# PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2

EPAS ID: PAT3069935

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

#### **CONVEYING PARTY DATA**

Name	Execution Date
ULF DÜHRING	09/17/2014
KERSTIN BAIER	09/17/2014
FRAUKE GERMER	09/28/2014
TUO SHI	10/10/2014

## **RECEIVING PARTY DATA**

Name:	ALGENOL BIOFUELS INC.
Street Address:	16121 LEE ROAD
City:	FORT MYERS
State/Country:	FLORIDA
Postal Code:	33912

#### **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	14320569

### CORRESPONDENCE DATA

Fax Number: (239)284-1199

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

2394982000 Phone:

Email: ip.legal@algenol.com

**Correspondent Name:** ALGENOL BIOFUELS INC. ATTN:IP/LEGAL DEPT

**16121 LEE ROAD** Address Line 1:

Address Line 2: SUITE 110

Address Line 4: FORT MYERS, FLORIDA 33912

ATTORNEY DOCKET NUMBER:	P0013.03.US
NAME OF SUBMITTER:	TIMOTHY A MCCAN
SIGNATURE:	/Timothy A McCan/
DATE SIGNED:	10/17/2014
	This document serves as an Oath/Declaration (37 CFR 1.63).

**Total Attachments: 7** 

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# DECLARATION AND ACKNOWLEDGMENT OF PRIOR CONTRACTUAL TRANSFER OF RIGHTS

WHEREAS, WE, the individuals identified below (hereinafter collectively referred to as "Assignors"),

Inventor Name	Residence Address	Citizenship
DÜHRING, Ulf	Schillerstr. 71, Fredersdorf, DE 15370	DE
BAIER, Kerstin	Schillerstrasse 10, Kleinmachnow, DE 14532	DE
GERMER, Frauke	Bonhoefferufer 2, Berlin, DE 10589	DE
SHI, Tuo	11724 Pickford Road, San Diego, CA 92131	CN

have invented one or more inventions (hereinafter referred to as "said invention(s)") disclosed in a Letters Patent of the United States, an application for a Letters Patent of the United States or an application under the Patent Cooperation Treaty, as specified below:

***************************************	<u>Title</u>	Country	Application No.	Filing Date
-	Genetically Enhanced Cyanobacteria For			
desire	The Production Of A First Chemical	US	14/200 560	T 20 2014
· ·	Compound Harbouring Zn2+, Co2+ Or	US	14/320,569	June 30, 2014
· consent	Ni2+ -Inducible Promoters			

WHEREAS, Assignors' rights in said invention(s) have been transferred as follows:

- Ulf Dühring assigned all rights, title and interests in said invention(s) to Cyano Biofuels GmbH by virtue of assignment provisions in an employment contract, pursuant to other contract(s) and in accordance with the German Act on Employee's Inventions (GAEI);
- Kerstin Baier assigned all rights, title and interests in said invention(s) to Cyano Biofuels GmbH by virtue of assignment provisions in an employment contract, pursuant to other contract(s) and in accordance with the German Act on Employee's Inventions (GAEI);
- Frauke Germer assigned all rights, title and interests in said invention(s) to Cyano Biofuels GmbH by virtue of assignment provisions in an employment contract, pursuant to other contract(s) and in accordance with the German Act on Employee's Inventions (GAEI);
- Cyano Biofuels GmbH assigned, indirectly, all rights, title and interests in said invention(s) to Algenol Biofuels Inc. (hereinafter together with its successors and assigns referred to as "Assignee"), a Delaware corporation, having a place of

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business at 16121 Lee Road, Suite 110, Fort Myers, Florida 33912, pursuant to contract(s);

- Cyano Biofuels GmbH changed its name to Algenol Biofuels Germany GmbH, a limited liability company existing under the laws of Germany; and
- Tuo Shi assigned all rights, title and interests in said invention(s) to Assignee by virtue of assignment provisions in an employment contract and pursuant to other contract(s).

NOW, THEREFORE, pursuant to the contracts specified above, Assignors acknowledge they have previously sold, assigned, transferred and set over to Assignee all rights, title and interests in, to and under said invention(s) and said application(s), including the right to apply for any Letters Patent of the United States of America and in any and all foreign countries on said invention(s), and any and all other applications for Letters Patent on said invention(s), in whatsoever countries, including all divisional, renewal, substitute, continuation, continuation-in-part and convention applications based in whole or in part upon said invention(s) or upon said application, and any and all utility patents and models, reexaminations, and design registrations granted for said invention(s) in whole or in part or upon said applications, and any and all Letters Patent which may issue thereon in the United States and foreign countries, and any and all reissues, extensions, renewals, divisions, reexaminations, continuations or continuations-in-part of Letters Patent granted for said invention(s) or upon said applications, to the full term or terms for which said Letters Patent may be issued, and every priority right that is or may be predicated upon or arise from said invention(s), said application and said Letters Patent, the same to be held and enjoyed by the Assignee for its own use and benefit fully and entirely as if the same would have been held and enjoyed by Assignors had this Assignment not been made. Assignors acknowledge they have previously authorized the Assignee to file patent applications in any and all countries on any or all of said invention(s) in Assignors' names, or in Assignee's name, or otherwise as the Assignee may deem advisable, under the Patent Cooperation Treaty, the European Patent Convention, the Paris Convention and all other treaties of like purposes. Assignors also acknowledge they have assigned to Assignee any claims (known or unknown, suspected or unsuspected) of any nature that Assignee has or may have against any party for infringement of any of the patents or patent applications listed herein, and the right to sue for past infringement and to recover and retain damages and profits in respect thereof.

Assignors hereby authorize the Director of Patents and Trademarks of the United States, and any official of any other country empowered to issue patents, to record this Assignment, and to issue or transfer all said Letters Patent on said invention(s) to the Assignee as owner of all right, title and interest therein, or otherwise as the Assignee may direct, in accordance with the terms of this Assignment.

Assignors hereby represent and warrant that Assignors had the full right to convey the entire right, title and interest assigned by the prior contracts, that there are no rights or interests outstanding inconsistent with the rights and interests granted herein, and that Assignors have not executed and will not execute any instrument or grant or transfer any rights or interests inconsistent with the rights and interests granted herein.

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Assignors hereby covenant and agree that Assignors will, upon request of the Assignee, communicate to the Assignee any facts known to Assignors relating to said invention(s) and the history thereof, testify in any legal proceeding, execute all lawful papers, including without limitation divisional, renewal, substitute, continuation, reissue, continuation-in-part and convention applications and all rightful oaths and declarations, and generally do all further acts which may be deemed necessary by the Assignee to obtain and enforce proper patent protection for said invention(s) in all countries.

IN TESTIMONY WHEREOF, Assignors have executed this document and made the following declarations on the date(s) indicated below:

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In addition to confirming the previously agreed upon conditions noted herein, I, Ulf Dühring hereby declare that the above identified applications were made or authorized to be made by me. I believe that I am the original inventor or an original joint inventor of a claimed invention in the above identified applications. I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.

	WIRL	
(Signature)	Ulf Dehring	
Date:	7.09.2014	
		0
Witness Signature:	_ Cers	<u> </u>
Witness Name:	D. Wej3	<i>,</i>
Date:	17.9. 20	14
Witness Signature:	J'Alessan	Lo
Witness Name:	D. D'Alessau	olro
Date:	17,09,201	

Additional Assignors on additional sheets: YES

In addition to confirming the previously agreed upon conditions noted herein, I, Kerstin Baier hereby declare that the above identified applications were made or authorized to be made by me. I believe that I am the original inventor or an original joint inventor of a claimed invention in the above identified applications. I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.

	Jestin Park
(Signature)	Kerstin Baier
Date:	17. 8. 2014
Witness Signature:	
Witness Name:	D. Weij3
Date:	17.9.2014
Witness Signature:	D'Alessandro
Witness Name:	D. D'ACessaudio
Date:	17,09,2019
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Additional Assignors on additional sheets: YES

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In addition to confirming the previously agreed upon conditions noted herein, I, Frauke Germer hereby declare that the above identified applications were made or authorized to be made by me. I believe that I am the original inventor or an original joint inventor of a claimed invention in the above identified applications. I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.

9	and here			
(Signature)**	(Signature) Franke Germer			
Date: <u>28.09.2014</u>				
Witness Signature:	628			
	7.45			
Witness Name:	_U.We'/3			
Date:	28.9.20/4			
Witness Signature:	D Hercandro			
Witness Name:	D. D'Alessandro			
Date:	28.03.2014			

Additional Assignors on additional sheets: YES

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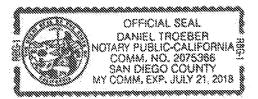
In addition to confirming the previously agreed upon conditions noted herein, I, Tuo Shi hereby declare that the above identified application was made or authorized to be made by me. I believe that I am the original inventor or an original joint inventor of a claimed invention in the above identified application. I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.

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(Signature)	Tue

Date: 10/10/2014

STATE OF California
COUNTY OF San Diego ) ss.:

Sworn to (or affirmed) and subscribed before me this 1/2 day of October , 20 14, by Tuo Shi.



(Notary Public Signature)

Personally Known \_\_\_\_\_ or Produced Identification X\_\_\_ Type of Identification Produced Drivers Lieunse

Additional Assignors on additional sheets: NO

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**RECORDED: 10/17/2014** 

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