

## PATENT ASSIGNMENT COVER SHEET

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 Stylesheet Version v1.2

EPAS ID: PAT3077332

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT
<b>CONVEYING PARTY DATA</b>	
<b>Name</b>	<b>Execution Date</b>
SCOTT C. BLANCHARD	08/27/2014
ROGER ALTMAN	08/27/2014
J. DAVID WARREN	08/27/2014
ZHOU ZHOU	08/26/2014
<b>RECEIVING PARTY DATA</b>	
<b>Name:</b>	CORNELL UNIVERSITY
<b>Street Address:</b>	CORNELL CENTER FOR TECHNOLOGY, ENTERPRISE & COMMERCIALIZATION
<b>Internal Address:</b>	395 PINE TREE ROAD, SUITE 310
<b>City:</b>	ITHACA
<b>State/Country:</b>	NEW YORK
<b>Postal Code:</b>	14850
<b>PROPERTY NUMBERS Total: 1</b>	
<b>Property Type</b>	<b>Number</b>
<b>Application Number:</b>	14373402
<b>CORRESPONDENCE DATA</b>	
<b>Fax Number:</b>	(516)742-4366
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
<b>Phone:</b>	516-742-4343
<b>Email:</b>	dknoell@ssmp.com
<b>Correspondent Name:</b>	SCULLY SCOTT MURPHY & PRESSER, PC
<b>Address Line 1:</b>	400 GARDEN CITY PLAZA
<b>Address Line 2:</b>	SUITE 300
<b>Address Line 4:</b>	GARDEN CITY, NEW YORK 11530
<b>ATTORNEY DOCKET NUMBER:</b>	28437 (5334-05-US)
<b>NAME OF SUBMITTER:</b>	XIAOCHUN ZHU
<b>SIGNATURE:</b>	/Xiaochun Zhu/
<b>DATE SIGNED:</b>	10/23/2014
<b>Total Attachments: 5</b>	

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source=28437\_5334\_05\_US\_Assignment#page5.tif

## Cornell University ASSIGNMENT

WHEREAS, We, **Scott C. Blanchard**, a United States of America citizen, residing at 450 E. 63<sup>rd</sup> Street, Apt. 3I, New York, New York 10021, **Roger Altman**, a United States of America citizen, residing at 310 E. 23<sup>rd</sup> Street, Apt. 7G, New York, New York 10010, **J. David Warren**, a United States of America citizen, residing at 450 East 63<sup>rd</sup> Street, Apt. 4F, New York, New York 10065, **Zhou Zhou**, a Chinese citizen, residing at 6536 Wetherole Street, Apt. 306, Rego Park, New York 11374 have invented certain new and useful improvements in **DYE COMPOSITIONS, METHODS OF PREPARATION, CONJUGATES THEREOF, AND METHODS OF USE** for which PCT International Application Serial No. PCT/US13/22107 was filed on **January 18, 2013**;

AND WHEREAS, **Cornell University**, with its principal place of business at **Cornell Center for Technology, Enterprise & Commercialization ("CCTEC")**, 395 Pine Tree Road, Suite 310, Ithaca, New York 14850 USA, is entitled to acquire the entire right, title and interest in and to the said improvements and the said Application:

NOW, THEREFORE, for good and valuable consideration, including salary or payment for the making of inventions, or employee benefits, the receipt of which is hereby acknowledged, we, the said inventors, do hereby acknowledge that we have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said **Cornell University**, its successors, legal representatives and assigns, the entire right, title and interest throughout the world in, to and under the said improvements, and the said application and all divisions, renewals, and continuations thereof, and all Letters Patent of the United States which may be granted thereon and all reissues and extensions thereof, and all rights of priority under International Conventions and applications for Letters Patent which may hereafter be filed for said improvements in any country or countries foreign to the United States, and all Letters Patent which may be granted for said improvements in any country or countries foreign to the United States and all extensions, renewals and reissues thereof; and we hereby authorize and request the Commissioner of Patents of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents on applications as aforesaid, to issue all Letters Patent for said improvements to the said **Cornell University**, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND WE HEREBY covenant and agree that we will communicate to the said **Cornell University**, its successors, legal representatives, and assigns, any facts known to us respecting said improvements and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing, and reissue applications, make all rightful oaths and generally do everything possible to aid the said **Cornell University**, its successors, legal representatives, and assigns, to obtain and enforce proper patent protection for said improvements in all countries.

### Witness Signature Option



Scott C. Blanchard



Date

PATENT

REEL: 034015 FRAME: 0209

[Signature]

First Witness Signature

8/27/2014

Date

Michael Wasserman

First Witness Printed Name

[Signature]

Second Witness Signature

8/27/14

Date

ROGER ALTMAN

Second Witness Printed Name

Notary Option

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this \_\_\_\_\_ day of \_\_\_\_\_, 2014

\_\_\_\_\_  
[name of assignor]

STATE OF \_\_\_\_\_:

COUNTY OF \_\_\_\_\_:

This \_\_\_\_\_ day of \_\_\_\_\_, 2014, before me personally came the above-named \_\_\_\_\_, to me personally known as the individual who executed the same of his/her own free will for the purposes therein set forth.

\_\_\_\_\_  
Notary Public

Commission expires on \_\_\_\_\_.

Witness Signature Option

[Signature]

Roger Altman

8/27/14

Date



First Witness Signature

8/27/2014

Date

Michael Wasserman

First Witness Printed Name



Second Witness Signature

8/27/2014

Date

Scott Blanchard

Second Witness Printed Name

Notary Option

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this \_\_\_\_ day of \_\_\_\_\_, 2014

\_\_\_\_\_  
[name of assignor]

STATE OF \_\_\_\_\_:

COUNTY OF \_\_\_\_\_:

This \_\_\_\_ day of \_\_\_\_\_, 2014, before me personally came the above-named \_\_\_\_\_, to me personally known as the individual who executed the same of his/her own free will for the purposes therein set forth.

\_\_\_\_\_  
Notary Public

Commission expires on \_\_\_\_\_

Witness Signature Option\_\_\_\_\_  
J. David Warren\_\_\_\_\_  
Date\_\_\_\_\_  
First Witness Signature\_\_\_\_\_  
Date\_\_\_\_\_  
First Witness Printed Name\_\_\_\_\_  
Second Witness Signature\_\_\_\_\_  
Date\_\_\_\_\_  
Second Witness Printed NameNotary Option

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 27<sup>th</sup> day of August, 2014

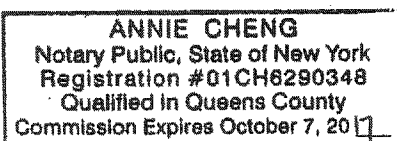


[name of assignor] J. David Warren

STATE OF New York :

COUNTY OF New York :

This 27<sup>th</sup> day of August, 2014, before me personally came the above-named J. David Warren, to me personally known as the individual who executed the same of his/her own free will for the purposes therein set forth.





Notary Public

Commission expires on 10/7/17

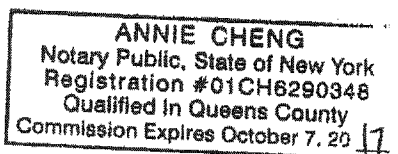
Witness Signature Option\_\_\_\_\_  
Zhou Zhou\_\_\_\_\_  
Date\_\_\_\_\_  
First Witness Signature\_\_\_\_\_  
Date\_\_\_\_\_  
First Witness Printed Name\_\_\_\_\_  
Second Witness Signature\_\_\_\_\_  
Date\_\_\_\_\_  
Second Witness Printed NameNotary Option


August IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 26<sup>th</sup> day of August, 2014

  
[name of assignor] Zhou Zhou

STATE OF New York:COUNTY OF New York:

This 26<sup>th</sup> day of August, 2014, before me personally came the above-named Zhou Zhou, to me personally known as the individual who executed the same of his/her own free will for the purposes therein set forth.



  
Notary Public

Commission expires on 10/7/2017