to collectively as the "ASSIGNEE"), all of our right, title and interest for all countries of the world in and to

	(1) all of our	inventions and	discoveries	described	in the p	provisional	or non-	provisional
patent,	utility model	, or other applic	ation(s) ent	itled				

"SALTS OF AZA-BICYCLIC DI-ARYL ETHERS AND METHODS TO MAKE THEM OR THEIR PRECURSORS" [Patent Case 54620]

and filed in the		Office on	, 20	and accorded
Application Number		and/or filed as a	PCT Internat	ional Application
on	, 20	and accorded International Pate	nt Applicati	on Number
PCT/	;	and/or filed in the United States	Patent and	Trademark Office
on 15 July, 2011 an	d accorded	Application Number 61/508,147	7:	

- (2) the application(s) identified in paragraph (1), and all applications claiming priority from such application(s), directly or indirectly, including all national stages of any international patent application(s);
- (3) the right to file patent, utility model, or other applications on any invention or discovery disclosed in any of the applications identified in paragraphs (1) and (2), including the right to file such applications on said inventions and discoveries in the names of ASSIGNEE or their designees or in our/my name, at ASSIGNEE's election and in accordance with applicable law in all countries and regions; and the right to file all patent, utility model, or other applications in all countries and regions claiming the priority of any of the provisional or non-provisional application(s) identified in paragraphs (1) or (2);
- (4) all rights to claim priority from any of the applications referred to in paragraphs (1),
 (2), and (3) or from any application from which any of the applications referred to in paragraphs
 (1), (2) and (3) claim priority in all countries and regions under the Paris Convention for the Protection of Industrial Property, the WTO GATT TRIPS Agreement, the Inter-American Convention relating to Inventions, Patents, Designs, and Industrial Models and all other international agreements to which the United States now is or hereafter becomes a signatory and, if the United States patent application is a provisional patent application, under 35 USC 119(e);
- (5) all continuations, continuations-in-part, and divisionals of any United States patent application(s) or international patent application(s) designating the United States, any national stages of any international application(s), and any other patent application(s) described in paragraphs (1) through (4) hereof, including further continuations, continuations-in-part, and divisionals such as, but not limited to, continuations of continuations and continuations of divisionals;

- (6) all patents, utility models, or other grants that issue from any of the applications referred to herein and all rights and remedies associated therewith including the right to sue for and recover past damages and to recover under 35 U.S.C. § 154 (d) or any other law permitting remedies for infringement prior to issuance of the patent;
- (7) all registrations and confirmations of, and importation certificates based upon, one or more of said patents, utility models, or other grants, and applications for such registrations, confirmations and importation certificates and;
- (8) all reissues, renewals and extensions of said patents, utility models, registrations, confirmations and importation certificates, reexamination certificates issued for said patents and supplementary protection certificates based upon said patents and applications for such reissues, renewals, extensions, reexamination certificates and supplementary protection certificates, the same to be held and enjoyed by said ASSIGNEE to the full ends of the terms for which said patents, utility models, registrations, confirmations, importation certificates, reexamination certificates, supplementary protection certificates, reissues, renewals and extensions may be granted, as fully and entirely as the same would have been held and enjoyed by me/us if this sale, assignment and transfer had not been made.

I/We the Inventor(s) hereby authorize ASSIGNEE and their representatives to insert in paragraph (1) of this Assignment the filing date(s) and Application Number(s) of said patent, utility model, or other application(s) when notified thereof.

I/We the Inventor(s) hereby covenant and agree that I/We will, at any time, (i) upon the request, but at the expense, of ASSIGNEE, execute and deliver all documents that may be necessary or desirable to perfect the title to the foregoing inventions and discoveries, applications, patents, utility models, registrations, confirmations, importation certificates, reissues, renewals, extensions, reexamination certificates, supplementary protection certificates, and applications within the scope of (7) and (8), in ASSIGNEE, including the execution and procurement of all further documents evidencing this sale, assignment and transfer as may be necessary or desirable for recording the same in the patent office or other intellectual property office, agency or the like of any country or region, (ii) upon the request, but at the expense, of ASSIGNEE execute all additional applications within the scope of paragraphs (1) through (6) and all applications within the scope of (7) or (8), and (iii) make all rightful oaths and declarations and do all lawful acts requisite for procuring the same or for aiding therein, without further compensation, but at the expense of ASSIGNEE.

Should any provision of this Assignment be deemed invalid or unenforceable by reason of any law, statute, regulation or judgment, existing now or in the future in any jurisdiction, such provision shall be modified in such jurisdiction so as to nearly approximate the intent of the Parties. If this cannot be done, such invalid or unenforceable provision shall be divisible and be deleted in any such jurisdiction, and all other provisions shall remain in full force and effect. The modification or deletion of any provision in one jurisdiction shall have no effect on this Assignment in any other jurisdiction.

This Assignment is effective as from the earliest priority date as stated above.

Executed this _______ L.S.

Wolfgang Marterer

Executed this ______ day of ______, 20 .

_______ L.S.

Bertrand Sutter

Executed this ______ day of ______, 20 .

_______ L.S.

Jean-Claude Bianchi

Executed this ______ day of ______, 20/2 ______ L.S.

Mussimo Pignone

Executed this ______ day of ______, 20 .

______ L.S.

Doris Stingelin

Eckart Buerger

Executed this $_$ day of $_$, 20 .

Executed this ______ day of ______, 20 .

_____L.S.

4

NOVARTIS PHARMA AG	
BY	L.S.
Sabine Zeller Authorized signatory	
NOVARTIS PHARMA AG	
BY E. Russer	L.S.
Ella Rutschmann Authorized signatory	

ASSIGNMENT

This Assignment Agreement is entered into by and between

Wolfgang MARTERER	citizen of Germany	Novartis Pharma AG
		Postfach
		4002 Basel
		Switzerland
Bertrand SUTTER	citizen of France	Novartis Pharma AG
		Postfach
		4002 Basel
		Switzerland
Jean-Claude BIANCHI	citizen of France	Novartis Pharma AG
		Postfach
		4002 Base
		Switzerland
Massimo PIGNONE	citizen of Italy	Novartis Pharma AG
		Postfach
		4002 Basel
		Switzerland
Doris STINGELIN	citizen of Switzerland	Novartis Pharma AG
		Postfach
		4002 Basel
		Switzerland
Eckart BUERGER	citizen of Switzerland	Hubelweg 12
	Ga anany	3098 Köniz
		Switzerland

(hereinafter "Inventor(s)"), and NOVARTIS PHARMA AG, Lichtstrasse 35,4056 Basel, Switzerland, a company organized under the laws of Switzerland.

For good and valuable consideration, the receipt and adequacy of which are hereby acknowledged, I/We the Inventor(s) do hereby confirm and agree that, by operation of law and/or contract, and/or by the fulfillment of any and all legal requirements regarding the transfer of employee inventions in my/our country of employment, NOVARTIS PHARMA AG is the true and lawful owner of all right, title and interest in all inventions, discoveries, patents/utility models, applications, and rights described in paragraphs (1) through (8) below.

If, however, under the laws of any country, I/We the Inventor(s) nevertheless have any ownership right, title or interest in any of the items described herein (which I/We do not believe to be the case and make no claim in or to), for good and valuable consideration, the receipt and adequacy of which is hereby acknowledged, I/We the Inventor(s) do hereby sell, assign and

transfer to NOVARTIS PHARMA AG and its successors, assigns and legal representatives (hereinafter referred to collectively as the "ASSIGNEE"), all of our right, title and interest for all countries of the world in and to

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"SALTS OF AZA-BICYCLIC DI-ARYL ETHERS AND METHODS TO MAKE THEM OR THEIR PRECURSORS" [Patent Case 54620]

and filed in the		Office on	, 20	and accorded
Application Number		and/or filed as a I	CT Interna	tional Application
on	_ , 20	and accorded International Pater	nt Applicati	on Number
PCT/		and/or filed in the United States	Patent and	Trademark Office
on 15 July, 2011 and	d accorded	Application Number 61/508,147	• 5	

- (2) the application(s) identified in paragraph (1), and all applications claiming priority from such application(s), directly or indirectly, including all national stages of any international patent application(s);
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- (4) all rights to claim priority from any of the applications referred to in paragraphs (1), (2), and (3) or from any application from which any of the applications referred to in paragraphs (1), (2) and (3) claim priority in all countries and regions under the Paris Convention for the Protection of Industrial Property, the WTO GATT TRIPS Agreement, the Inter-American Convention relating to Inventions, Patents, Designs, and Industrial Models and all other international agreements to which the United States now is or hereafter becomes a signatory and, if the United States patent application is a provisional patent application, under 35 USC 119(e);
- (5) all continuations, continuations-in-part, and divisionals of any United States patent application(s) or international patent application(s) designating the United States, any national stages of any international application(s), and any other patent application(s) described in paragraphs (1) through (4) hereof, including further continuations, continuations-in-part, and divisionals such as, but not limited to, continuations of continuations and continuations of divisionals;
- (6) all patents, utility models, or other grants that issue from any of the applications referred to herein and all rights and remedies associated therewith including the right to sue for

and recover past damages and to recover under 35 U.S.C. § 154 (d) or any other law permitting remedies for infringement prior to issuance of the patent;

- (7) all registrations and confirmations of, and importation certificates based upon, one or more of said patents, utility models, or other grants, and applications for such registrations, confirmations and importation certificates and;
- (8) all reissues, renewals and extensions of said patents, utility models, registrations, confirmations and importation certificates, reexamination certificates issued for said patents and supplementary protection certificates based upon said patents and applications for such reissues, renewals, extensions, reexamination certificates and supplementary protection certificates, the same to be held and enjoyed by said ASSIGNEE to the full ends of the terms for which said patents, utility models, registrations, confirmations, importation certificates, reexamination certificates, supplementary protection certificates, reissues, renewals and extensions may be granted, as fully and entirely as the same would have been held and enjoyed by me/us if this sale, assignment and transfer had not been made.

I/We the Inventor(s) hereby authorize ASSIGNEE and their representatives to insert in paragraph (1) of this Assignment the filing date(s) and Application Number(s) of said patent, utility model, or other application(s) when notified thereof.

I/We the Inventor(s) hereby covenant and agree that I/We will, at any time, (i) upon the request, but at the expense, of ASSIGNEE, execute and deliver all documents that may be necessary or desirable to perfect the title to the foregoing inventions and discoveries, applications, patents, utility models, registrations, confirmations, importation certificates, reissues, renewals, extensions, reexamination certificates, supplementary protection certificates, and applications within the scope of (7) and (8), in ASSIGNEE, including the execution and procurement of all further documents evidencing this sale, assignment and transfer as may be necessary or desirable for recording the same in the patent office or other intellectual property office, agency or the like of any country or region, (ii) upon the request, but at the expense, of ASSIGNEE execute all additional applications within the scope of paragraphs (1) through (6) and all applications within the scope of (7) or (8), and (iii) make all rightful oaths and declarations and do all lawful acts requisite for procuring the same or for aiding therein, without further compensation, but at the expense of ASSIGNEE.

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This Assignment is effective as from the earliest priority date as stated above.

Executed this day of, 20 12	
Wolfgang Marterer L.S	S
Executed this 26 day of 444, 20 12	
Executed this	i Par
Bertrand Sutter	
Executed this, 20.	
L.S Jean-Claude Bianchi	i.
Executed this 26 day of 300, 20.	
L.S) }•
Massimo Pignone	
Executed this 26 day of figure, 20.	
L.S	
Doris Stingelin	
Executed this, 20 .	
LS	
Eckart Buerger	
Executed this 45 day of 44, 204	
NOVARTIS PHARMA AG	
BY	L.S
Sabine Zeller Authorized signatory	
NOVARTIS PHARMA AG BY	L.S
Reto Halbeisen Authorized signatory	

2

ASSIGNMENT

This Assignment Agreement is entered into by and between

Mahavir PRASHAD	citizen of United States of	Novartis Pharmaceuticals Corporation	
	America	One Health Plaza	
		East Hanover, NJ 07936-1080	
		USA	
Edwin Bernard VILLHAUER		Novartis Pharmaceuticals Corporation	
	America	One Health Plaza	
		East hanover, NJ 07936-1080	
		USA	
Liladhar Munidhar	citizen of United States of	Novartis Pharmaceuticals Corporation	
WAYKOLE	America	One Health Plaza	
		East Hanover, NJ 07936-1080	
		US	
,	citizen of United States of America	27 Vanderveer Drive	
		Basking Ridge, NJ 07920	
		US	
Raeann WU	citizen of United States of	2423 Rachel Terrace	
	America	Pine Brook, NJ 07058	
		US	
Denis HAR	citizen of United States of	Novartis Pharmaceuticals Corporation	
	America	One Health Plaza	
		East Hanover, NJ 07936-1080	
		USA	
Piotr H. KARPINSKI	citizen of United States of	Novartis Pharmaceuticals Corporation	
	America	One Health Plaza	
		East Hanover, NJ 07936-1080	
		us	

(hereinafter "Inventor(s)"), and Novartis Pharmaceuticals Corporation, One Health Plaza, East Hanover, NJ 07936-1080, USA, a company organized under the laws of Delaware.

For good and valuable consideration, the receipt and adequacy of which are hereby acknowledged, I/We the Inventor(s) do hereby confirm and agree that, by operation of law and/or contract, and/or by the fulfillment of any and all legal requirements regarding the transfer of employee inventions in my/our country of employment, Novartis Pharmaceuticals Corporation is the true and lawful owner of all right, title and interest in all inventions, discoveries, patents/utility models, applications, and rights described in paragraphs (1) through (8) below.

If, however, under the laws of any country, I/We the Inventor(s) nevertheless have any ownership right, title or interest in any of the items described herein (which I/We do not believe to be the case and make no claim in or to), for good and valuable consideration, the receipt and adequacy of which is hereby acknowledged, I/We the Inventor(s) do hereby sell, assign and transfer to Novartis Pharmaceuticals Corporation and its successors, assigns and legal representatives (hereinafter referred to collectively as the "ASSIGNEE"), all of our right, title and interest for all countries of the world in and to

 all of our inventions and discoveries described in the provisional or non-provisional patent, utility model, or other application(s) entitled

"SALTS OF AZA-BICYCLIC DI-ARYL ETHERS AND METHODS TO MAKE THEM OR THEIR PRECURSORS" [Patent Case 54620]

and filed in the		Office on	, 20	and accorded
Application Number		and/or filed as a	PCT Internat	ional Application
on	, 20	and accorded International Pa	tent Application	on Number
PCT/		; and/or filed in the United State	~ ~	
on 15 July, 2011 an	d accorde	ed Application Number 61/508,14	\$7 ;	

- (2) the application(s) identified in paragraph (1), and all applications claiming priority from such application(s), directly or indirectly, including all national stages of any international patent application(s);
- (3) the right to file patent, utility model, or other applications on any invention or discovery disclosed in any of the applications identified in paragraphs (1) and (2), including the right to file such applications on said inventions and discoveries in the names of ASSIGNEE or their designees or in our/my name, at ASSIGNEE's election and in accordance with applicable law in all countries and regions; and the right to file all patent, utility model, or other applications in all countries and regions claiming the priority of any of the provisional or non-provisional application(s) identified in paragraphs (1) or (2);
- (4) all rights to claim priority from any of the applications referred to in paragraphs (1),
 (2), and (3) or from any application from which any of the applications referred to in paragraphs (1),
 (2) and (3) claim priority in all countries and regions under the Paris Convention for the Protection of Industrial Property, the WTO GATT TRIPS Agreement, the Inter-American

Convention relating to Inventions, Patents, Designs, and Industrial Models and all other international agreements to which the United States now is or hereafter becomes a signatory and, if the United States patent application is a provisional patent application, under 35 USC 119(e);

- (5) all continuations, continuations-in-part, and divisionals of any United States patent application(s) or international patent application(s) designating the United States, any national stages of any international application(s), and any other patent application(s) described in paragraphs (1) through (4) hereof, including further continuations, continuations-in-part, and divisionals such as, but not limited to, continuations of continuations and continuations of divisionals:
- (6) all patents, utility models, or other grants that issue from any of the applications referred to herein and all rights and remedies associated therewith including the right to sue for and recover past damages and to recover under 35 U.S.C. § 154 (d) or any other law permitting remedies for infringement prior to issuance of the patent;
- (7) all registrations and confirmations of, and importation certificates based upon, one or more of said patents, utility models, or other grants, and applications for such registrations, confirmations and importation certificates and;
- (8) all reissues, renewals and extensions of said patents, utility models, registrations, confirmations and importation certificates, reexamination certificates issued for said patents and supplementary protection certificates based upon said patents and applications for such reissues, renewals, extensions, reexamination certificates and supplementary protection certificates, the same to be held and enjoyed by said ASSIGNEE to the full ends of the terms for which said patents, utility models, registrations, confirmations, importation certificates, reexamination certificates, supplementary protection certificates, reissues, renewals and extensions may be granted, as fully and entirely as the same would have been held and enjoyed by me/us if this sale, assignment and transfer had not been made.

I/We the Inventor(s) hereby authorize ASSIGNEE and their representatives to insert in paragraph (1) of this Assignment the filing date(s) and Application Number(s) of said patent, utility model, or other application(s) when notified thereof.

I/We the Inventor(s) hereby covenant and agree that I/We will, at any time, (i) upon the request, but at the expense, of ASSIGNEE, execute and deliver all documents that may be necessary or desirable to perfect the title to the foregoing inventions and discoveries, applications, patents, utility models, registrations, confirmations, importation certificates, reissues, renewals, extensions, reexamination certificates, supplementary protection certificates, and applications within the scope of (7) and (8), in ASSIGNEE, including the execution and procurement of all further documents evidencing this sale, assignment and transfer as may be necessary or desirable for recording the same in the patent office or other intellectual property office, agency or the like of any country or region, (ii) upon the request, but at the expense, of ASSIGNEE execute all additional applications within the scope of paragraphs (1) through (6)

and all applications within the scope of (7) or (8), and (iii) make all rightful oaths and declarations and do all lawful acts requisite for procuring the same or for aiding therein, without further compensation, but at the expense of ASSIGNEE.

Should any provision of this Assignment be deemed invalid or unenforceable by reason of any law, statute, regulation or judgment, existing now or in the future in any jurisdiction, such provision shall be modified in such jurisdiction so as to nearly approximate the intent of the Parties. If this cannot be done, such invalid or unenforceable provision shall be divisible and be deleted in any such jurisdiction, and all other provisions shall remain in full force and effect. The modification or deletion of any provision in one jurisdiction shall have no effect on this Assignment in any other jurisdiction.

This Assignment shall be governed by the laws of the state of Delaware.

This Assignment is effective as from the earliest priority date as stated above.

	Executed this 14 m day of Anagust , 2012.
	L.S. Mahavir Prashad
	On this /4 day of 20/2 before, me the undersigned notary public, personally appeared 10/2 to 10 proved to me through satisfactory evidence of identification, which was to be the person whose name is signed on
	the preceding or attached document and acknowledged to me that he or she signed it voluntarily for its stated purpose.
0	Zacyaen C. Wile, Notary Public
	My commission expires STATE OF NEW RESET AND COMMISSION EXPOSES JOIN 23, 2015
	Executed this
	Ella 1/2 Mallian 1 L.S.
	Edwin Bernard Villhauer
	On this /4 day of 20/2 before, me the undersigned notary public, personally appeared 24 win Box /2 Villiams proved to me through satisfactory evidence of identification, which was to be the person whose name is signed on

the preceding or attached document and acknowledged to me that he or she signed it voluntarily for its stated purpose.
Drawew/CWkor, Notary Public
My commission expires
Executed this 20 day of A-3, 2012 L.S.
Liladhar Murlidhar Waykole
On this of day of 20/2 before, me the undersigned notary public, personally appeared Color Medick of the discrete of identification, which was to be the person whose name is signed on the preceding or attached document and acknowledged to me that he or she signed it voluntarily for its stated purpose.
War aw Crange Notary Public
My commission expires SAUREEN C. McGEE NOTARY PLISEC STATE OF NEW JERSEY Sty Commission Expires Jan. 23, 2015
Executed this 21 day of Aqust, 2019
James Anthony Vivelo L.S.
On this 21 day of 24/24 20/2 before, me the undersigned notary public, personally appeared 28/25/24/25/25/25/25/25/25/25/25/25/25/25/25/25/
Yuana Challer, Notary Public
My commission expires ***MANNEEN C. MISSEE ***NOTANY PLEELS ***Y Commission Expires Am. 23, 2015

	Executed this 14 day of Aug 2012
	L.S.
	On this day of 20/2 before, me the undersigned notary public, personally appeared 20/2 proved to me through satisfactory evidence of identification, which was to be the person whose name is signed on the preceding or attached document and acknowledged to me that he or she signed it voluntarily for its stated purpose.
Y U	My commission expires Notary Public
	Executed this 20 day of Aug., 20/4-
	Denis Har L.S.
	On this day of 20/2 before, me the undersigned notary public, personally appeared 20/2 proved to me through satisfactory evidence of identification, which was to be the person whose name is signed on the preceding or attached document and acknowledged to me that he or she signed it voluntarily for its stated purpose.
24	24/0eu/ Oll Let, Notary Public
	My commission expires **MAUNIEN C. NOSEE **NOTARY PUBLIC STATE OF NEW JERSEY My Commission Expires Jan. 23, 2015

Executed this /4 day of //29 , 20/2
Piotr H. Karpinski
On this 4 day of 202 before, me the undersigned notary public, personally appeared 1000 h. Colors & proved to me through satisfactory evidence of identification, which was to be the person whose name is signed on the preceding or attached document and acknowledged to me that he or she signed it voluntarily for its stated purpose.
) Clausew Cle Lee, Notary Public
MAUNEEN C. No.GEE NOTARY FUSILIC STATE OF NEW JERSEY My Commission Expires Jan. 23, 2015
Executed this 22 day of Aug, 20/2
Novartis Pharmaceuticals Corporation
BY <u>Aid Klepacky</u> L.S.
On this 22 day of 2012 before, me the undersigned notary public, personally appeared Condy K (2016 e.K.) proved to me through satisfactory evidence of identification, which was to be the person whose name is signed on the preceding or attached document and acknowledged to me that he or she signed it voluntarily for its stated purpose.
State Can Caller, Notary Public
My commission expires STATE OF NEW JERSEY My Commission Expires STATE OF NEW JERSEY My Commission Expires Jan. 23, 2015

ASSIGNMENT

This Assignment Agreement is entered into by and between **NOVARTIS PHARMA AG**, Lichtstrasse 35, 4056 Basel, Switzerland, a company organized under the laws of Switzerland, and **NOVARTIS AG**, a company incorporated in Switzerland whose address is Lichtstrasse 35, 4056 Basel, Switzerland.

For good and valuable consideration, the receipt and adequacy of which are hereby acknowledged, NOVARTIS PHARMA AG does hereby sell, assign and transfer to NOVARTIS AG and its successors, assigns and legal representatives (hereinafter referred to collectively as the "ASSIGNEE"), all of its right, title and interest for all countries of the world in and to

- from such application(s), directly or indirectly, including all national stages of any international patent application(s);

 (3) the right to file patent, utility model, or other applications on any invention or
- discovery disclosed in any of the applications identified in paragraphs (1) and (2), including the right to file such applications on said inventions and discoveries in the names of ASSIGNEE or their designees, or on behalf or in the name(s) of the inventor(s) of said inventions and discoveries, at ASSIGNEE's election and in accordance with applicable law in all countries and regions; and the right to file all patent, utility model, or other applications in all countries and regions claiming the priority of any of the provisional or non-provisional application(s) identified in paragraphs (1) or (2);
- (4) all rights to claim priority from any of the applications referred to in paragraphs (1),
 (2), and (3) or from any application from which any of the applications referred to in paragraphs
 (1), (2) and (3) claim priority in all countries and regions under the Paris Convention for the Protection of Industrial Property, the WTO GATT TRIPS Agreement, the Inter-American Convention relating to Inventions, Patents, Designs, and Industrial Models and all other international agreements to which the United States now is or hereafter becomes a signatory and, if the United States patent application is a provisional patent application, under 35 USC 119(e);

- (5) all continuations, continuations-in-part, and divisionals of any United States patent application(s) or international patent application(s) designating the United States, any national stages of any international application(s), and any other patent application(s) described in paragraphs (1) through (4) hereof, including further continuations, continuations-in-part, and divisionals such as, but not limited to, continuations of continuations and continuations of divisionals:
- (6) all patents, utility models, or other grants that issue from any of the applications referred to herein and all rights and remedies associated therewith including the right to sue for and recover past damages and to recover under 35 U.S.C. § 154 (d) or any other law permitting remedies for infringement prior to issuance of the patent;
- (7) all registrations and confirmations of, and importation certificates based upon, one or more of said patents, utility models, or other grants, and applications for such registrations, confirmations and importation certificates and;
- (8) all reissues, renewals and extensions of said patents, utility models, registrations, confirmations and importation certificates, reexamination certificates issued for said patents and supplementary protection certificates based upon said patents and applications for such reissues, renewals, extensions, reexamination certificates and supplementary protection certificates, the same to be held and enjoyed by said ASSIGNEE to the full ends of the terms for which said patents, utility models, registrations, confirmations, importation certificates, reexamination certificates, supplementary protection certificates, reissues, renewals and extensions may be granted, as fully and entirely as the same would have been held and enjoyed by NOVARTIS PHARMA AG if this sale, assignment and transfer had not been made.

NOVARTIS PHARMA AG hereby authorizes ASSIGNEE and their representatives to insert in paragraph (1) of this Assignment the filing date(s) and Application Number(s) of said patent, utility model, or other application(s) when notified thereof.

NOVARTIS PHARMA AG hereby covenants and agrees that it will, at any time, (i) upon the request, but at the expense, of ASSIGNEE, execute and deliver all documents that may be necessary or desirable to perfect the title to the foregoing inventions and discoveries, applications, patents, utility models, registrations, confirmations, importation certificates, reissues, renewals, extensions, reexamination certificates, supplementary protection certificates, and applications within the scope of (7) and (8), in ASSIGNEE, including the execution and procurement of all further documents evidencing this sale, assignment and transfer as may be necessary or desirable for recording the same in the patent office or other intellectual property office, agency or the like of any country or region, (ii) upon the request, but at the expense, of ASSIGNEE execute all additional applications within the scope of paragraphs (1) through (6) and all applications within the scope of (7) or (8), and (iii) make all rightful oaths and declarations and do all lawful acts requisite for procuring the same or for aiding therein, without further compensation, but at the expense of ASSIGNEE.

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Should any provision of this Assignment be deemed invalid or unenforceable by reason of any law, statute, regulation or judgment, existing now or in the future in any jurisdiction, such provision shall be modified in such jurisdiction so as to nearly approximate the intent of the Parties. If this cannot be done, such invalid or unenforceable provision shall be divisible and be deleted in any such jurisdiction, and all other provisions shall remain in full force and effect. The modification or deletion of any provision in one jurisdiction shall have no effect on this Assignment in any other jurisdiction.

This Assignment shall be governed by the laws of Switzerland.

This Assignment is effective as from the earliest priority date as stated above.

Executed this day of, 20	
NOVARTIS PHARMA AG	
BY Addition	_LS
Sabine Zeller Authorized signatory	
NOVARTIS PHARMA AG	
BY LIVE WALLES	_L.S
Reto Halbeisen Authorized signatory	
Executed this day of , 20 4	
NOVARTIS AG	
BY E. Rubchi	_L.S
Ella Rutschmann Authorized signatory	
NOVARTIS AG	
BY Elena S. Burman	L.S

Elena S. Brugnera Authorized signatory



ASSIGNMENT

This Assignment Agreement is entered into by and between Novartis Pharmaceuticals Corporation, One Health Plaza, East Hanover, NJ 07936-1080, USA, a company organized under the laws of Delaware, and NOVARTIS AG, a company incorporated in Switzerland whose address is Lichtstrasse 35, 4056 Basel, Switzerland.

For good and valuable consideration, the receipt and adequacy of which are hereby acknowledged, Novartis Pharmaceuticals Corporation does hereby sell, assign and transfer to NOVARTIS AG and its successors, assigns and legal representatives (hereinafter referred to collectively as the "ASSIGNEE"), all of its right, title and interest for all countries of the world in and to

(1) all invention application(s) entitled	ons and di	scoveries described in the pro	visional or non	a-provisional patent	
"SALTS OF AZA-BICYCLIC DI-ARYL ETHERS AND METHODS TO MAKE THEM OR THEIR PRECURSORS" [Patent Case 54620]					
and filed in theApplication Number	: .		, 20 s a PCT Interns	and accorded ational Application	
on	_, 20	and accorded International F; and/or filed in the United Sta Application Number 61/508,	Patent Applicat ates Patent and	ion Number	
on 15 July, 2011 and	accorded	Application Number 61/508,	147;		

- (2) the application(s) identified in paragraph (1), and all applications claiming priority from such application(s), directly or indirectly, including all national stages of any international patent application(s);
- (3) the right to file patent, utility model, or other applications on any invention or discovery disclosed in any of the applications identified in paragraphs (1) and (2), including the right to file such applications on said inventions and discoveries in the names of ASSIGNEE or their designees, or on behalf or in the name(s) of the inventor(s) of said inventions and discoveries, at ASSIGNEE's election and in accordance with applicable law in all countries and regions; and the right to file all patent, utility model, or other applications in all countries and regions claiming the priority of any of the provisional or non-provisional application(s) identified in paragraphs (1) or (2);
- (4) all rights to claim priority from any of the applications referred to in paragraphs (1), (2), and (3) or from any application from which any of the applications referred to in paragraphs (1), (2) and (3) claim priority in all countries and regions under the Paris Convention for the Protection of Industrial Property, the WTO GATT TRIPS Agreement, the Inter-American Convention relating to Inventions, Patents, Designs, and Industrial Models and all other international agreements to which the United States now is or hereafter becomes a signatory and, if the United States patent application is a provisional patent application, under 35 USC 119(e);

- (5) all continuations, continuations-in-part, and divisionals of any United States patent application(s) or international patent application(s) designating the United States, any national stages of any international application(s), and any other patent application(s) described in paragraphs (1) through (4) hereof, including further continuations, continuations-in-part, and divisionals such as, but not limited to, continuations of continuations and continuations of divisionals;
- (6) all patents, utility models, or other grants that issue from any of the applications referred to herein and all rights and remedies associated therewith including the right to sue for and recover past damages and to recover under 35 U.S.C. § 154 (d) or any other law permitting remedies for infringement prior to issuance of the patent;
- (7) all registrations and confirmations of, and importation certificates based upon, one or more of said patents, utility models, or other grants, and applications for such registrations, confirmations and importation certificates and;
- (8) all reissues, renewals and extensions of said patents, utility models, registrations, confirmations and importation certificates, reexamination certificates issued for said patents and supplementary protection certificates based upon said patents and applications for such reissues, renewals, extensions, reexamination certificates and supplementary protection certificates, the same to be held and enjoyed by said ASSIGNEE to the full ends of the terms for which said patents, utility models, registrations, confirmations, importation certificates, reexamination certificates, supplementary protection certificates, reissues, renewals and extensions may be granted, as fully and entirely as the same would have been held and enjoyed by Novartis Pharmaceuticals Corporation if this sale, assignment and transfer had not been made.

Novartis Pharmaceuticals Corporation hereby authorizes ASSIGNEE and their representatives to insert in paragraph (1) of this Assignment the filing date(s) and Application Number(s) of said patent, utility model, or other application(s) when notified thereof.

Novartis Pharmaceuticals Corporation hereby covenants and agrees that it will, at any time, (i) upon the request, but at the expense, of ASSIGNEE, execute and deliver all documents that may be necessary or desirable to perfect the title to the foregoing inventions and discoveries, applications, patents, utility models, registrations, confirmations, importation certificates, reissues, renewals, extensions, reexamination certificates, supplementary protection certificates, and applications within the scope of (7) and (8), in ASSIGNEE, including the execution and procurement of all further documents evidencing this sale, assignment and transfer as may be necessary or desirable for recording the same in the patent office or other intellectual property office, agency or the like of any country or region, (ii) upon the request, but at the expense, of ASSIGNEE execute all additional applications within the scope of paragraphs (1) through (6) and all applications within the scope of (7) or (8), and (iii) make all rightful oaths and declarations and do all lawful acts requisite for procuring the same or for aiding therein, without further compensation, but at the expense of ASSIGNEE.

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This Assignment shall be governed by the laws of Switzerland.

This Assignment is effective as from the earliest priority date as stated above.

Executed this <u>JJ</u> day of <u>Gug</u> ,	20_{2
Novartis Pharmaceuticals Corporation	
BY Cindy Henry	L.S.
On this 2 day of Left 2012 before appeared why kieps ky proved to identification, which was his/her license to be the or attached document and acknowledged to me the purpose.	person whose name is signed on the preceding
Machaew C While Notary Public	
My commission expires	MAUREEN C. MIGGE NOTARY PLELIC STATE OF NEW JERSEY My Commission Express Jan. 23, 2015
Executed this 27 day of August	, 20_12_
NOVARTISAT	
BY	_L.S.
Säbine Zeller Authorized signatory	
NOVARTIS AGO	
BY_ LANGUE MAN	_L.S.
Reto Halbeisen	

54620Assignment_Novartis Pharmaceuticals (EH) to NAG

Authorized signatory

RECORDED: 10/06/2014

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