

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT3072825

SUBMISSION TYPE:	NEW ASSIGNMENT		
NATURE OF CONVEYANCE:	ASSIGNMENT		
CONVEYING PARTY DATA			
Name			Execution Date
INCOZEN THERAPEUTICS PVT. LTD.			09/05/2014
RECEIVING PARTY DATA			
Name:	RHIZEN PHARMACEUTICALS SA		
Street Address:	FRITZ COURVOISIER 40		
City:	LA CHAUX-DE-FONDS		
State/Country:	SWITZERLAND		
Postal Code:	2300		
PROPERTY NUMBERS Total: 2			
Property Type	Number		
PCT Number:	IB2013051577		
Application Number:	14389336		
CORRESPONDENCE DATA			
Fax Number:	(917)332-3773		
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>			
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ATTORNEY DOCKET NUMBER:	139095.01701		
NAME OF SUBMITTER:	JUDY YEDDO		
SIGNATURE:	/Judy Yeddo/		
DATE SIGNED:	10/20/2014		
Total Attachments: 4			
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source=Assignment#page2.tif			
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ASSIGNMENT

THIS ASSIGNMENT by Incozen Therapeutics Pvt. Ltd., a company organized under and pursuant to the laws of India having its principal place of business at 450-Alexandria Knowledge Park, Shameerpet, Hyderabad-500 078, INDIA (hereinafter referred to as Assignor);

WHEREAS, Assignor owns an interest to the patent applications (hereafter the "Incozen Patent Applications") entitled "**NOVEL 3,5-DISUBSTITUED-3H-IMIDAZO[4,5-B]PYRIDINE AND 3,5- DISUBSTITUED -3H-[1,2,3]TRIAZOLO[4,5-B] PYRIDINE COMPOUNDS AS MODULATORS OF C-MET PROTEIN KINASES**", and as set forth in International Patent Application No. PCT/IB2013/051577, filed February 27, 2013, and its priority application, namely, Indian Patent Application No. 1262/CHE/2012, filed March 30, 2012; and

WHEREAS, Rhizen Pharmaceuticals SA, a company organized under and pursuant to the laws of Switzerland having its principal place of business at Fritz Courvoisier 40, 2300 La Chaux-de-Fonds, Switzerland (hereinafter referred to as Assignee), is desirous of acquiring the right, title and interest in and to the Incozen Patent Applications in the U.S. and all countries throughout the world except INDIA, and in and to any Letters Patent to be obtained therefore and thereon.

NOW, THEREFORE, in consideration of One Dollar (\$1.00) and other good and sufficient consideration, the receipt of which is hereby acknowledged, Assignor has sold, assigned, transferred and set over, and by these presents do sell, assign, transfer and set over, unto Assignee, its successors, legal representatives and assigns, Assignor's entire right, title and interest in and to the Incozen Patent Applications, and in and to any and all direct and indirect divisions, continuations and continuations-in-part of the Incozen Patent Applications, and any and all Letters Patent in the U.S. and all countries throughout the world which may be granted therefor and thereon, and reissues, reexaminations and extensions of said Letters Patent, and all rights under the International Convention for the Protection of Industrial Property, the same to be held and enjoyed by Assignee, for its own use and benefit and the use and benefit of its successors, legal representatives and assigns, to the full end of the term or terms for which Letters Patent may be granted and/or extended, as fully and entirely as the same would have been held and enjoyed by Assignor, had this sale and assignment not been made.

The Assignor hereby covenants and agrees to and with said Assignee, its successors and assigns, that whenever Assignee, its counselor representative, or the counselor representative of its

successors or assigns, shall advise that an amendment to, or a division of, or any other proceeding or action in connection with the Incozen Patent Applications, including interference proceedings, is lawful and desirable, or that a reissue or continuation or extension of such application or patent issuing therefrom is lawful and desirable, Assignor will sign all papers and drawings, take all rightful oaths and affidavits, and do all acts necessary or required to be done for the procurement of all lawful rights associated with the Incozen Patent Applications, or for the reissue or continuation or extension of the same, will do all acts necessary or required to secure in said Assignee, its successors or assigns, the title to and full benefit of all rights hereby assigned, without charge to said Assignee or its successors or assigns, but at its expense; and Assignor hereby appoints every present or future officer of said Assignee as Assignor's agent to sign all such papers and to do all such necessary acts on its behalf, to the fullest extent permitted by law;

The Assignor hereby authorizes and requests the Commissioner of Patents and Trademarks and any other granting authority to issue any Letters Patent resulting from the Incozen Patent Applications to the Assignee.

INCOZEN THERAPEUTICS PVT. LTD.

Date: 5th September, 2014

By: 

Name: Swaroop Kumar V.S. Vakkalanka

Title: MD & CEO

ACCEPTANCE BY ASSIGNEE

In connection with an assignment in any jurisdiction in which an acceptance by Assignee is required, I hereby accept this assignment on behalf of Rhizen Pharmaceuticals SA, I declare under penalty of perjury under the laws of the United States of America, and under penalty of the laws of any other jurisdiction before which this document may be presented, that (check all that apply):

- ☐ I am an officer of the above-identified Assignee,
- ☐ I have signed this document on behalf of Assignee with the full authority of its board of directors,

and that all the foregoing is true and correct.

Rhizen Pharmaceuticals SA

Date: 5th September, 2014

By: 

Print Name: **Pranav Chirayu Amin**

Title: **Chairman**

RECORDATION FORM COVER SHEET
PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)

Incozen Therapeutics Pvt. Ltd.

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) September 5, 2014

☒ Assignment ☐ Merger ☐ Change of Name

☐ Security Agreement ☐ Joint Research Agreement

☐ Government Interest Assignment

☐ Executive Order 9424, Confirmatory License

☐ Other _____

2. Name and address of receiving party(ies)

Name: Rhizen Pharmaceuticals SA

Internal Address: _____

Street Address: _____

Fritz Courvoisier 40

City: La Chaux-de-Fonds

State: _____

Country: Switzerland Zip: 2300

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

☐ This document serves as an Oath/Declaration (37 CFR 1.63).

A. Patent Application No.(s)

PCT/IB2013/051577
14/389,336

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Jay P. Lessler
Name: BLANK ROME LLP

Internal Address: _____

Street Address: The Chrysler Building
405 Lexington Ave.

City: New York

State: NY Zip: 10174-0208

Phone Number: (212) 885-5000

Docket Number: 139095.01701

Email Address: patents@blankrome.com

6. Total number of applications and patents involved:

2

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 0.00

☒ Authorized to be charged to deposit account

☐ Enclosed

☐ None required (government interest not affecting title)

8. Payment Information

Deposit Account Number 02-2555

Authorized User Name Jay P. Lessler

9. Signature: /Jay P. Lessler/ October 20, 2014
Signature Date

Jay P. Lessler - 41,151

Name of Person Signing

Total number of pages including
cover
sheet, attachments, and documents:

4

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450