

## PATENT ASSIGNMENT COVER SHEET

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<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT
<b>CONVEYING PARTY DATA</b>	
<b>Name</b>	<b>Execution Date</b>
GEOFFREY C. WINTERS	09/22/2014
ALEXANDER L. MANDEL	09/22/2014
BRADLEY J. HEDBERG	09/24/2014
JOHN BABCOOK	09/22/2014
<b>RECEIVING PARTY DATA</b>	
<b>Name:</b>	THE CENTRE FOR DRUG RESEARCH AND DEVELOPMENT
<b>Street Address:</b>	2405 WESTBROOK MALL
<b>Internal Address:</b>	FOURTH FLOOR
<b>City:</b>	VANCOUVER
<b>State/Country:</b>	BRITISH COLUMBIA
<b>Postal Code:</b>	V6T 1Z3
<b>PROPERTY NUMBERS Total: 3</b>	
<b>Property Type</b>	<b>Number</b>
Application Number:	14213504
Application Number:	61792066
Application Number:	61792020
<b>CORRESPONDENCE DATA</b>	
<b>Fax Number:</b>	(206)452-8700
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
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<b>Email:</b>	wthomas@cooley.com
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<b>Address Line 1:</b>	1299 PENNSYLVANIA AVENUE, NW SUITE 700
<b>Address Line 2:</b>	ATTN: PATENT DEPARTMENT/CAROL LAHERTY
<b>Address Line 4:</b>	WASHINGTON, D.C. 20004
<b>ATTORNEY DOCKET NUMBER:</b>	CDRD-002/02US
<b>NAME OF SUBMITTER:</b>	CAROL D. LAHERTY
<b>SIGNATURE:</b>	/Carol D. Laherty/
<b>DATE SIGNED:</b>	10/14/2014

PATENT

**Total Attachments: 7**

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## ASSIGNMENT

Geoffrey C. Winters, residing at 2040 Ferndale Street, Vancouver BC, V5L 1Y1; Alexander L. Mandel, residing at 2908 49th Ave West, Vancouver, BC, V6N 3S8; Bradley J. Hedberg, residing at 4016 Glen Drive, Vancouver, BC, V5V 4T3; John Babcock, residing at 4480 West 12<sup>th</sup> Avenue, Vancouver, BC V6R 2R2 (each referred to as "Assignor") have made an invention(s) (the "Invention(s)") set forth in the following application(s) for patent of the United States:

- (1) ☒ non-provisional application entitled CYTOTOXIC AND ANTI-MITOTIC COMPOUNDS AND METHODS OF USING THE SAME
  - (a) ☐ to be filed herewith; or
  - (b) ☒ bearing Application No. 14/213,504, filed on March 14, 2014; which claims priority to:
- (2) ☒ provisional application entitled CYTOTOXIC AND ANTI-MITOTIC COMPOUNDS AND METHODS OF USING THE SAME
  - (a) ☐ to be filed herewith; or
  - (b) ☒ bearing Application No. 61/792,066, filed on March 15, 2013, and
- (3) ☒ provisional application entitled COMPOSITIONS COMPRISING TARGETING MOIETIES AND CYTOTOXIC OR ANTI-MITOTIC COMPOUNDS AND METHODS OF USING THE SAME
  - (a) ☐ to be filed herewith; or
  - (b) ☒ bearing Application No. 61/792,020, and filed on March 15, 2013.

WHEREAS, The Centre for Drug Research and Development, a corporation having its principal place of business at 2405 Westbrook Mall, Fourth Floor, Vancouver, BC, V6T 1Z3 (the "Assignee"), is desirous of acquiring the entire right, title, and interest in: the Invention(s); the application(s) for patent identified in paragraph (1), (2) and/or (3); the right to file applications for patent of the United States or other countries on the Invention(s); any application for patent of the United States or other countries claiming priority to these application(s); any provisional or other right to recover damages, including royalties, for prior infringements of these applications; and any patent of the United States or other countries that may be granted therefor or thereon.

NOW, THEREFORE, for good and sufficient consideration, the receipt of which is hereby acknowledged, and to the extent that the Assignor has not done so already via a prior agreement with the Assignee, or if the Assignor has already done so via a prior agreement with the Assignee then in confirmation of any obligation to do so in said prior agreement, the Assignor has sold, assigned, transferred, and set over, and by these presents does sell, assign, transfer, and set over, unto the Assignee, its successors, legal representatives, and assigns, the Assignor's entire right, title, and interest in:

- (a) the Invention(s);
- (b) the application for patent identified in paragraph (1), (2) and/or (3);
- (c) the right to file applications for patent of the United States or other countries on the Invention(s), including all rights under the Hague Convention, the Paris

Convention for the Protection of Industrial Property and under the Patent Cooperation Treaty;

(d) any application(s) for patent of the United States or other countries claiming the Invention(s);

(e) any application(s) for patent of the United States or other countries claiming priority to the application for patent identified in paragraph (1), (2) and/or (3) or any application(s) for patent claiming the Invention(s), including any division(s), continuation(s), and continuation(s)-in-part; and

(f) any provisional or other right to recover damages, including royalties, for prior infringements of any application for patent identified in the preceding paragraphs (b)-(e); and

(g) any patent(s) of the United States or other countries that may be granted for or on any application for patent identified in the preceding paragraphs (b) -- (e), including any reissue(s) and extension(s) of said patent(s).

The above-granted rights, titles, and interests are to be held and enjoyed by the Assignee, for its own use and behalf and the use and behalf of its successors, legal representatives, and assigns, as fully and entirely as the same would have been held and enjoyed by the Assignor had this sale and assignment not been made.

The Assignor hereby represents to the Assignee, its successors, legal representatives, and assigns, that, at the time of execution and delivery of these presents, or if applicable, at such time said prior agreement was executed, the Assignor is a lawful owner of an undivided interest in the entire right, title, and interest in and to the Invention(s), that the Invention(s) are unencumbered, except, if applicable, by obligation to assign in accordance with said prior agreement, and that the Assignor has good and full right and lawful authority to sell and convey the same in the manner set forth herein.

The Assignor hereby covenants and agrees to and with the Assignee, its successors, legal representatives, and assigns, that the Assignor will sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done in connection with any and all proceedings for the procurement, maintenance, enforcement and defense of the Invention(s), said applications, and said patents, including interference proceedings, without charge to the Assignor, its successors, legal representatives, and assigns, but at the cost and expense of the Assignee, its successors, legal representatives, and assigns.

The Assignor hereby requests the Commissioner of Patents to issue said patents of the United States to the Assignee for the sole use and behalf of the Assignee, its successors, legal representatives, and assigns.


This assignment has an effective date of March 15, 2013.

Date: Sept 22, 2014. By:   
Geoffrey C. Winters

I certify that I know or have satisfactory evidence that Geoffrey C. Winters signed this instrument of his/her own free will, as a voluntary act for the uses and purposes mentioned in the instrument.

Date SEPTEMBER 22, 2014 Signed at VANCOUVER, BC, CANADA  
(Type or Print)

SHONA WHITE  
(Type or Print Name of Witness)

  
(Signature of Witness)

Date: Sep 22, 2014

By:   
Alexander L. Mandel

I certify that I know or have satisfactory evidence that Alexander L. Mandel signed this instrument of his/her own free will, as a voluntary act for the uses and purposes mentioned in the instrument.

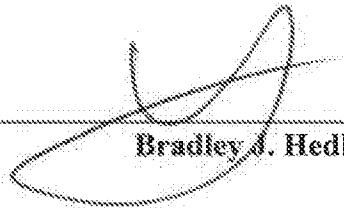
Date SEPTEMBER 22, 2014 Signed at VANCOUVER, BC. CANADA  
(Type or Print)

SHARON WHITE  
(Type or Print Name of Witness)

Smile  
(Signature of Witness)

Date: 24-Sept-2014

By:

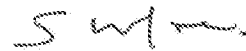
  
Bradley J. Hedberg

I certify that I know or have satisfactory evidence that Bradley J. Hedberg signed this instrument of his/her own free will, as a voluntary act for the uses and purposes mentioned in the instrument.

Date SEPTEMBER 24, 2014 Signed at VANCOUVER BC. CANADA  
(Type or Print)

STONIA WHITE

(Type or Print Name of Witness)



(Signature of Witness)

Date: 22-09-2014

By: 

John Babcock

I certify that I know or have satisfactory evidence that John Babcock signed this instrument of his/her own free will, as a voluntary act for the uses and purposes mentioned in the instrument.

Date SEPTEMBER 22, 2014  
(Type or Print)

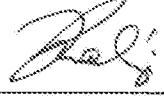
Signed at VANCOUVER BC, CANADA

SHONA WHITE

(Type or Print Name of Witness)

SWH

(Signature of Witness)

Date: 26 September 2014 By:   
Name: \_\_\_\_\_  
Title: President and CEO  
Company: **The Centre for Drug  
Research and Development**

I certify that I know or have satisfactory evidence that Karimah Es Sabar  
signed this instrument of his/her own free will, as a voluntary act for the uses and  
purposes mentioned in the instrument.

Date 26 September 2014 Signed at Vancouver, Bc  
(Type or Print)

Chin Su Lee  
(Type or Print Name of Witness)

  
(Signature of Witness)