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U.S. PTO

29/474464

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U.S. DEPARTMENT OF COMMERCE  
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## REGISTRATION FORM COVER SHEET

## PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

## 1. Name of conveying party(ies)

Taiki FURUKI, Toshihiko TAKEMURA,  
Yuji YAMASHITA, Ryohei SUMIYOSHI,  
Toyoaki YASUDAAdditional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 3. Nature of conveyance/Execution Date(s):

Execution Date(s) September 17, 18, 19, 2014

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Joint Research Agreement  
☐ Government Interest Assignment  
☐ Executive Order 9424, Confirmatory License  
☐ Other \_\_\_\_\_

## 2. Name and address of receiving party(ies)

Name: KUBOTA CORPORATION

U.S. PTO

Internal Address: \_\_\_\_\_

29474464

092614

Street Address: 2-47, SHIKITSUHIGASHI 1-CHOMENANIWA-KU,City: OSAKA-CITY, OSAKA

State: \_\_\_\_\_

Country: JAPANZip: 556-8601Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 4. Application or patent number(s):

☒ This document serves as an Oath/Declaration (37 CFR 1.63).

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address to whom correspondence concerning document should be mailed:

Name: MASUVALLEY AND PARTNERS

Internal Address: \_\_\_\_\_

Street Address: 9665 GRANITE RIDGE DR.  
SUITE 560City: SAN DIEGOState: CA Zip: 92123Phone Number: 858-715-6858Docket Number: 858-715-6938Email Address: DOCKETING@MASUVALLEY.COM6. Total number of applications and patents involved: 17. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

- ☒ Authorized to be charged to deposit account  
☐ Enclosed  
☐ None required (government interest not affecting title)

## 8. Payment Information

Deposit Account Number: 10/11/2014 USPTO 504055 504055 29474464Authorized User Name: Peter Martinez

## 9. Signature: \_\_\_\_\_

Signature

09/26/2014

Date

PETER MARTINEZ

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents: ☐Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:  
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, V.A. 22313-1450

PATENT

REEL: 033987 FRAME: 0321

**INVENTOR(S) DECLARATION (37 CFR 1.63) AND  
ASSIGNMENT (37 CFR 1.46) FOR A PATENT APPLICATION  
FILED ON AND AFTER SEPTEMBER 16, 2012**

I/WE hereby declare as follows:

**I. 37 CFR 1.63(a)(1) - LEGAL NAME OF INVENTOR OR JOINT INVENTOR**  
My/Our legal name(s) appears at the end of this declaration next to my signature.

**II. 37 CFR 1.63(a)(2) - IDENTIFICATION OF THE APPLICATION TO WHICH  
THIS DECLARATION IS DIRECTED**

This declaration is directed to the following application:

<b>APPLICATION TITLE</b>	<b>SKID STEER LOADER</b>
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<b>APPLICATION IS ATTACHED, IF THE BOX TO THE RIGHT IS CHECKED</b>	<b>X</b>
<b>OR</b>	
<b>APPLICATION NUMBER AND FILING DATE (ENTER EITHER US OR PCT INTERNATIONAL APPLICATION INFORMATION)</b>	<b>APPLICATION NUMBER:</b>
	<b>FILING DATE:</b>

**III. 37 CFR 1.63(a)(3) STATEMENT THAT I AM AN INVENTOR**

I believe that I am the original inventor or an original joint inventor of a claimed invention in the application for which this declaration is being submitted.

**IV. 37 CFR 1.63(a)(4) STATEMENT OF AUTHORIZATION**

The above-identified application was made or authorized to be made by me.

**V. 37 CFR 1.63(b) LEGAL NAME, MAILING ADDRESS, RESIDENCE ADDRESS**

I understand that rule 37-CFR 1.63(b) requires that my legal name, mailing address where I customarily receive mail, and my residence address, must be submitted in this application, but do not need to be submitted in this declaration. I have provided accurate information for my legal name, mailing address where I customarily receive mail, and my residence address.

**VI. 37 CFR 1.63(c) REVIEW AND ACKNOWLEDGMENT OF 1.56 DUTY**

I have reviewed and I understand the contents of this application, including the claims. I am aware of the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in the Code of Federal Regulations, Volume 37, rule 1.56.

**VII. 37 CFR 1.63(g) ACKNOWLEDGMENT**

I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than (5) years, or both. All statements I make in this declaration based upon my own knowledge are true, and all statements I make in this declaration based upon information and belief I believe to be true.

**VIII. AUTHORIZATION TO PERMIT ACCESS TO APPLICATION BY PARTICIPATING OFFICES**

I hereby grant the USPTO authority to provide the European Patent Office (EPO), the Japan Patent Office (JPO), the Korean Intellectual Property Office (KIPO), and any other intellectual property office in which a foreign application claiming priority to the above-identified application is filed, access to the above-identified patent application.

**IX. POWER TO FURTHER IDENTIFY**

I authorize MASUVALLEY AND PARTNERS to fill in ; the application number, the filing date, and any other information desirable to identify the application, on the pages of this declaration, after I/WE sign.

**X. CORRESPONDENCE ADDRESS**

Direct all correspondence to the address for customer number 60468 for the firm of MASUVALLEY AND PARTNERS.

**XI. ASSIGNMENT**

WHEREAS, the assignee or assignees listed below (hereinafter referred to as "ASSIGNEE") are desirous of acquiring the entire right, title and interest in and to said invention identified above and in and to any Letters Patent that may be granted therefore in the United States and its territorial possessions and in any and all foreign countries;

NOW, THEREFORE, for good and valuable consideration, the receipt whereof is hereby acknowledged, and for other good and valuable consideration, by these presents, I do, at this time, sell, assign and transfer unto said ASSIGNEE the full and exclusive right to the said invention, which includes any invention disclosed or claimed in any application identified above, in the United States and its territorial possessions and in all foreign countries and the entire right, title and interest in and to any and all Letters Patent which may be granted in the future or were granted in the past therefor in the United States and its territorial possessions and in any and all foreign countries and in and to any and all divisions, reissues, continuations, substitutions and renewals thereof which may be granted in the future be granted or were granted in the past. This transfer includes all rights to collect for money for and obtain injunctions based upon, past infringement.

Further, I hereby authorize and request the Patent Office Officials in the United States and its territorial possessions and any and all foreign countries to issue any and all of said Letters Patent, when granted, to said ASSIGNEE as the assignee of my entire right, title and interest in and to the same, for the sole use and behoof of said ASSIGNEE, its successors and assigns, to the full end of the term for which said Letters Patent may be granted, as fully and entirely as the same would have been held by me had this Assignment and sale not been made.

Further, I agree that I will communicate to said ASSIGNEE or its representatives any facts known to me respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all cause any and all of said Letter Patent to be issued to said ASSIGNEE, make all rightful oaths, and, generally do everything possible to aid said ASSIGNEE, its successors and assigns, to obtain and enforce proper protection for said invention in the United States and its territorial possessions and in any and all foreign countries.

The undersigned hereby grants(s) the firm of MASUVALLEY AND PARTNERS. the power to insert on this assignment any further identification, including firm reference number, application number, filing date, and execution date, and any other information which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

## XII. INVENTOR/ASSIGNOR LEGAL NAME(S) AND SIGNATURE(S)

### PRINTED LEGAL NAMES OF ALL INVENTORS:

INVENTOR LEGAL NAMES (PRINTED)	INVENTOR SIGNATURE	DATE SIGNED
Taiki FURUKI	<i>Taiki Furuki</i>	<i>Sept. 17, 2014</i>
Toshihiko TAKEMURA	<i>Toshihiko Takemura</i>	<i>Sept. 17, 2014</i>
Yuji YAMASHITA	<i>Yuji Yamashita</i>	<i>Sept. 18, 2014</i>
Ryohi SUMIYOSHI	<i>Ryohi Sumiyoshi</i>	<i>Sept. 19, 2014</i>
Toyoaki Yasuda	<i>Toyoaki Yasuda</i>	<i>Sept. 19, 2014</i>

### **XIII. ASSIGNEE(S) IDENTIFICATION**

The assignee(s) of this application, and their address(es):

ASSIGNEE NAME:	<b>Kubota Corporation</b>
ASSIGNEE ADDRESS: (For a United States address, include on separate lines: street; city; state; zip code. For non united state address include on separate lines: street; city; country; postal code)	<b>2-47, Shikitsuhigashi 1-chome, Naniwa-ku, Osaka-City, Osaka 556-8601 Japan</b>