10/08/2014 503010480

### PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT3057080

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

#### **CONVEYING PARTY DATA**

Name	Execution Date
HOLGER SCHULTHEISS	05/16/2013
NADINE TRESCH	05/14/2013

#### **RECEIVING PARTY DATA**

Name:	BASF PLANT SCIENCE COMPANY GMBH	
Street Address:	•	
City:	LUDWIGSHAFEN	
State/Country:	GERMANY	
Postal Code:	67056	

#### **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	14391244

#### CORRESPONDENCE DATA

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Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

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Address Line 2: **SUITE 220** 

Address Line 4: DURHAM, NORTH CAROLINA 27703-8579

ATTORNEY DOCKET NUMBER:	14933-109	
NAME OF SUBMITTER:	JOSHUA T. ELLIOTT, REG. NO. 43603	
SIGNATURE:	/Joshua T. Elliott/	
DATE SIGNED:	10/08/2014	
	This document serves as an Oath/Declaration (37 CFR 1.63).	

**Total Attachments: 2** 

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> PATENT REEL: 033911 FRAME: 0428 503010480

## DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET (37 CFR 1. 76) AND ASSIGNMENT

Title of Invention
As the below named inventor, I hereby declare that:
This declaration [ ]The attached application, or
is directed to: [X] United States application or PCT international application number PCT/EP2013/055319 filed on 15th March 2013
The above-identified application was made or authorized to be made by me.
I believe that I am the original inventor or an original joint inventor of a claimed invention in the application.
WHEREAS, BASF Plant Science Company GmbH having places of business at: 67056 Ludwigshafen, Germany, (hereinafter referred to as "ASSIGNEE(S)") is desirous of acquiring the entire right, title and interest in and to said invention and in and to any Letters Patent that may be granted therefore in the United States and its territorial possessions and in any and all foreign countries;
NOW, THEREFORE, in consideration of the sum of ONE DOLLAR (\$1.00), the receipt whereof is hereby acknowledged, and for other good and valuable consideration, I, by these presents do sell, assign and transfer unto said ASSIGNEE(S), the full and exclusive right to the said invention in the United States and its territorial possessions and in all foreign countries (including the right to claim priority under the terms of the International Convention and other relevant International Treaties and Arrangements from the aforesaid application) and the entire right, title and interest in and to any and all Letters Patent which may be granted therefor in the United States and its territorial possessions and in any and all foreign countries and in and to any and all divisions, reissues, continuations, substitutions and renewals thereof.
I hereby authorize and request the Patent Office Officials in the United States and its territorial possessions and any and all foreign countries to issue any and all of said Letters Patent, when granted, to said ASSIGNEE(S) as the assignee of my entire right, title and interest in and to the same, for the sole use and behoof of said ASSIGNEE(S), its (his) successors and assigns, to the full end of the term for which said Letters Patent may be granted, as fully and entirely as the same would have been held by me had this Assignment and sale not been made.
Further, I agree that I will communicate to said ASSIGNEE(S) or its (his) representatives any facts known to me respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuation, substitute, renewal and reissue applications, execute all necessary assignment papers to cause any and all of said Letters Patent to be issued to said ASSIGNEE(S), make all rightful oaths, and, generally do everything possible to aid said ASSIGNEE(S), its (his) successors and assigns, to obtain and enforce proper protection for said invention in the United States and its territorial possessions and in any and all foreign countries.
I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.
LEGAL NAME OF INVENTOR
Inventor: Holger Schultheiß Date: 16.5.2013
Signature:

PATENT REEL: 033911 FRAME: 0429

# DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET (37 CFR 1.76) AND ASSIGNMENT

Title of Invention
As the below named inventor, I hereby declare that:
This declaration [ ] The attached application, or
is directed to: [X] United States application or PCT international application number PCT/EP2013/055319 filed on 15th March 2013
The above-identified application was made or authorized to be made by me.
I believe that I am the original inventor or an original joint inventor of a claimed invention in the application.
WHEREAS, BASF Plant Science Company GmbH having places of business at: 67056 Ludwigshafen, Germany, (hereinafter referred to as "ASSIGNEE(S)") is desirous of acquiring the entire right, title and interest in and to said invention and in and to any Letters Patent that may be granted therefore in the United States and its territorial possessions and in any and all foreign countries;
NOW, THEREFORE, in consideration of the sum of ONE DOLLAR (\$1.00), the receipt whereof is hereby acknowledged, and for other good and valuable consideration, I, by these presents do sell, assign and transfer unto said ASSIGNEE(S), the full and exclusive right to the said invention in the United States and its territorial possessions and in all foreign countries (including the right to claim priority under the terms of the International Convention and other relevant International Treaties and Arrangements from the aforesaid application) and the entire right, title and interest in and to any and all Letters Patent which may be granted therefor in the United States and its territorial possessions and in any and all foreign countries and in and to any and all divisions, reissues, continuations, substitutions and renewals thereof.
I hereby authorize and request the Patent Office Officials in the United States and its territorial possessions and any and all foreign countries to issue any and all of said Letters Patent, when granted, to said ASSIGNEE(S) as the assignee of my entire right, title and interest in and to the same, for the sole use and behoof of said ASSIGNEE(S), its (his) successors and assigns, to the full end of the term for which said Letters Patent may be granted, as fully and entirely as the same would have been held by me had this Assignment and sale not been made.
Further, I agree that I will communicate to said ASSIGNEE(S) or its (his) representatives any facts known to me respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuation, substitute, renewal and reissue applications, execute all necessary assignment papers to cause any and all of said Letters Patent to be issued to said ASSIGNEE(S), make all rightful oaths, and, generally do everything possible to aid said ASSIGNEE(S), its (his) successors and assigns, to obtain and enforce proper protection for said invention in the United States and its territorial possessions and in any and all foreign countries.
I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.
LEGAL NAME OF INVENTOR
Inventor: Nadine Tresch  Date: 14.05.13
Signature: Nadile Treel

PATENT REEL: 033911 FRAME: 0430