

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT3071697

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
GARY NORMAN BINLEY	09/29/2014
LALITA WATHANAKUL	05/14/2014
RECEIVING PARTY DATA	
Name:	CONOPCO, INC., D/B/A UNILEVER
Street Address:	800 SYLVAN AVENUE
Internal Address:	AG WEST, S WING
City:	ENGLEWOOD CLIFFS
State/Country:	NEW JERSEY
Postal Code:	07632
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	14357830
CORRESPONDENCE DATA	
Fax Number:	(201)894-2400
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	201-894-7563
Email:	patentgroupus@unilever.com
Correspondent Name:	TRACEY MCAULIFFE
Address Line 1:	800 SYLVAN AVENUE
Address Line 2:	AG WEST, S WING
Address Line 4:	ENGLEWOOD CLIFFS, NEW JERSEY 07632
ATTORNEY DOCKET NUMBER:	F3578USW
NAME OF SUBMITTER:	TRACEY MCAULIFFE
SIGNATURE:	/Tracey McAuliffe/
DATE SIGNED:	10/20/2014
This document serves as an Oath/Declaration (37 CFR 1.63).	
Total Attachments: 9	
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source=F3578USw Dec Assignment#page9.tif

DECLARATION / ASSIGNMENT STATEMENT

WHEREAS, I/WE,

BINLEY Gary Norman, with Residence address at: Kettering, Northamptonshire NN15 6BN, GB and Mailing address at 33 Yateley Drive, Barton Seagrave, Kettering, Northamptonshire NN15 6BN, GB

WATHANAKUL Lalita, with Residence address at: Shanghai, 200335, CN and Mailing address at Unilever (China) Investing Company Ltd, 66 Linxin Road, Linkong Economic Development Zone, Changning District, Shanghai, 200335, CN

invented certain improvements in:

PROCESS FOR PRODUCING SHAPED FROZEN CONFECTIONS

which is found in:

- (a) a patent application, attached hereto, said application being identified as Unilever Case No. F3578; or
- (b) PCT International Application No. PCT/EP2012/073067 filed on 20th November 2012 ("the Filing Date").

I. DECLARATION

As the below named inventor, I hereby declare that:

This declaration is directed to:

- ☐ the attached patent application, OR
- ☒ the above-identified PCT International Application.

I hereby declare that I believe I am the original inventor or an original joint inventor of a claimed invention in the application.

I hereby declare that the above-identified international application was made or authorized to be made by me.

I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.

My signature is set forth at the end of this statement.

II. DUTY OF DISCLOSURE

I/We have reviewed and understand the contents of the above-identified application, including the claims, as amended by any amendment specifically referred to above; and

I/We acknowledge the duty to disclose to the United States Patent and Trademark Office all information known by me to be material to patentability as defined in 37 C.F.R. § 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT International filing date of the continuation-in-part application.

III. ASSIGNMENT

WHEREAS, Conopco, Inc., d/b/a UNILEVER, a corporation of New York, having a place of business at 800 Sylvan Avenue, Englewood Cliffs, New Jersey 07632 desires to acquire the entire right, title and interest in and to the aforesaid application (the "Application") and in and to the invention(s) therein disclosed for the United States (herein understood to include its possessions and territories).

NOW, THEREFORE, in consideration of one dollar (\$1.00) to each of the undersigned in hand paid and other good and valuable executed consideration, the receipt and sufficiency of all of which is hereby acknowledged, I/We, the undersigned, intending to be legally bound, hereby agree to sell, assign, transfer and convey, and hereby do sell, assign, transfer and convey unto said Conopco, Inc., d/b/a UNILEVER, a corporation of New York, having a place of business at 800 Sylvan Avenue, Englewood Cliffs, New Jersey 07632, its successors and assigns, the entire right, title and interest in and to the invention(s) disclosed in the Application in and for the United States, and in and to the Application and any and all divisional, continuation, continuation-in-part, substitute or other applications in the United States describing said invention(s) or improvements thereon (including the right to claim foreign and/or domestic priority of any application(s) describing said inventions), and in and to any and all Letters Patent of the United States which may issue from any of the foregoing applications, including any and all extensions, renewals and reissues thereof, the same to be held by and for the sole and exclusive use and benefit of the said, Conopco, Inc., d/b/a UNILEVER, its successors and assigns.

AND I/We do hereby authorize and request the Commissioner of Patents to issue the said Letters Patent of the United States to said Conopco, Inc., d/b/a UNILEVER, as the assignee of the entire right, title and interest in and to the same.

AND I/We do hereby agree to assist said Conopco, Inc., d/b/a UNILEVER, in the prosecution of any and all said applications and in the prosecution and/or defense of any and all post-grant or other proceedings which may arise involving said invention, and to execute and deliver any powers of attorney, affidavits, assignments, applications, reissues, documents, or other written instruments which, in the opinion of counsel for said, Conopco, Inc., d/b/a UNILEVER, its successors and assigns, may be necessary to secure to said, Conopco, Inc., d/b/a UNILEVER, its successors and assigns, any and all said applications, inventions, improvements, Letters Patent, rights, titles and interests hereby sold, assigned, transferred and conveyed.

This Assignment is effective as of the Filing Date.

My/Our signature below is applicable to all pages above, setting out the Declaration, Duty of Disclosure and the Assignment descriptions and obligations:

Dated: 29/9/2014

AWB
BINLEY Gary Norman

Dated: _____


WATHANAKU

Searched by me for the LEGALISATION	
of the signature/s of	
<i>Gary Norman Binley</i>	
at <i>Bodmin</i>	, England
this <i>6th</i>	day of <i>October</i> 2014
Form 1a - USW when PCT Des. is not filed	
<i>Anthony William Northey</i>	
ANTHONY WILLIAM NORTHEY	
Notary/Notary Public	PATENT
My Commission is for Life	

March 2014

Page 2 of 3

REEL: 033980 FRAME: 0471

APOSTILLE (Convention de La Haye du 5 octobre 1961)	
1. Country: Pays/Pais	United Kingdom of Great Britain and Northern Ireland
This public document Le présent acte public / El presente documento público	
2. Has been signed by a été signé par ha sido firmado por	Anthony William Northey
3. Acting in the capacity of agissant en qualité de quien actúa en calidad de	Notary Public
4. Bears the seal/stamp of est revêtu du sceau / timbre de y está revestido del sello / timbre de	The Said Notary Public
Certified Attesté / Certificado	
5. at à / en	London
6. the le / el día	14 October 2014
7. by par / por	Her Majesty's Principal Secretary of State for Foreign and Commonwealth Affairs
8. Number sous no / bajo el número	K254182
9. Seal / stamp: Sceau / timbre: Sello / timbre:	10. Signature: K. Larson Signature: Firma: 



This Apostille is not to be used in the UK and only confirms the authenticity of the signature, seal or stamp on the attached UK public document. It does not confirm the authenticity of the underlying document. Apostilles attached to documents that have been photocopied and certified in the UK confirm the signature of the UK public official who conducted the certification only. It does not authenticate either the signature on the original document or the contents of the original document in any way.

If this document is to be used in a country which is not party to the Hague Convention of 5th October 1961, it should be presented to the consular section of the mission representing that country.

To verify this apostille go to www.verifyapostille.service.gov.uk

Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent. The information provided by you in this form will be subject to the following routine uses:

1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
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5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

DECLARATION / ASSIGNMENT STATEMENT

WHEREAS, I/WE,

BINLEY Gary Norman, with Residence address at: Kettering, Northamptonshire NN15 6BN, GB and Mailing address at 33 Yateley Drive, Barton Seagrave, Kettering, Northamptonshire NN15 6BN, GB

WATHANAKUL Lalita, with Residence address at: Shanghai, 200335, CN and Mailing address at Unilever (China) Investing Company Ltd, 66 Linxin Road, Linkong Economic Development Zone, Changning District, Shanghai, 200335, CN

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which is found in:

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This declaration is directed to:

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I hereby declare that the above-identified international application was made or authorized to be made by me.

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My signature is set forth at the end of this statement.

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I/We acknowledge the duty to disclose to the United States Patent and Trademark Office all information known by me to be material to patentability as defined in 37 C.F.R. § 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT International filing date of the continuation-in-part application.

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NOW, THEREFORE, in consideration of one dollar (\$1.00) to each of the undersigned in hand paid and other good and valuable executed consideration, the receipt and sufficiency of all of which is hereby acknowledged, I/We, the undersigned, intending to be legally bound, hereby agree to sell, assign, transfer and convey, and hereby do sell, assign, transfer and convey unto said Conopco, Inc., d/b/a UNILEVER, a corporation of New York, having a place of business at 800 Sylvan Avenue, Englewood Cliffs, New Jersey 07632, its successors and assigns, the entire right, title and interest in and to the invention(s) disclosed in the Application in and for the United States, and in and to the Application and any and all divisional, continuation, continuation-in-part, substitute or other applications in the United States describing said invention(s) or improvements thereon (including the right to claim foreign and/or domestic priority of any application(s) describing said inventions), and in and to any and all Letters Patent of the United States which may issue from any of the foregoing applications, including any and all extensions, renewals and reissues thereof, the same to be held by and for the sole and exclusive use and benefit of the said, Conopco, Inc., d/b/a UNILEVER, its successors and assigns.

AND I/We do hereby authorize and request the Commissioner of Patents to issue the said Letters Patent of the United States to said Conopco, Inc., d/b/a UNILEVER, as the assignee of the entire right, title and interest in and to the same.

AND I/We do hereby agree to assist said Conopco, Inc., d/b/a UNILEVER, in the prosecution of any and all said applications and in the prosecution and/or defense of any and all post-grant or other proceedings which may arise involving said invention, and to execute and deliver any powers of attorney, affidavits, assignments, applications, reissues, documents, or other written instruments which, in the opinion of counsel for said, Conopco, Inc., d/b/a UNILEVER, its successors and assigns, may be necessary to secure to said, Conopco, Inc., d/b/a UNILEVER, its successors and assigns, any and all said applications, inventions, improvements, Letters Patent, rights, titles and interests hereby sold, assigned, transferred and conveyed.

This Assignment is effective as of the Filing Date.

My/Our signature below is applicable to all pages above, setting out the Declaration, Duty of Disclosure and the Assignment descriptions and obligations:

Dated: _____

BINLEY Gary Norman

Dated: 14th May 2014

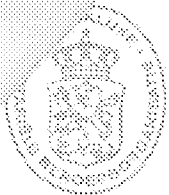
WATHANAKUL Lalita

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The information provided by you in this form will be subject to the following routine uses:

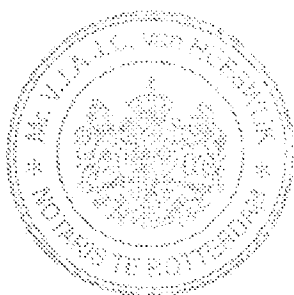
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9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.



Seen by me, mr. Victor Joseph Antonius Johannes Clemens van Heeswijk, acting as the deputy of his vacant notarial protocol, at that time civil law notary officiating in Rotterdam, the Netherlands, for legalisation of the signature placed on the attached document of:

- Ms **Lalita Wathanakul**, with Residence address at: Shanghai, 200335, CN and Mailing address at Unilever (China) Investing Company Ltd, 66 Linxin Road, Linkong Economic Development Zone, Changning District Shanghai, 200335, CN.

Rotterdam, 3 September 2014



APOSTILLE

1. **(Convention de la Haye du 5 Octobre 1961)**
Country: NETHERLANDS
This public document
2. has been signed by mr V.J.A.J.C. van Heeswijk
3. acting in the capacity of notary
4. bears the seal/stamp of
mr V.J.A.J.C. van Heeswijk at Rotterdam
Certified
5. at Rotterdam 6. 04 September 2014
7. by the Clerk of the District Court
(Griffier van de Rechtbank)
8. No. HA RP 14-5625
9. Seal/stamp

10. Signature
L. Bourne

